



Performance and Scalability

Dave Peters – System Architecture Design
Jeff DeWeese – Performance Validation Testing
John Meza – Performance Testing and Tuning



System Architecture Design

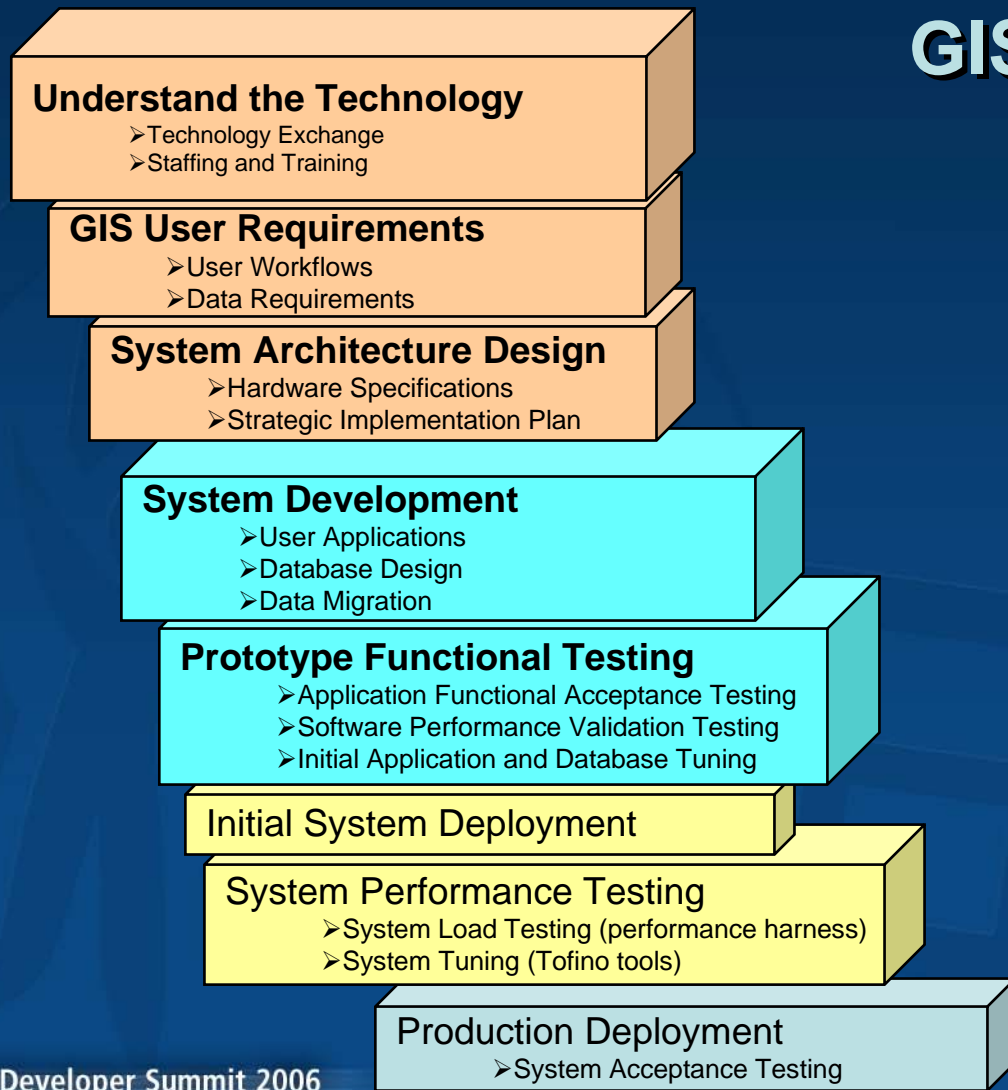
Dave Peters



Manage for Success

How can we manage cost?

Tasks



Stages

GIS Cost Waterfall

Cost of a change

\$1

Requirements

\$10

Design

\$100

Construction

\$1000

Implementation

(Moody)
Database Programming & Design
October 1996, p57-64

What is System Architecture Design?



Why is System Architecture Design Important?

Reduce Cost

Improve Productivity

Balanced System Design

Servers

Network

DBMS

Geodatabase

Storage

Clients

ArcSDE

Application

Hardware Infrastructure

Database Design

User Workflow

System Architecture Design Framework for Productive Operations

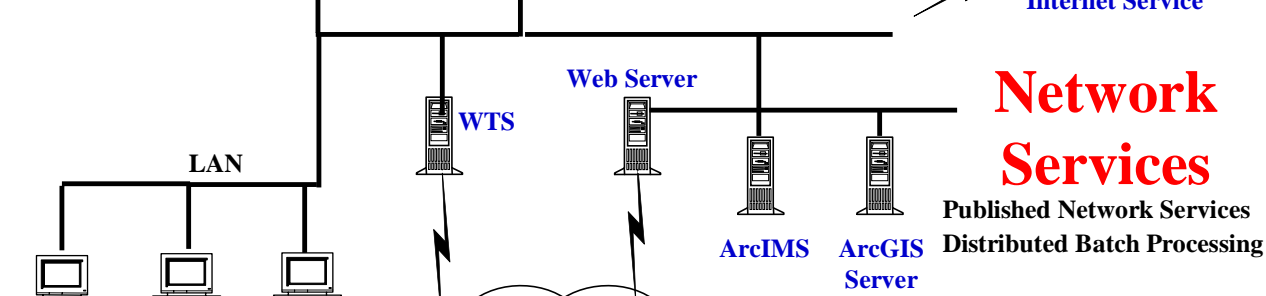
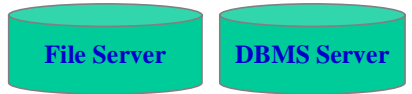
Use the right technology.

Software Technology Selection

GIS Data Source

Internal Data Sources

Remote Data Sources

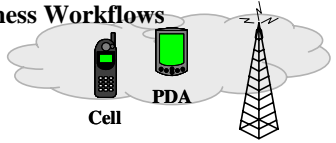
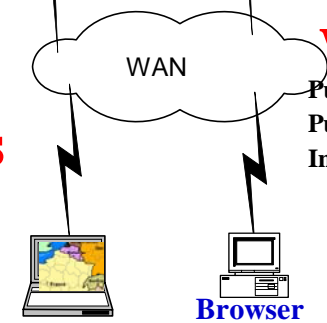


Desktop Applications

- Professional GIS Users
- Database Maintenance
- Heavy Business Workflows
- GIS Project Efforts
- Data Conversion

Web Services

- Published Map Products
- Published Geoprocessing Services
- Integrated Business Workflows



Mobile Applications

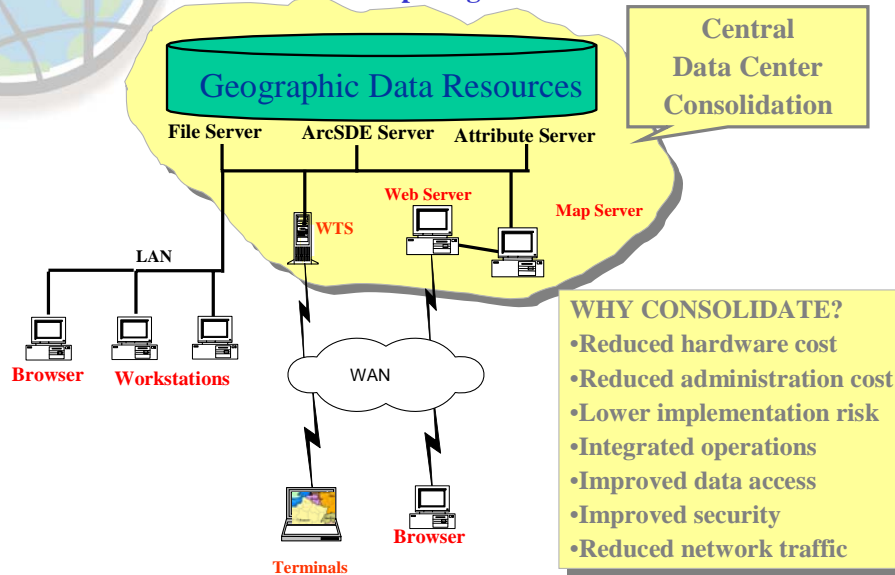
- Disconnected Operations
- Loosely Connected Workflows

Select the right architecture strategy



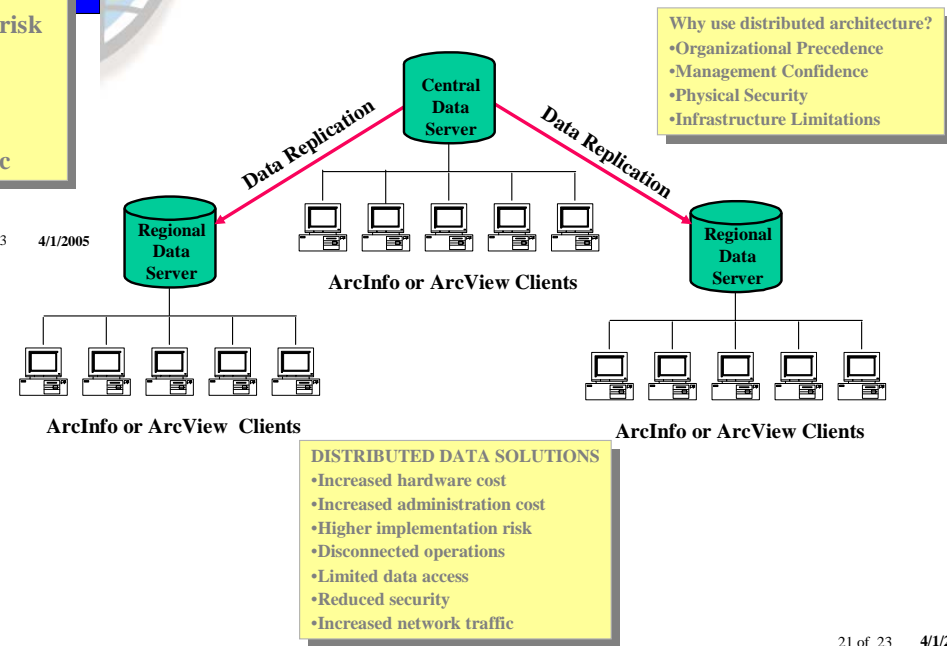
Database Configuration Options

Centralized Computing Environment



Database Configuration Options

Distributed Computing Environment

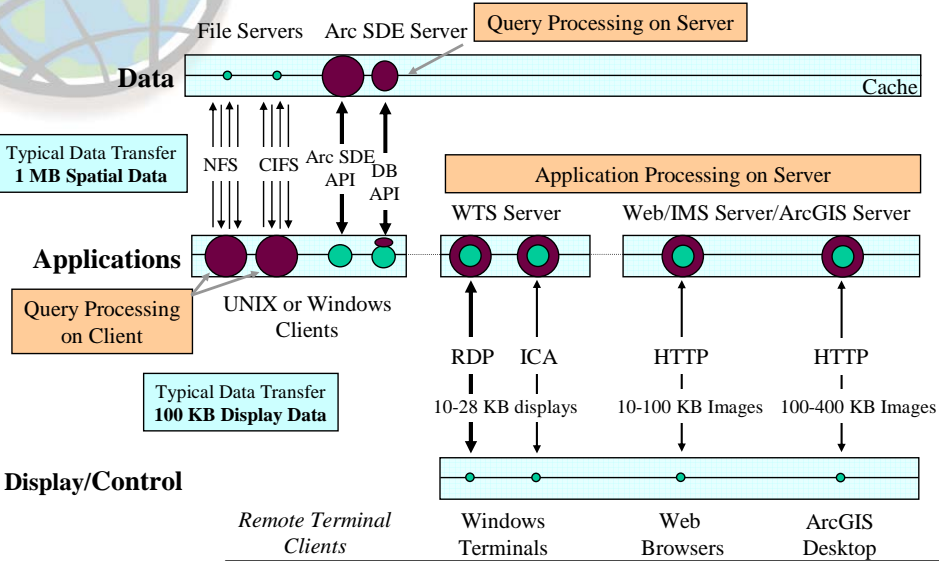


20 of 23 4/1/2005

Understand Network Communications



Client/Server Protocols



Network Design Guidelines

Local Area Networks Bandwidth	Concurrent Client Loads			
	File Servers	ArcSDE	Windows Terminals	Web Products
10 Mbps LAN	2-4	10-20	350-700	150-300
16 Mbps LAN	3-6	16-32	550-1100	250-500
100 Mbps LAN	20-40	100-200	3,500-7,000	1,500-3,000
1 Gbps LAN	200-400	1,000-2,000	35,000-70,000	15,000-30,000

Wide Area Networks Bandwidth	Concurrent Client Loads			
	File Servers	ArcSDE	Windows Terminals	Web Products
56 Kbps Modem	NR	NR	2-4	1-2
128 Kbps ISDN	NR	NR	5-10	2-4
256 Kbps DSL	NR	NR	10-20	5-10
512 Kbps	NR	NR	20-40	10-20
1.54 Mbps T-1	NR	1-2	50-100	25-50
2 Mbps E-1	NR	1-3	75-150	40-80
6.16 Mbps T-2	1-2	6-12	200-400	100-200
45 Mbps T-3	10-20	50-100	1,500-3,000	700-1,500
155 Mbps ATM	30-60	150-300	5,000-10,000	2,500-5,000

Web Services Network Performance

Wide Area Network Bandwidth	Peak Map Requests/Hour (based on Average Service traffic)					
	10 KB	30 KB	50 KB	75 KB	100 KB	400 KB
56 Kbps Modem	2,016	672	403	269	202	50
128 Kbps ISDN	4,608	1,536	922	614	461	115
256 Kbps DSL	9,216	3,072	1,843	1,229	922	230
512 Kbps	18,432	6,144	3,686	2,458	1,843	461
1.54 Mbps T-1	55,440	18,480	11,088	7,392	5,544	1,386
2 Mbps E-1	72,000	24,000	14,400	9,600	7,200	1,800
6.16 Mbps T-2	221,760	73,920	44,352	29,568	22,176	5,544
45 Mbps T-3	1,620,000	540,000	324,000	216,000	162,000	40,500
155 Mbps ATM	5,580,000	1,860,000	1,116,000	744,000	558,000	139,500

Note: 1 KB data = 10 Kb traffic

Wide Area Networks Bandwidth	File Transfer Time (sec) based on Average File Size					
	10 KB	30 KB	50 KB	75 KB	100 KB	400 KB
19 Kbps Modem	5	16	26	39	53	211
28 Kbps Modem	4	11	18	27	36	143
56 Kbps Modem	2	5	9	13	18	71
128 Kbps ISDN	1	2	4	6	8	31
256 Kbps DSL	0	1	2	3	4	16
512 Kbps	0	1	1	1	2	8
1.54 Mbps T-1	0	0	0	0	1	3
2 Mbps E-1	0	0	0	0	1	2
6.16 Mbps T-2	0	0	0	0	0	1
45 Mbps T-3	0	0	0	0	0	0
155 Mbps ATM	0	0	0	0	0	0

Client/Server Performance

Client/Server Communications

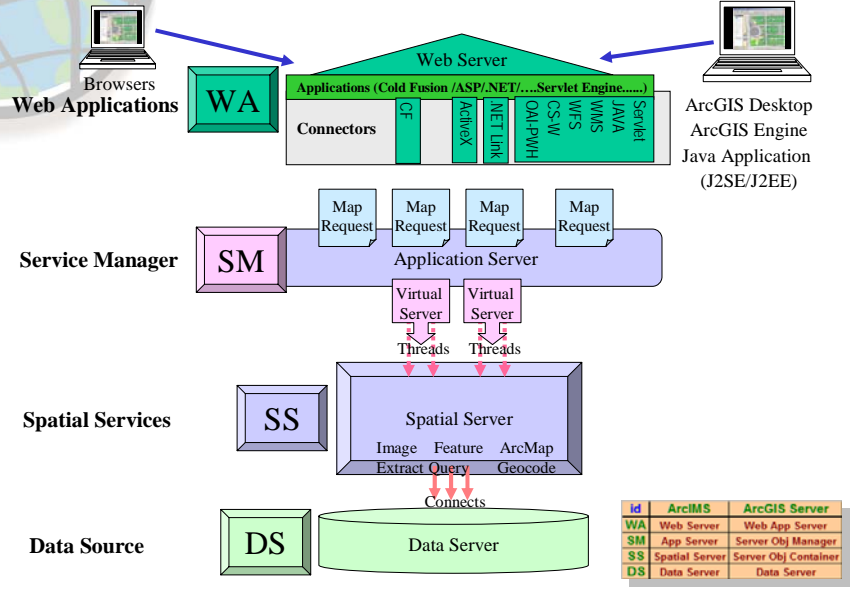
	Network Traffic Transport Time				
	56 Kbps	1.54 Mbps	10 Mbps	100 Mbps	1 Gbps
File Server to Workstation Client (NFS) • 1 MB => 10 Mb + 40 Mb = 50 Mb	893 Sec	32 Sec	5 Sec	0.5 Sec	0.05 Sec
ArcSDE Server to Workstation Client • 1 MB => 10 Mb >> 5 Mb	89 Sec	3.2 Sec	0.5 Sec	0.05 Sec	0.005 Sec
Windows Terminal Server to Terminal Client (ICA) • 100 KB => 1 Mb >> 280 Kb	5 Sec	0.18 Sec	0.03 Sec	0.003 Sec	0.0003 Sec
Web Server to Browser Client (HTTP) • 50 KB => 500 Kb	9 Sec	0.3 Sec	0.05 Sec	0.005 Sec	0.0005 Sec
Web Server to ArcGIS Desktop Client (HTTP) • 200 KB => 2 Mb	36 Sec	1.2 Sec	0.20 Sec	0.02 Sec	0.002 Sec

Best Practices

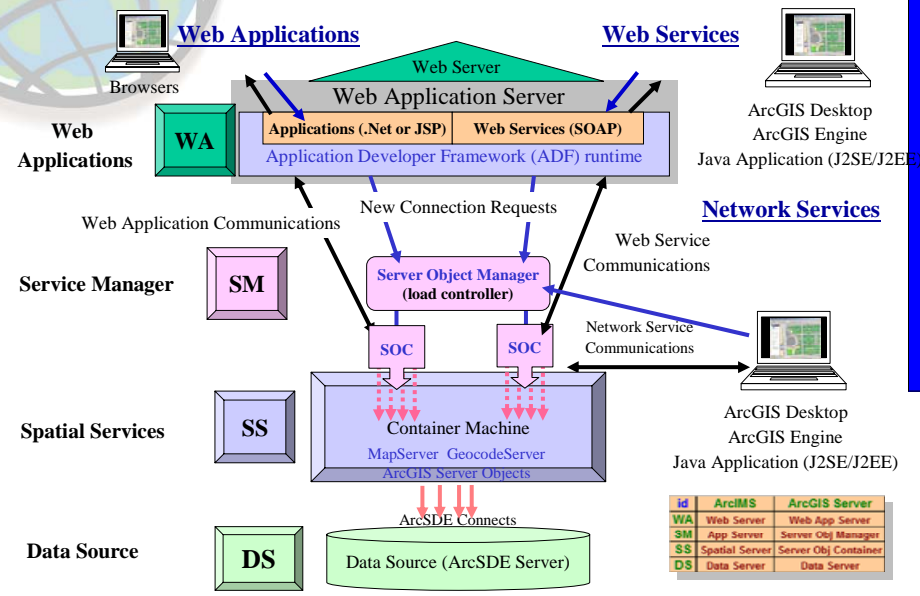


Use a Scalable Configuration Strategy

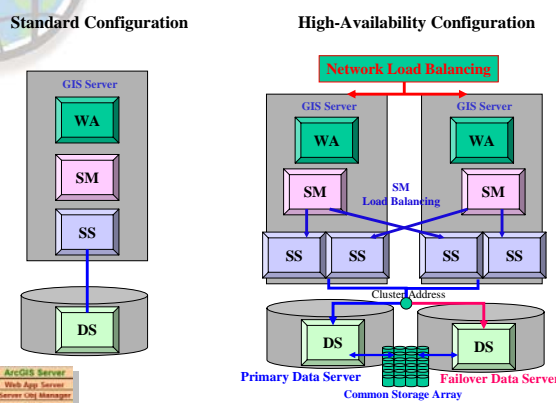
ArcIMS Component Architecture



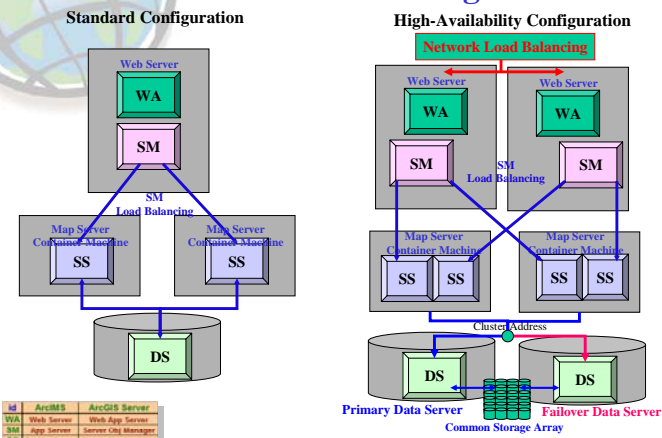
ArcGIS Server Component Architecture



Two-tier Platform Configuration (separate data servers)



Three-tier Platform Configurations

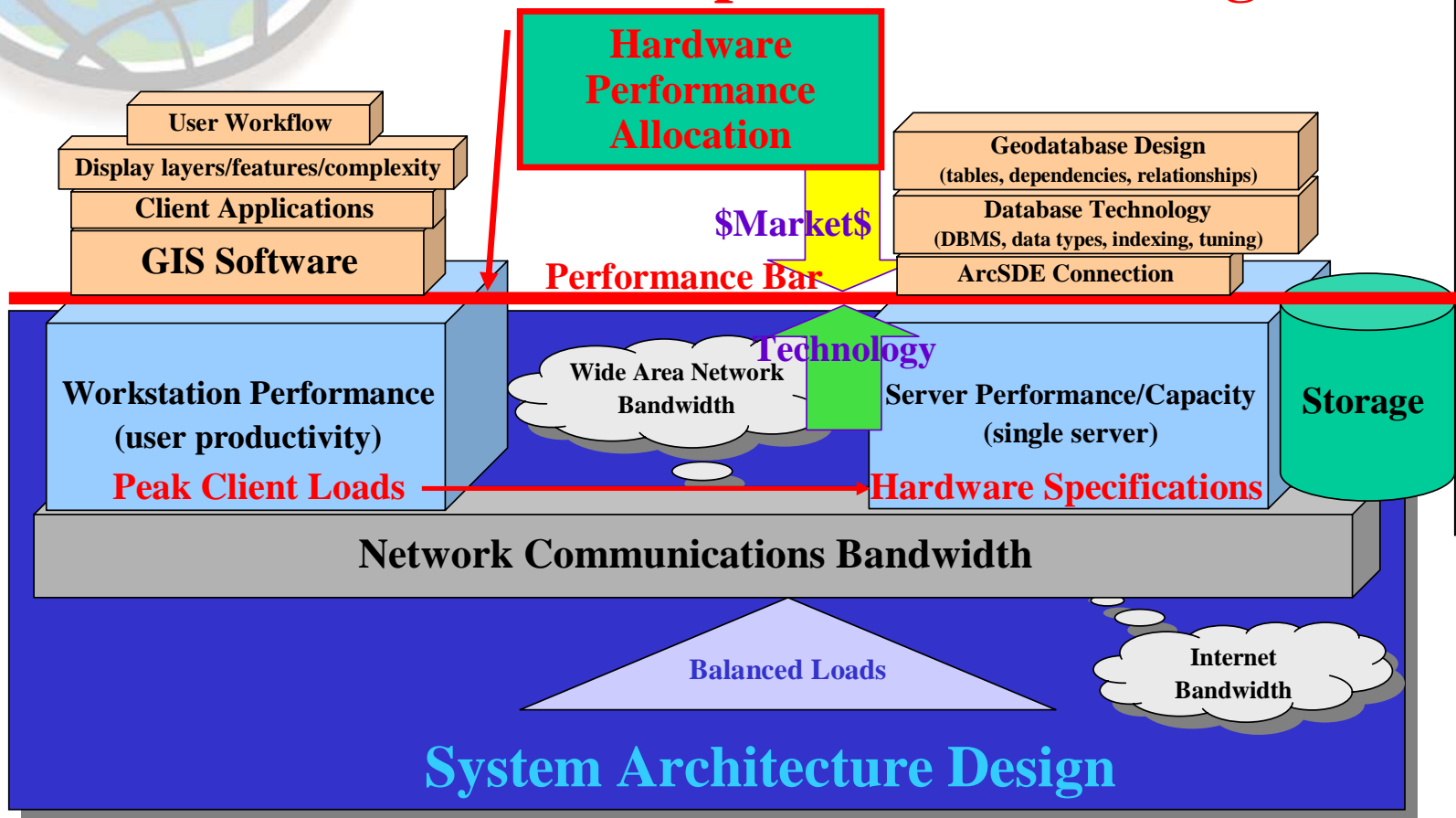




Understanding the Technology

System Performance Factors

How do we address performance sizing?

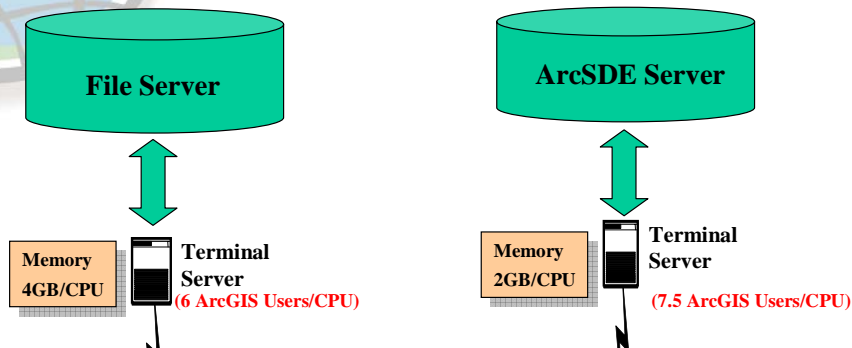


Web Performance Parameters



Terminal Server Performance

Terminal Server Performance Model

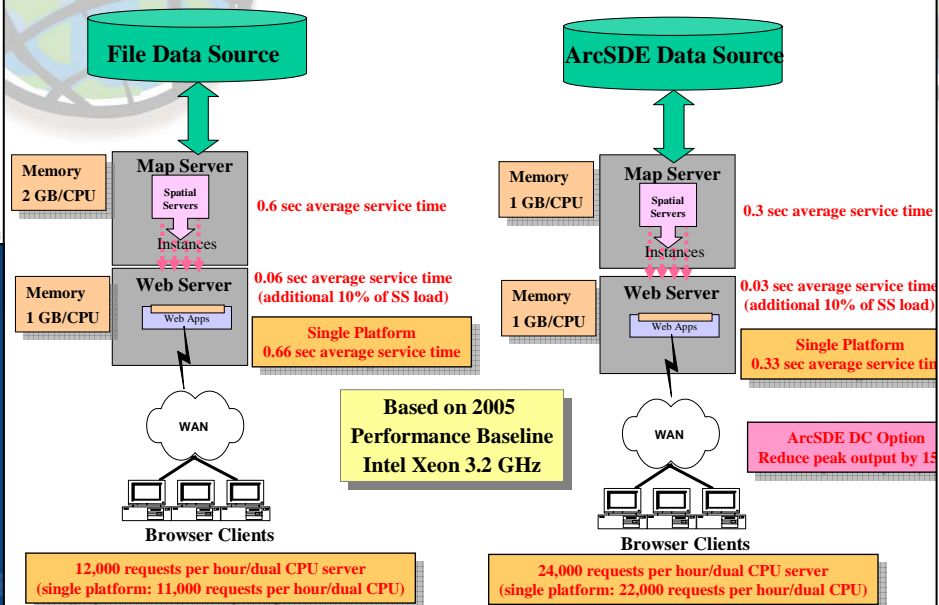


Web Services Performance

Performance Model Summary

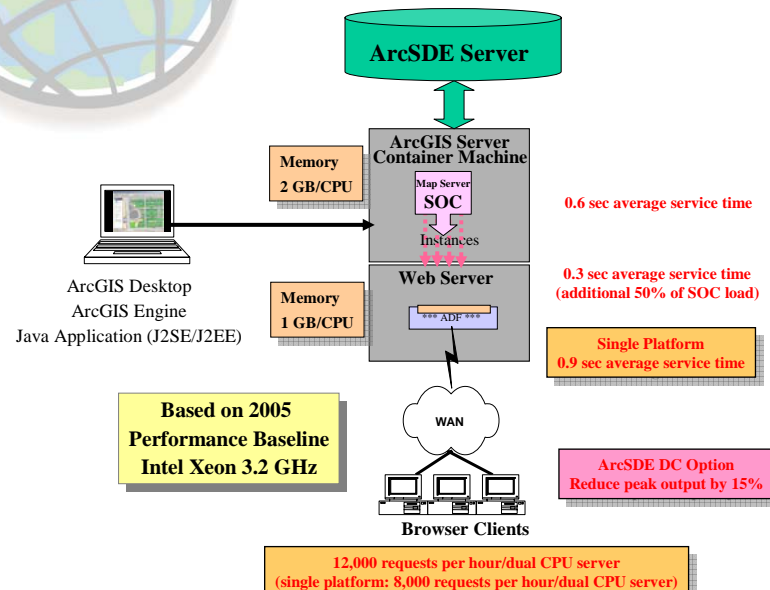
- **Calculate Maximum Configuration Capacity**
 - Measure Average Service Time (existing Web service site)
 - Measure map server CPU utilization during average service transaction
 - $(\#CPU \times 3,600) \div \text{Average Service Time on Map Server}$
 - Standard map service time for ArcIMS 2005 performance baseline
 - 0.6 seconds for file data source
 - 0.3 seconds for ArcSDE data source
 - Standard map service time for ArcGIS Server map server 2005 performance baseline
 - 1.2 seconds for file data source
 - 0.6 seconds for ArcSDE data source
- **Standard Peak Transaction Rate**
 - 2005 ArcIMS Baseline (per dual CPU map server)
 - 12,000 requests per hour for local data or remote file data source
 - 24,000 requests per hour for separate ArcSDE data source
 - 2005 ArcGIS Server Baseline (per dual CPU map server)
 - 6,000 requests per hour for local data or remote file data source
 - 12,000 requests per hour for separate ArcSDE data source

ArcIMS Server Performance



Web Application Transactions

(Simple 9.0 Map Server Application)

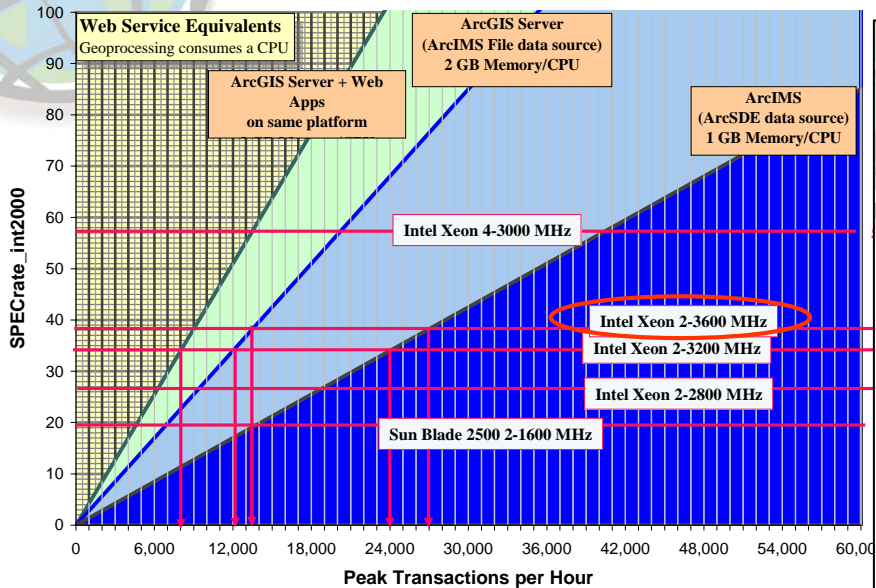


Capacity Sizing Tools



ArcIMS/ArcGIS Server Sizing

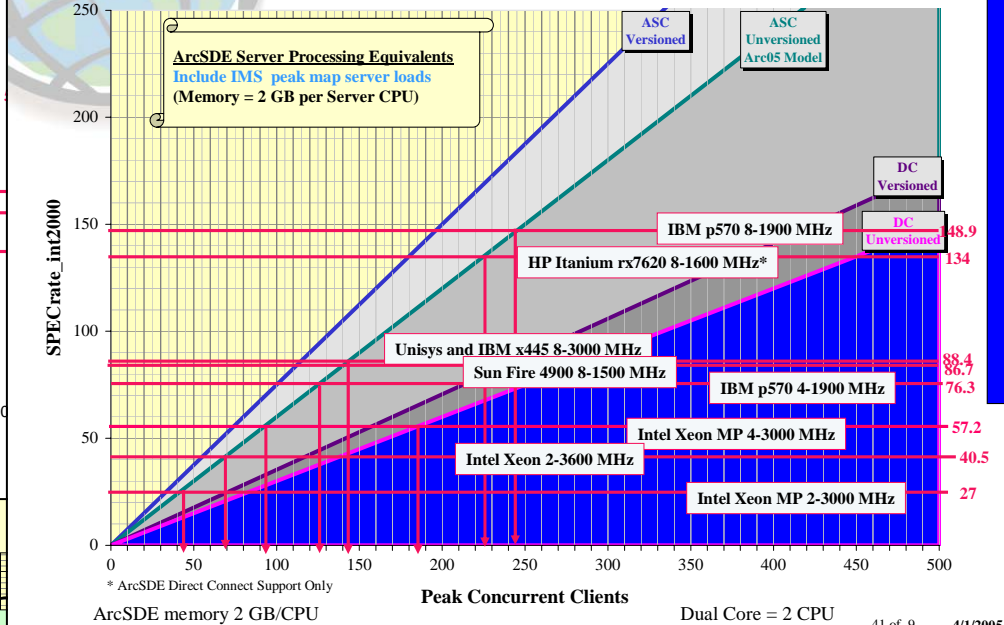
ArcIMS and ArcGIS Server



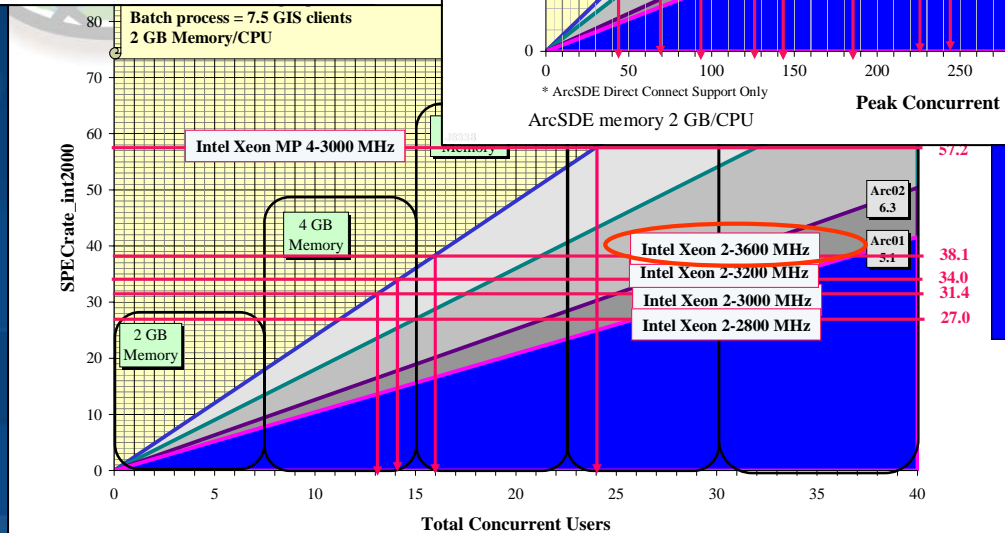
40 of 9

Workgroup GIS Data Server

(Arc04-Arc05 ArcSDE Geodatabase Server)



41 of 9 4/1/2005



16 of 39 4/1/2005

Planning Resources



www.esri.com/systemdesign



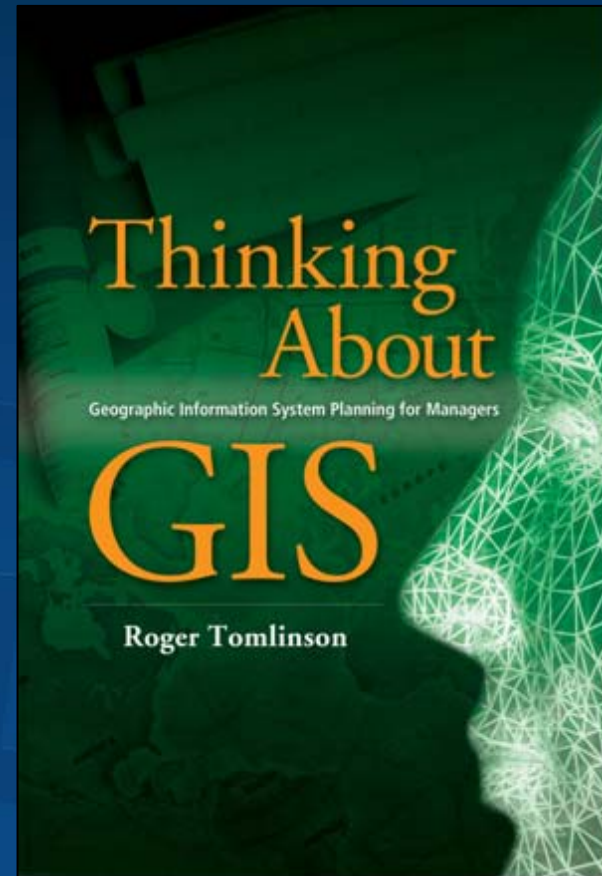
System Design Strategies

An ESRI® Technical Reference Document • August 2005
Rev 1

Prepared by:

Dave Peters
Systems Integration

Environmental Systems Research Institute, Inc.
380 New York Street
Redlands, California 92373-8100



Dynamic Performance Sizing Models



Workload Summary		Total Users	Peak Users	DPM/client	Peak (DPH)	Web Traffic Analysis	
Equivalent Client Loads		Unlimited	610		66,600	Mbps	Peak Mbps
	ArcIMS Clients	5,000	500	3	90,000	1.000	25.000
	ArcGIS Server	300	100	3	18,000	1.000	5.000
	ArcGIS Desktop	20	10	6	3,600	5.000	5.000
ArcIMS	Production					Test	
	Sun Fire V440 4 CPU 1600 MHz					Intel Xeon 2 CPU 3200 MHz	
	SPECrate_int2000 = 38.7					SRint2000 = 37.1	
		Service Times	Capacity	Multiple Platforms		Service Times	Capacity
		Seconds	Display/Hour	Nodes	Capacity	Seconds	Display/Hour
	Web Server	0.058	250,350	1	250,350	0.030	240,000
Web Applications	0.038		Peak DPH 90,000		0.020		
Application Server	0.019		Capacity 36%		0.010		
Map Server	1.246	11,555	6	69,328	0.650	11,077	
GSRVR	0.096		Peak DPH 90,000		0.050		
Map Servers	1.150		Capacity 130%		0.000		
ArcGIS Server	Production					Test	
	Intel Xeon 4 CPU (2 dual core) 2800 MHz					Intel Xeon 2 CPU 3200 MHz	
	SPECrate_int2000 = 59.2					SRint2000 = 37.1	
		Service Times	Capacity	Multiple Platforms		Service Times	Capacity
		Seconds	Display/Hour	Nodes	Capacity	Seconds	Display/Hour
	Web Server	0.389	37,061	1	37,061	0.310	23,226
Web Applications	0.376		Peak DPH 18,000		0.300		
Server Object Manager	0.013		Capacity 49%		0.010		
Container Machine	0.877	16,413	2	32,826	0.700	10,286	
GSRVR	0.125		Peak DPH 18,000		0.100		
Server Object Containers	0.752		Capacity 55%		0.600		
DBMS Server	Production					Test	
	Sun Fire V490 16 CPU (8 dual core) 1350 MHz					Intel Xeon 2 CPU 3200 MHz	
	SPECrate_int2000 = 131.0					SRint2000 = 37.1	
		Service Times	Capacity	Multiple Platforms		Service Times	Capacity
	Seconds	Display/Hour	Nodes	Capacity	Seconds	Display/Hour	
Geodatabase Server	0.521	110,536	1	110,536	0.330	21,818	
GSRVR			Peak DPH 66,600		0.100		
DBMS	0.521		Capacity 60%		0.230		
			Peak Users 307				



Performance Validation Testing

Jeff DeWeese

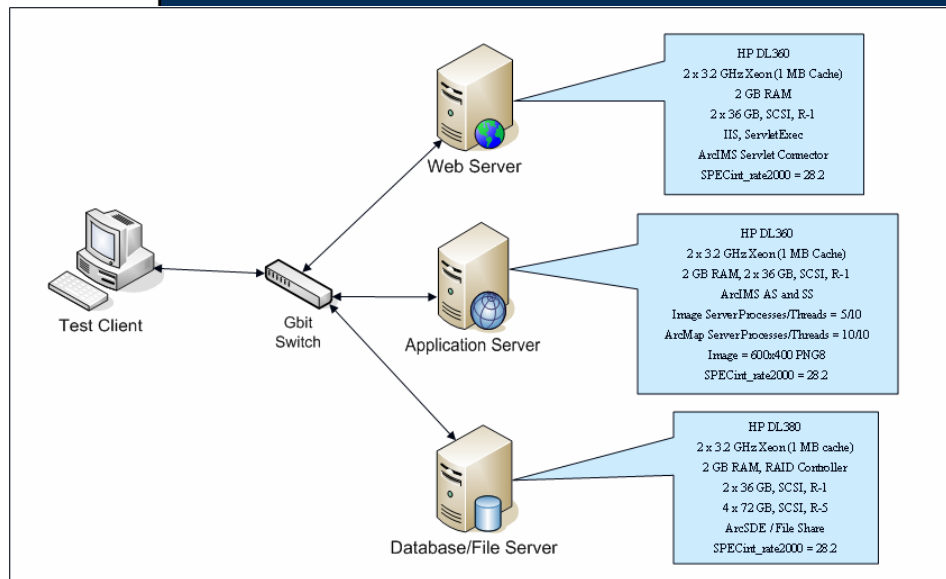
Performance Validation Testing



Web Application Stress Test Methodology

ESRI Systems Integration Technical Brief

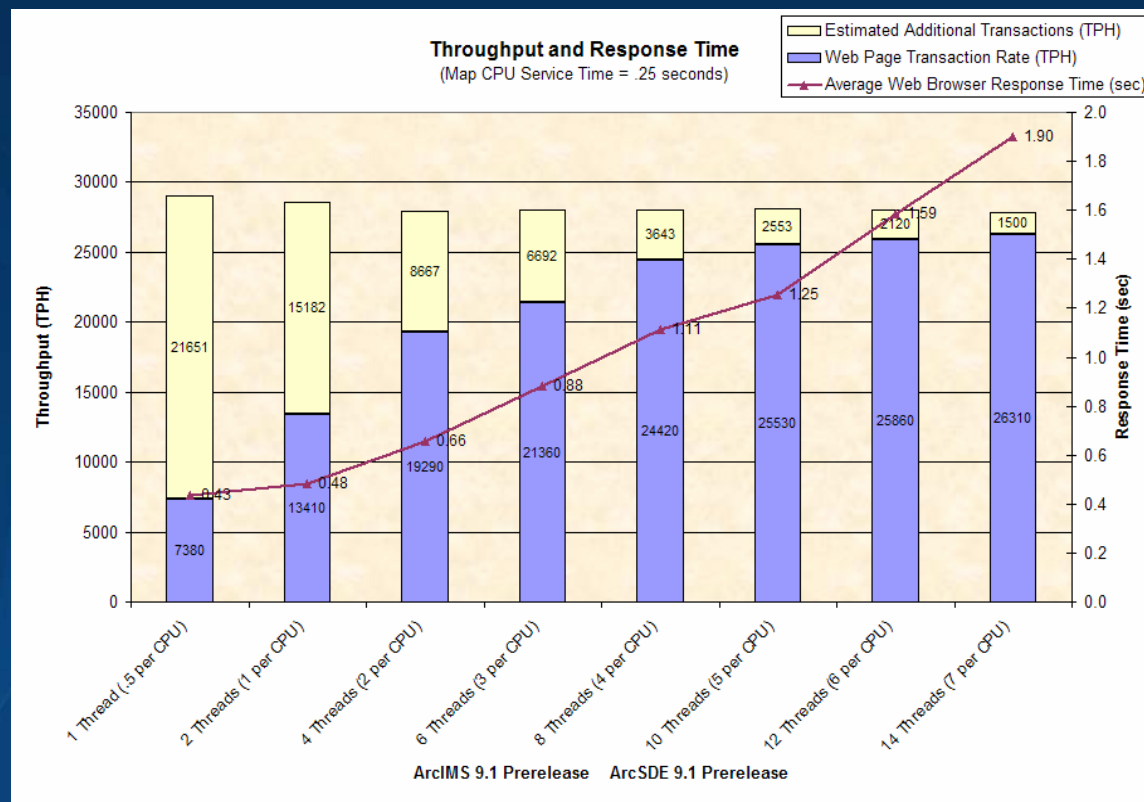
June 2005



<http://www.esri.com/systemsint/kbase/docs/stress-test-methodology.pdf>

Web Stress Testing (What are we measuring?)

- Transaction
- Throughput
- Utilization
- Service Time
- Queue Time
- Response Time
- Scalability
- Performance





Test Readiness (Doing our homework?)

- Application Center Test
- Develop a Hypothesis
- Analyze the Application Design
- Web Configuration Tuning
- System Analysis
- Application Workflow
- System Monitoring

Web Stress Testing



- Application Creation and Configuration
- Recording the Script
- Script Validation
- Calculating Transaction Throughput
- Calculating Map Service CPU Time
- Calculating Web Browser Response Time
- Script Execution and Configuration Tuning

Application Center Test (VS .NET 2003)



```
Option Explicit
Dim fEnableDelays = False

Sub SendRequest1()
    Dim oConnection, oRequest, oResponse, oHeaders, strStatusCode
    If fEnableDelays = True then Test.Sleep (8)
    Set oConnection = Test.CreateConnection("eslapp1", 80, false)
    If oConnection is Nothing Then
        Test.Trace "Error: Unable to create connection to eslapp1"
    Else
        Set oRequest = Test.CreateRequest
        oRequest.Path = "/website/pvt"
        oRequest.Method = "GET"
        oRequest.HTTPVersion = "HTTP/1.0"
        Set oHeaders = oRequest.Headers
        oHeaders.RemoveAll
        oHeaders.Add "Accept", "image/gif, image/x-bitmap, image/jpeg, image/pjpeg, application/vnd.ms-excel, application/vnd.ms-powerpoint, appl
        oHeaders.Add "Accept-Language", "en-us"
        oHeaders.Add "User-Agent", "Mozilla/4.0 (compatible; MSIE 6.0; Windows NT 5.1; .NET CLR 1.1.4322)"
        oHeaders.Add "Host", "eslapp1"
        oHeaders.Add "Host", "Automatic"
        oHeaders.Add "Cookie", "Automatic"
        Set oResponse = oConnection.Send(oRequest)
        If oResponse is Nothing Then
            Test.Trace "Error: Failed to receive response for URL to " & "/website/pvt/"
        Else
            strStatusCode = oResponse.ResultCode
        End If
        oConnection.Close
    End If
End Sub

Sub SendRequest2()
    Dim oConnection, oRequest, oResponse, oHeaders, strStatusCode
    If fEnableDelays = True then Test.Sleep (12)
    Set oConnection = Test.CreateConnection("eslapp1", 80, false)
    If oConnection is Nothing Then
        Test.Trace "Error: Unable to create connection to eslapp1"
    Else
        Set oRequest = Test.CreateRequest
        oRequest.Path = "/website/pvt/"
        oRequest.Method = "GET"
        oRequest.HTTPVersion = "HTTP/1.0"
        Set oHeaders = oRequest.Headers
        oHeaders.RemoveAll
        oHeaders.Add "Accept", "image/gif, image/x-bitmap, image/jpeg, image/pjpeg, appl
        oHeaders.Add "Accept-Language", "en-us"
        oHeaders.Add "User-Agent", "Mozilla/4.0 (compatible; MSIE 6.0; Windows NT 5.1; .NET CLR 1.1.4322)"
        oHeaders.Add "Host", "eslapp1"
        oHeaders.Add "Host", "Automatic"
        oHeaders.Add "Cookie", "Automatic"
        Set oResponse = oConnection.Send(oRequest)
        If oResponse is Nothing Then
            Test.Trace "Error: Failed to receive response for URL to " & "/website/pvt/"
        Else
            strStatusCode = oResponse.ResultCode
        End If
        oConnection.Close
    End If
End Sub

Sub SendRequest3()
    Dim oConnection, oRequest, oResponse, oHeaders, strStatusCode
    If fEnableDelays = True then Test.Sleep (45)
    Set oConnection = Test.CreateConnection("eslapp1", 80, false)
    If oConnection is Nothing Then
        Test.Trace "Error: Unable to create connection to eslapp1"
    Else
        Set oRequest = Test.CreateRequest
```

Application Center Test
Overview: Summary

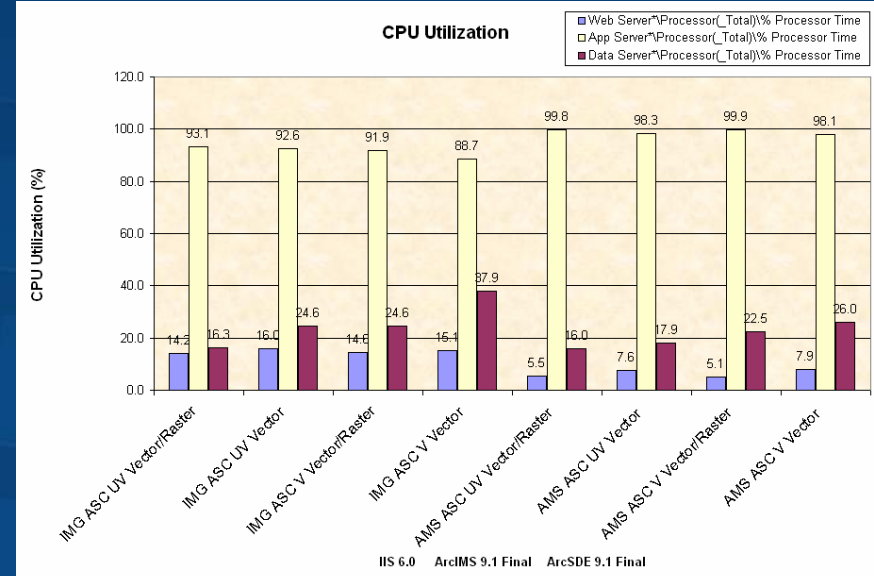
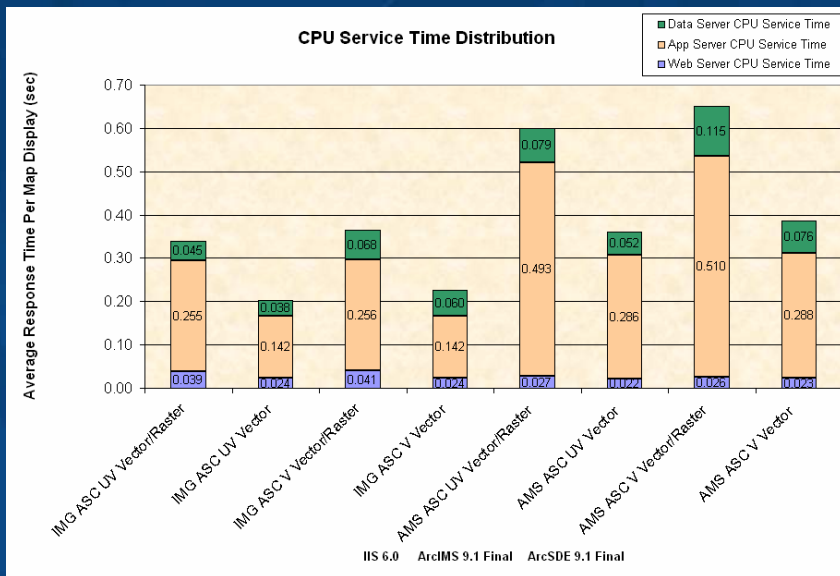
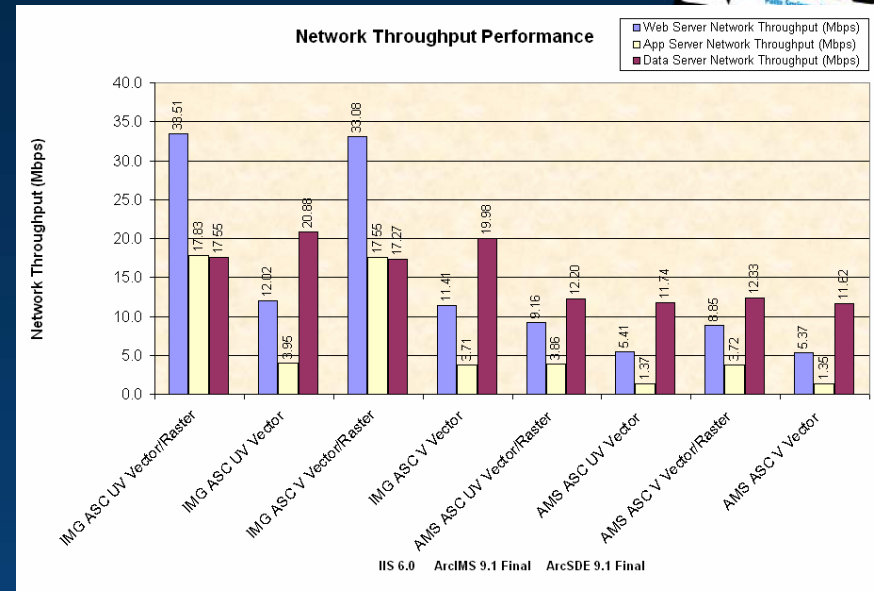
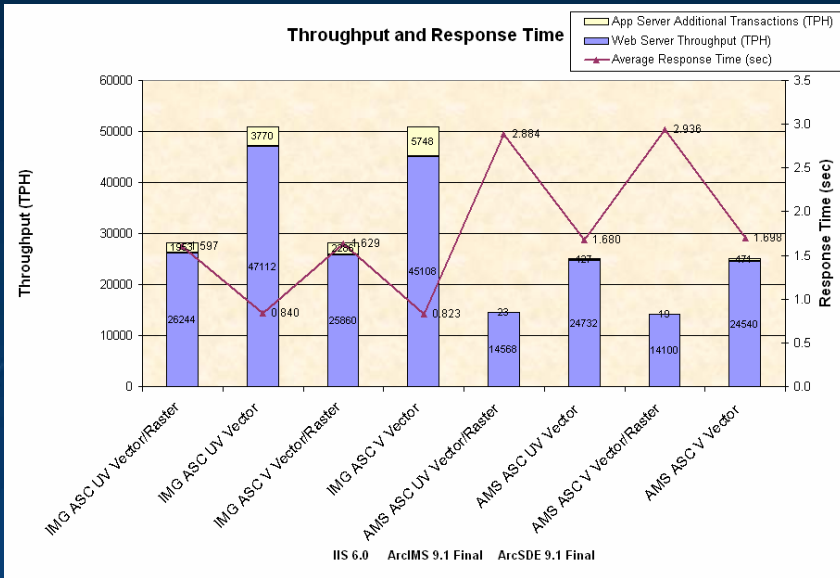
Test Name: icde test: pv191_agi_vector
Test Run Name: report-pv191_agi_vector-Mar 10, 2005 15-45-15
Test Started: 3/10/2005 3:39:28 PM
Test Duration: 00:00:05:00
Test Iterations: 113
Test Notes: -

Test Run Graph

Properties

Test type:	Dynamic
Simultaneous browser connections:	12
Warm up time (secs):	45
Test duration:	00:00:05:00
Test iterations:	113

Test Results





Performance Testing and Tuning

John Mesa



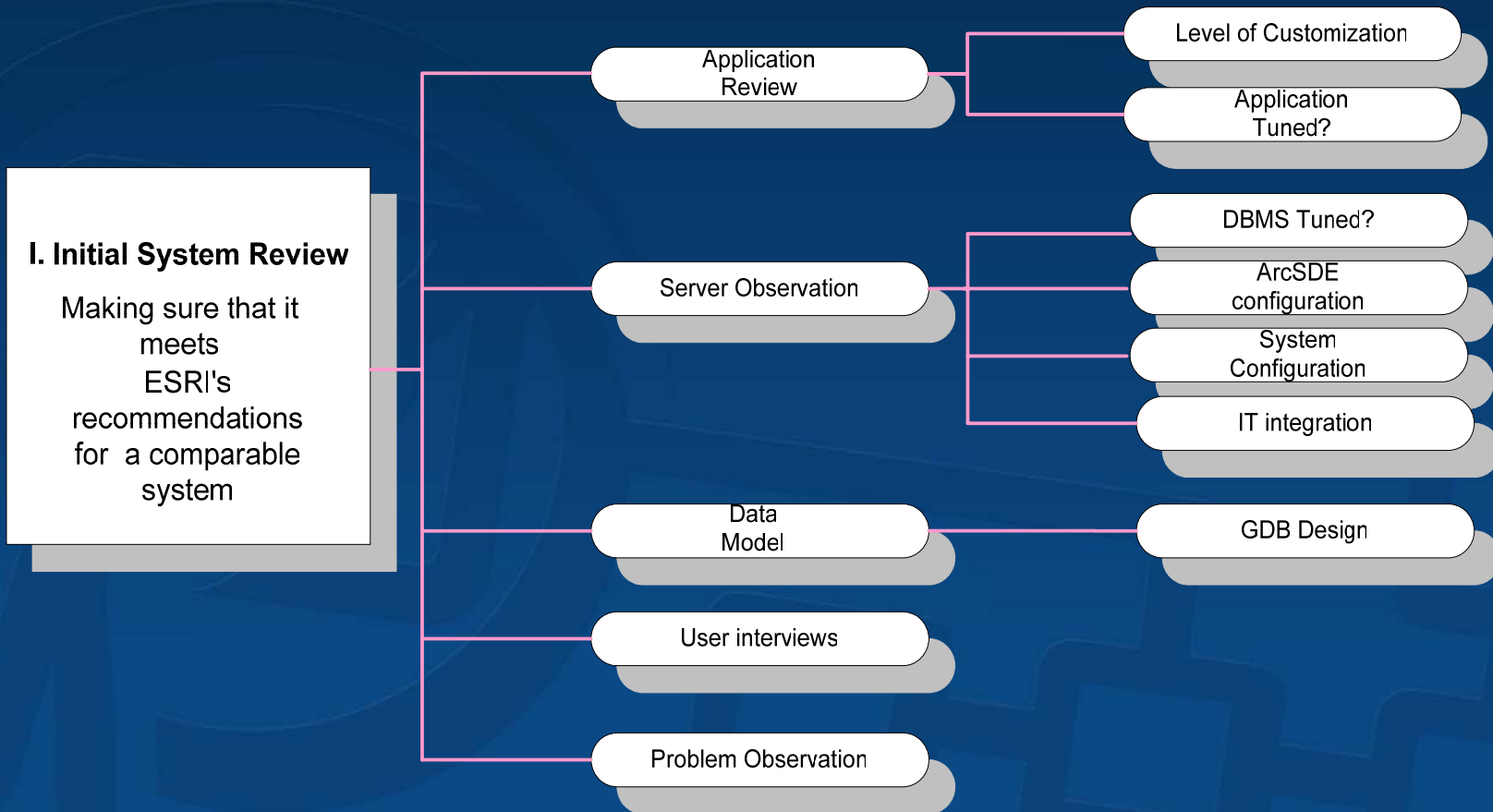
Performance and Scalability

5 Common Causes

- Lack of Geodatabase / RDBMS knowledge
 - RDBMS – inefficient SQL
 - State lineage – custom editing tools add unneeded states per edit to lineage
- Workflow
- Data Models
- Application
 - Too chatty
 - Unneeded/excessive interaction with RDBMS/GDB
- Inadequate testing
 - Real data
 - Scalability testing

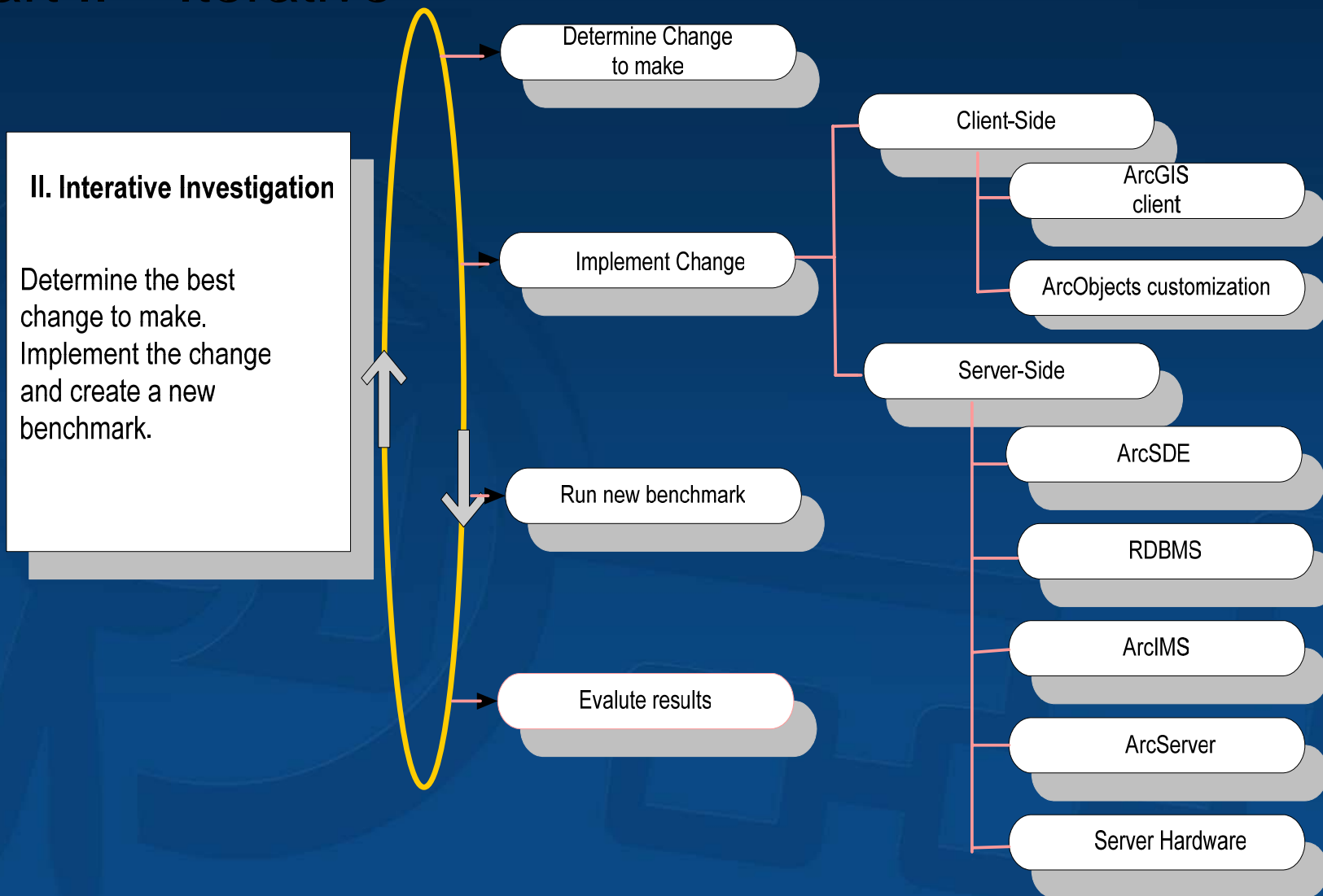
Performance Investigation

Part I – initial system review



Performance Investigation

Part II – Iterative



ArcObjects Instrumentation



- ◆ ArcObjects customization
 - ◆ Must be scalable
 - ◆ Tested for functionality
 - ◆ Not often tested for scalability
 - ◆ Code must be instrumented by development
- ◆ Instrumentation Benefits
 - ◆ Provides execution trace for debugging
 - ◆ Aids performance analysis and investigation
 - ◆ Useful for monitoring application in production



Instrumentation Example

- **Actual InstruOutput**

Timing Information

- [Call Time: 1:04:50 PM] [User: iggy pop] [Origin: GDBLandClassExtension::ObjectClassEvents_OnCreate] [Message: OnCreate Called]

- [Call Time: 1:04:50 PM] [User: iggy pop] [Origin: GDBLandClassExtension::ObjectClassEvents_OnCreate] [Message: CreateFeatureCopy]

Embedded SQL

- [all Time: 1:04:50 PM] [User: iggy pop] [Origin: modDBFunctions::GetID] [Message: Getting New ID Value]

- [Call Time: 1:04:50 PM] [User: iggy pop] [Origin: modDBFunctions::GetID] [Message: Getting New ID Value]
- [Call Time: 1:04:50 PM] [User: iggy pop] [Origin: modDBFunctions::CreateFeatureCopy] [Message: Starting Process for Feature Type: STAND]

- [Cidevent_id = 4049294 And GF_TYPE = 'STAND' and date_complete is NULL]

- [Call Time: 1:04:50 PM] [User: iggy pop] [Origin: modDBFunctions::GetOracleSequence] [Message: Getting New Sequence Value]

- [Call Time: 1:04:50 PM] [User: iggy pop] [Origin: modDBFunctions::GetOracleSequence] [Message: New Sequence Value: 4427868]

- [Call Time: 1:04:50 PM] [User: iggy pop] [Origin: modFunctions::CreateFeatureCopy] [Message: SQL: select stand_id from genisys.gis_stand where gf_id = 4049294]

- [Call Time: 1:04:51 PM] [User: iggy pop] [Origin: modFunctions::CreateFeatureCopy] [Message: SQL: duplicate_stand (4427868, 4049294, '1371326004')]

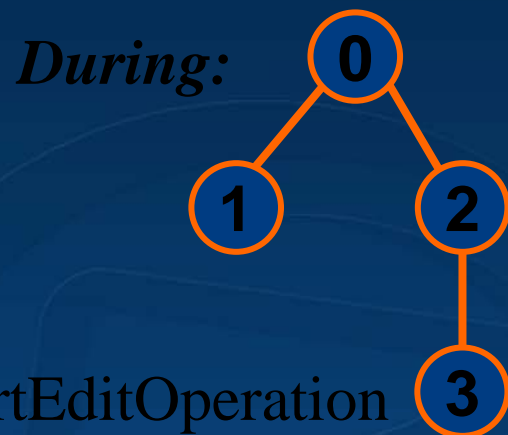
Edit Code



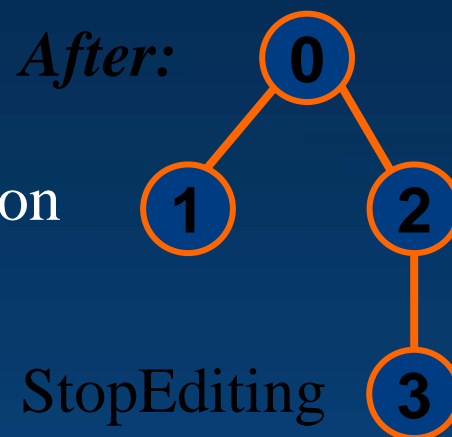
```
pWsEdit.StartEditing
pWsEdit.StartEditOperation
pFeat = pUpdateCur.NextFeature
Do While not pFeat is Nothing
    pFeat.Value(1) = NewVal
    pFeat.Store
    pFeat = pUpdateCur.NextFeature
Loop
pWsEdit.StopEditOperation
pWsEdit.StopEditing (True)
```

All updates efficiently performed in one edit operation. Entire operation can be rolled back.

Resulting State Tree



← MyVersion



← MyVersion

Edit Code



```
pWsEdit.StartEditing
```

```
pFeat = pUpdateCur.NextFeature
```

```
Do While not pFeat is Nothing
```

```
pWsEdit.StartEditOperation
```

```
pFeat.Value(1) = NewVal
```

```
pFeat.Store
```

```
pFeat = pUpdateCur.NextFeature
```

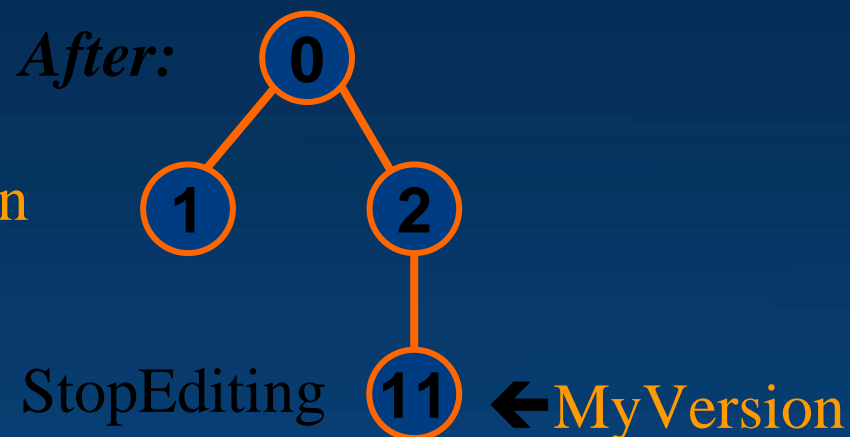
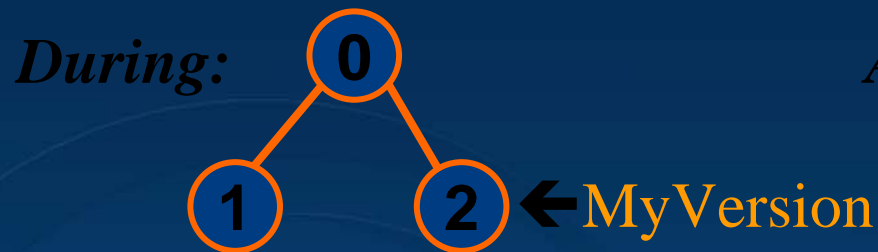
```
pWsEdit.StopEditOperation
```

```
Loop
```

```
pWsEdit.StopEditing (True)
```

Not recommended for update loops, due to overhead for creating internal states.

Resulting State Tree



StartEditOperation 3

StartEditOperation 4

StartEditOperation ...

StartEditOperation 11

Edit Code



```
pFeat = pUpdateCur.NextFeature
```

```
Do While not pFeat is Nothing
```

```
    pWsEdit.StartEditing
```

```
    pWsEdit.StartEditOperation
```

```
    pFeat.Value(1) = NewVal
```

```
    pFeat.Store
```

```
    pFeat = pUpdateCur.NextFeature
```

```
    pWsEdit.StopEditOperation
```

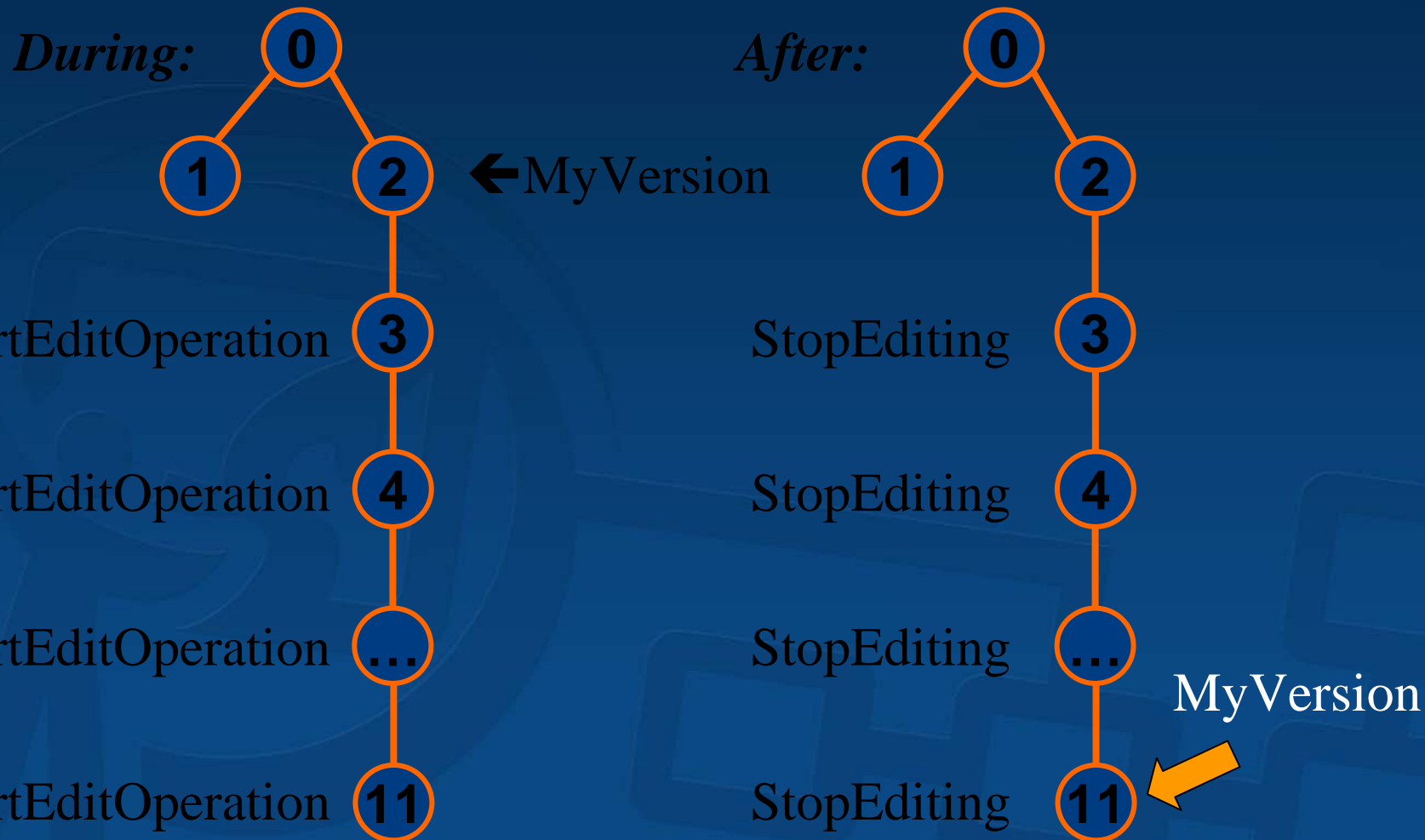
```
    pWsEdit.StopEditing(True)
```

```
Loop
```

This is never appropriate:

- High overhead
- Persistent state for every edit
- Cannot be aborted
- May require reconcile on each row

Resulting State Tree



Performance and Scalability Testing



- Testing ArcGIS Enterprise Systems
 - Why
 - Develop and deliver systems that satisfy client needs and meet their expectations
 - Reduce the overall cost of delivering the software
 - When
 - Development, UAT, Investigation
 - What
 - ArcGIS spatial and attribute data, display, editing ...
 - How
 - Manually, COTS Tools, In-House Developed tools
 - What to look for
 - Development of scripts, multiple levels of testing

Performance and Scalability Testing



- Testing
 - Identify and eliminate performance problems before they get into production
 - ***Performance testing and analysis must occur throughout development!!!***
 - In late cycle QA, should be a formality with no surprises.
 - A surprise at this point will delay product release or potentially kill a product.

Session Evaluations Reminder



Session Attendees:
Please turn in your session evaluations.

... Thank you