Federal GIS Conference

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# Designing an Enterprise GIS Strategy

Michael Young & Erin Ross

# Agenda

- Introduction
- Trends
- Strategy
- Compliance
- Mechanisms
- Server
- Cloud
- Esri Managed Cloud Services
- Summary

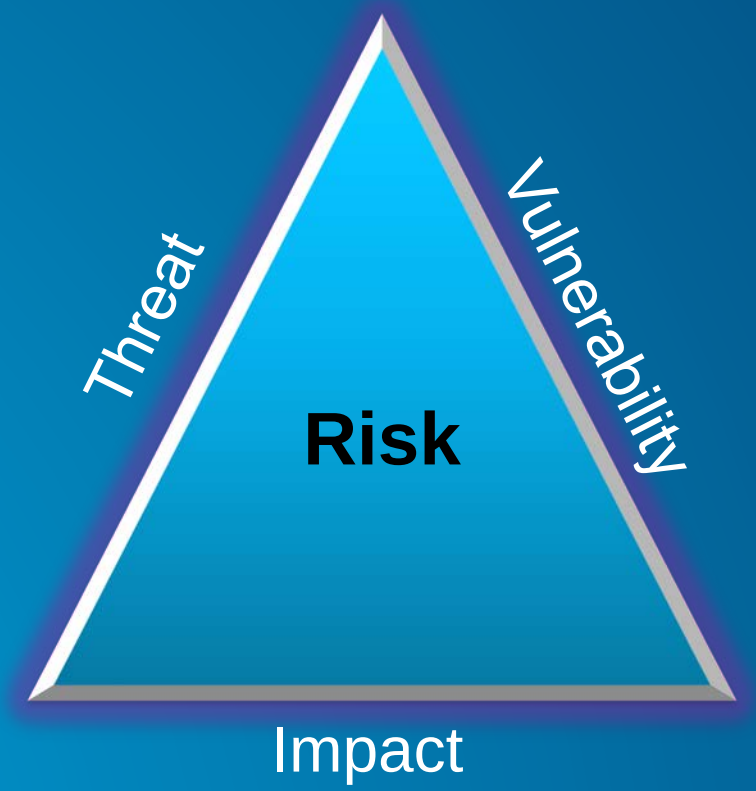
Security

# Introduction

## What is a secure GIS?

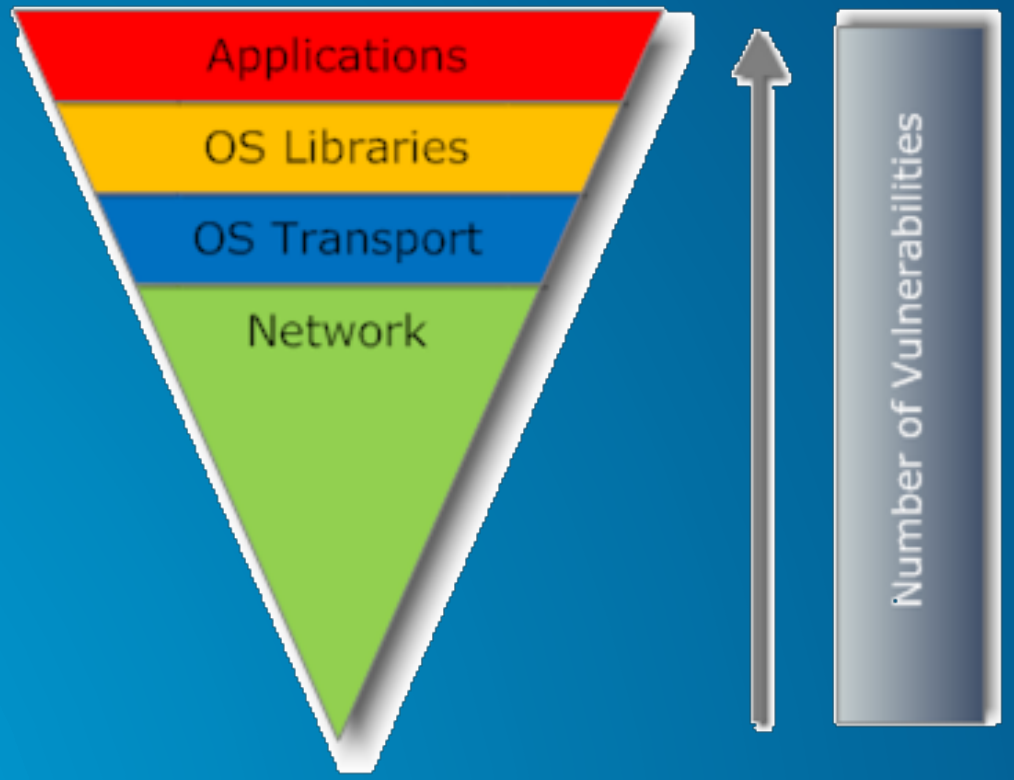
# Introduction

## What is “The” Answer?



# Introduction

## Where are the vulnerabilities?




*SANS Relative Vulnerabilities

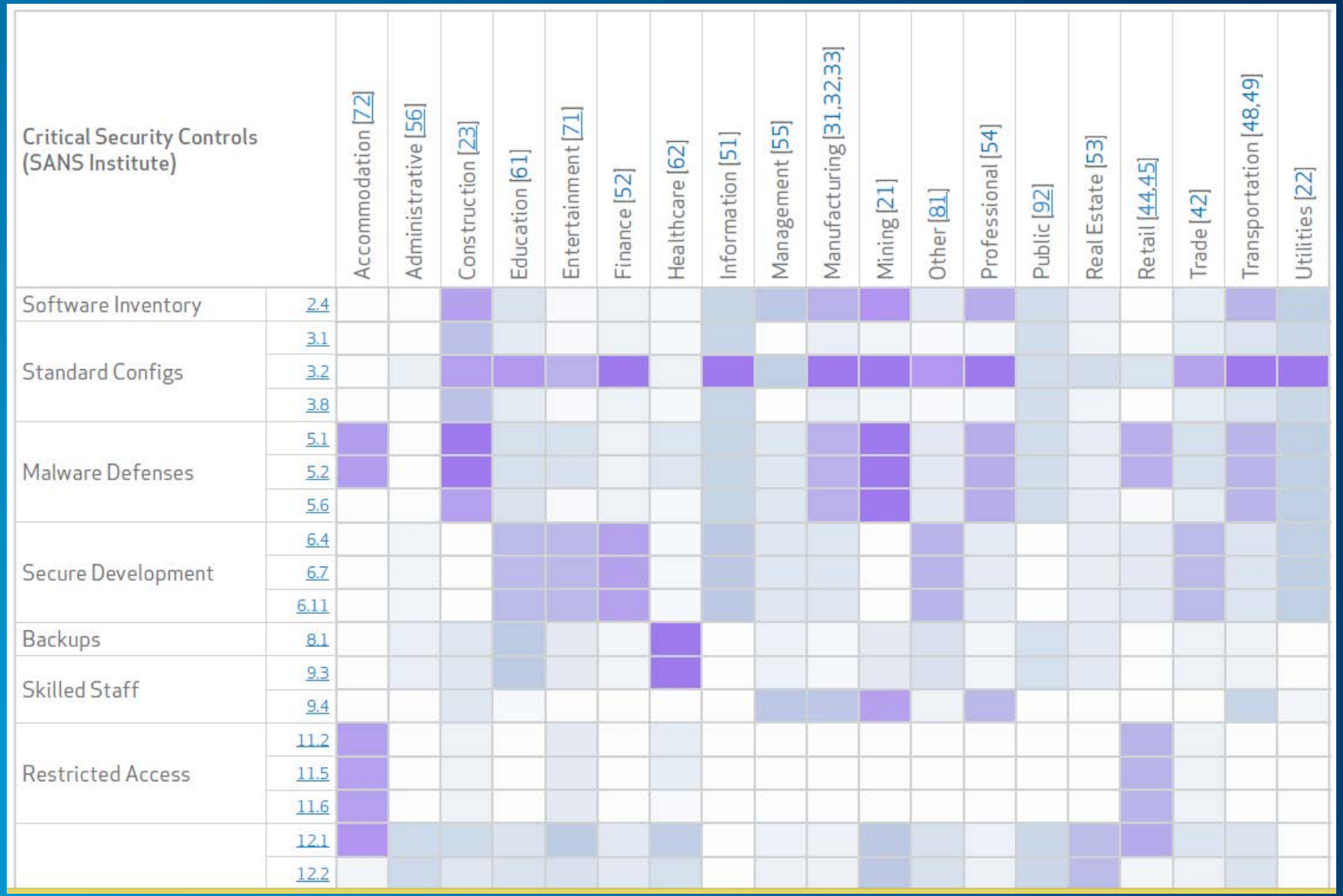
*Application security is critical, but 2014 was a banner year for high visibility, low level component vulnerabilities*

# Trends

# Trends

## Controls by Industry

- **Frequency of incident patterns by industry drives new security control recommendations by industry**
- **Focus on the right security controls**
- **Utilize software vendor security hardening guidelines**




* Verizon 2014 DBIR

# Trends

## Open source security component vulnerability affects 2/3rd of web services

- **Scenario**
  - ✘ OpenSSL vulnerability (HeartBleed)
  - ✘ ArcGIS Online was indirectly exposed through utilization of Amazon's Elastic Load Balancer
    - ✓ AWS patch their ELB systems within a day of the vulnerability announcement
  - ✓ Many pre 10.3 ArcGIS components contain the vulnerable version, but do not utilize the vulnerable function
  - ✓ ArcGIS Server for Linux before 10.3 was vulnerable (Patch available for 10.1SP1 and later)

- **Lessons learned**
  - 3rd party / open source components are immersive across cloud and on-premises
  - Many organizations still don't have effective patch management for these underlying components
  - Don't rely on only 1 layer of security, as no individual layer is full-proof
  - Since Heartbleed, other vulnerabilities have been publicized (Shellshock, POODLE, GHOST)
    - Use the Trust.ArcGIS.com to identify how they may affect the ArcGIS Platform

*Lack of appropriate funding slows resolution of vulnerabilities*

# Trends

## 2015 and beyond

**Focus shifting from network perimeter to data**

Drives need for stronger authentication of who is accessing the data

**Mobile malware continues to grow**

**APTs and malware diversification**

**Unpatched systems (Windows XP end-of-life)**

**Hacking the Internet of Things**

# Strategy

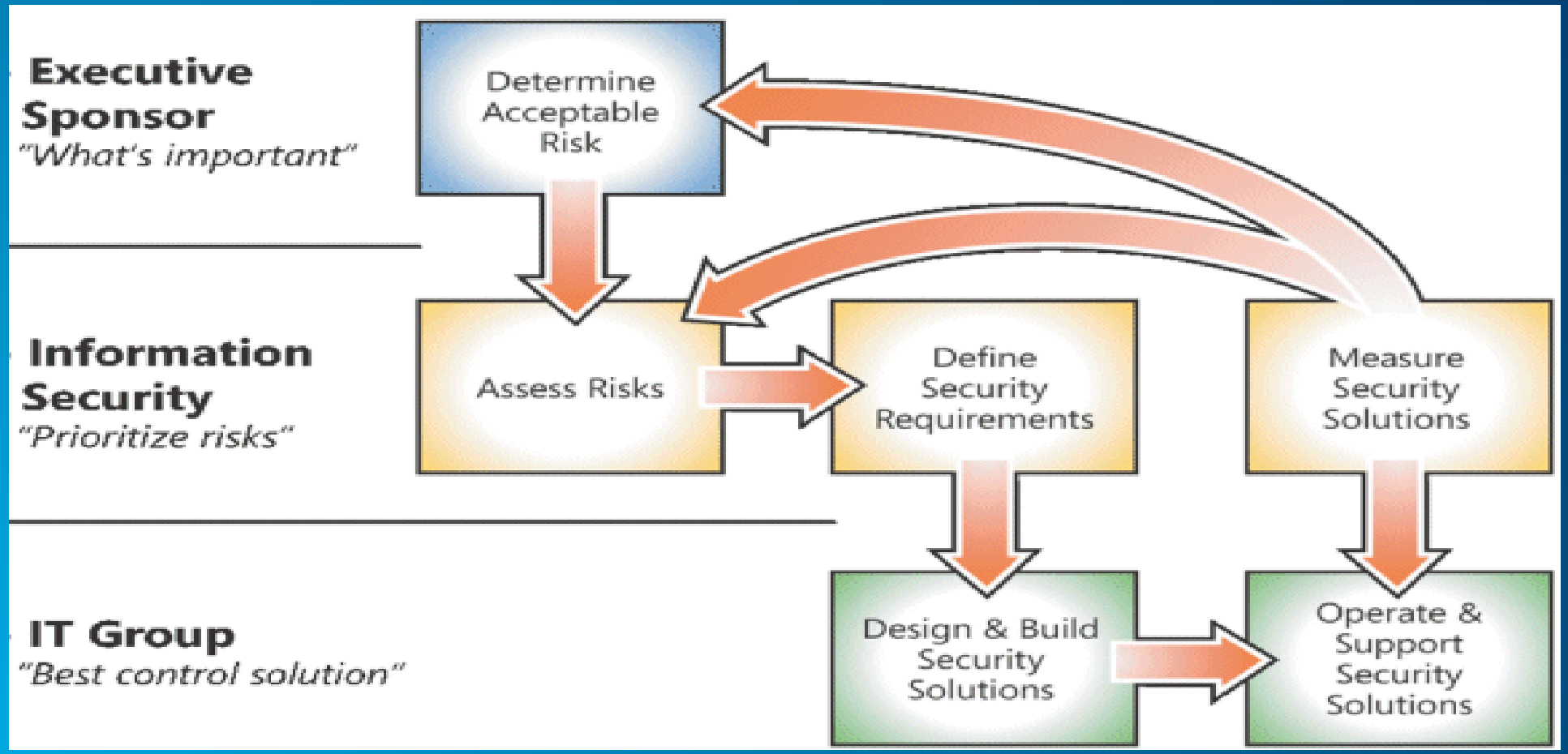
# Strategy

## A better answer

- Identify your security needs
  - Assess your environment
    - Datasets, systems, users
    - Data categorization and sensitivity
    - Understand your industry attacker motivation
- Understand security options
  - Trust.arcgis.com
  - Enterprise-wide security mechanisms
  - Application specific options
- Implement security as a business enabler
  - Improve appropriate availability of information
  - Safeguards to prevent attackers, not employees

# Strategy

## Enterprise GIS Security Strategy




*Security Risk Management Process Diagram - Microsoft*

# Strategy

## Evolution of Esri Products & Services


**Isolated Systems**

**3rd Party Security**

**Integrated Systems**

**Embedded Security**

**Software as a Service**

**Managed Security**

# Strategy

## Esri Products and Solutions

- **Secure Products**
  - Trusted geospatial services
  - Individual to organizations
  - 3rd party assessments
- **Secure Enterprise Guidance**
  - Trust.ArcGIS.com site
  - Online Help
- **Secure Platform Management**
  - SaaS Functions & Controls
  - Security compliance & authorization



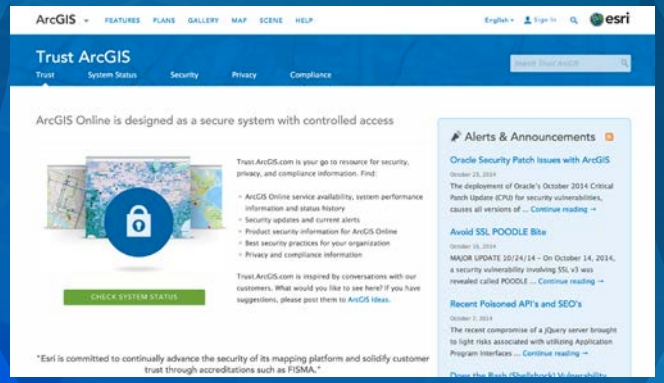

# Strategy

## Creating a Trusted Geospatial Platform

### Expanding Capabilities

- Custom Roles
- Multi-Factor
- SAML
- DISA STIG

### Transparency




Trust.ArcGIS.com

### 3rd Party Assurance

### Esri Managed Cloud Services

FedRAMP

Moderate Compliant

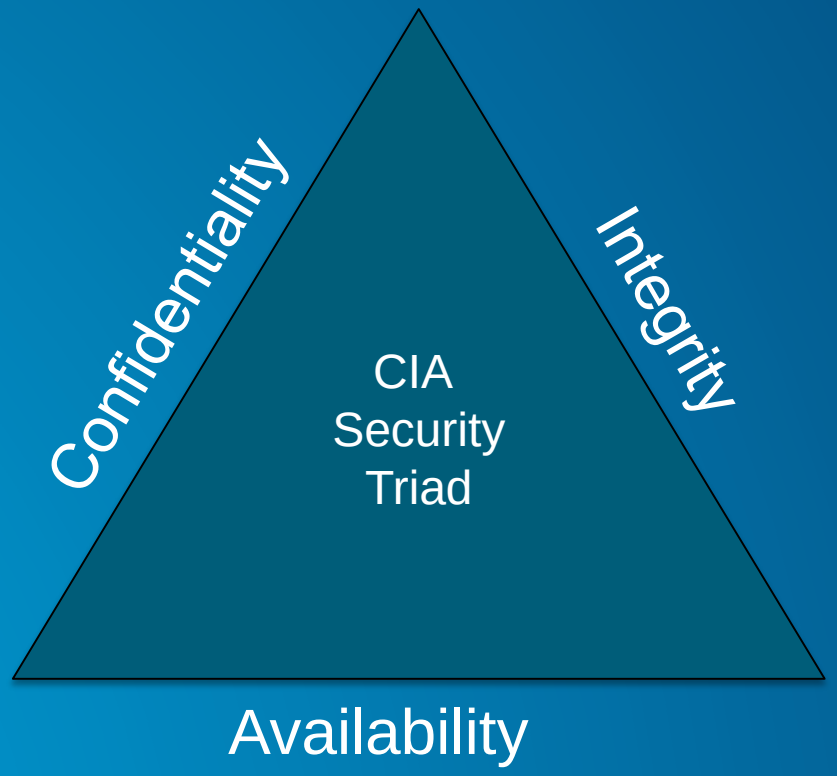
### ArcGIS Online

FISMA Authorization & Accreditation

Low Authorized

# Strategy

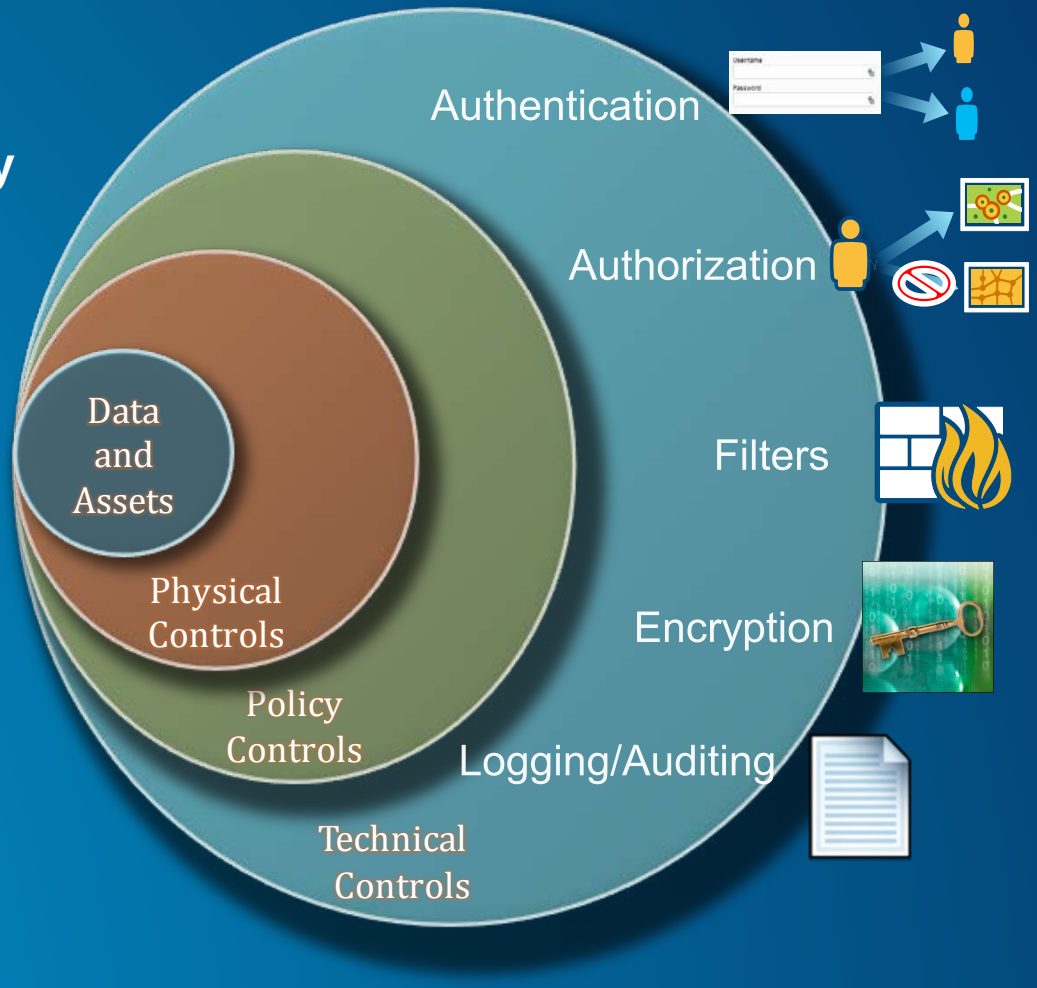
## Security Principles



# Strategy

## Defense in Depth

- More layers does NOT guarantee more security
- Understand how layers/technologies integrate
- Simplify
- Balance People, Technology, and Operations
- Holistic approach to security



# Compliance

# Compliance

## Corporate Operations

- **ISO 27001**
  - Esri's Corporate Security Charter
- **Privacy Assurance**
  - US EU/Swiss SafeHarbor self-certified
  - TRUSTed cloud certified
- **SSAE 16 Type 1 – Previously SAS 70**
  - Esri Data Center Operations
  - Expanded to Managed Services in 2012



# Compliance

## Products and Services

- **ArcGIS Online**
  - FISMA Low – Authority To Operate (ATO) by USDA
  - FedRAMP - Upcoming
- **Esri Managed Cloud Services (EMCS)**
  - FedRAMP Moderate (Jan 2015)
- **ArcGIS Desktop**
  - FDCC (versions 9.3-10)
  - USGCB (versions 10.1+)
  - ArcGIS Pro (Expected Q1 2015)

FISMA Authorization & Accreditation

GSA FedRAMP CIO COUNCIL NIST

NIST National Institute of Standards and Technology Information Technology Laboratory
United States Government Configuration Baseline USGCB

# Compliance

## Cloud Infrastructure Providers

- **ArcGIS Online Utilizes World-Class Cloud Infrastructure Providers**
  - **Microsoft Azure**
  - **Amazon Web Services**

**Cloud Infrastructure Security Compliance**

SSAE16
SOC1 Type2

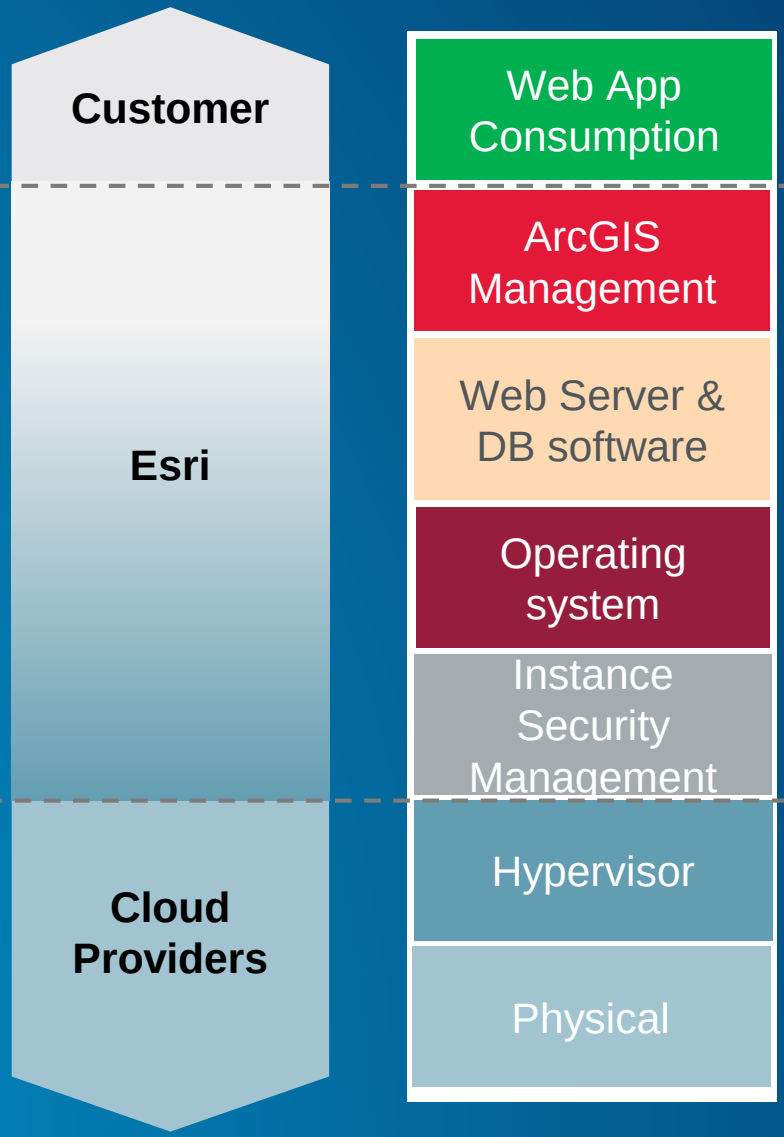
Moderate

# Compliance

## ArcGIS Online Assurance Layers

**AGOL SaaS**
FISMA Low
(USDA)
SafeHarbor
(TRUSTe)

**Cloud Provider**
ISO 27001
SSAE16
FedRAMP Mod




FISMA Authorization & Accreditation

U.S.-EU SAFEHARBOR U.S. DEPARTMENT OF COMMERCE

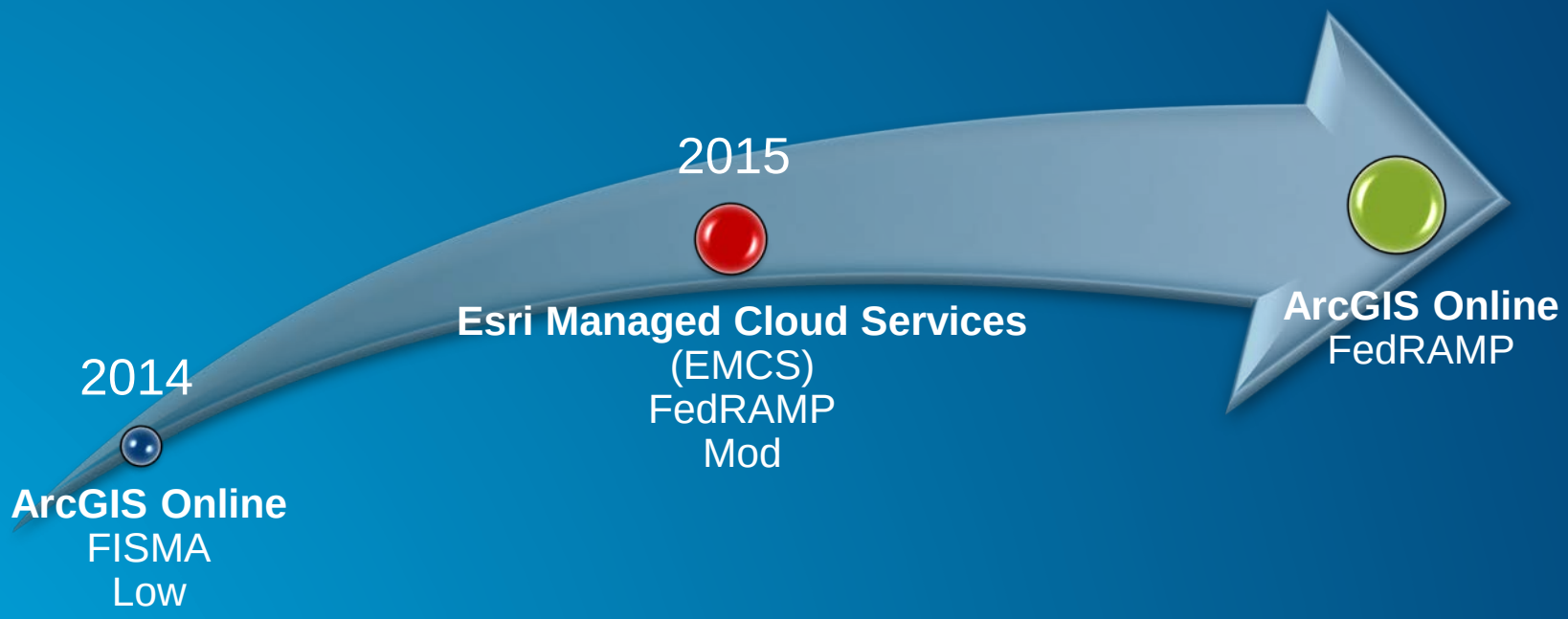
TRUSTe

Information Security Management System ISO 27001 Certified

FedRAMP

# Compliance

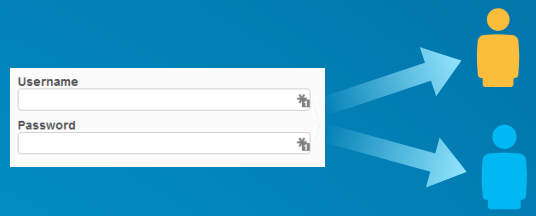
## Roadmap



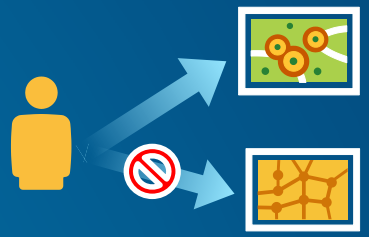
# Mechanisms


LDAP
Advanced
Non-Repudiation
SSL
Token
TDE
3rdParty
Web-Adapter
VPN
Encryption
Logging
DirectoryIntegrated
Filters
COTS
Basic
HTTPS
WAF
SSO
Authentication
SAML
Authorization

# Mechanisms




Authentication



Authorization

Filters

Encryption

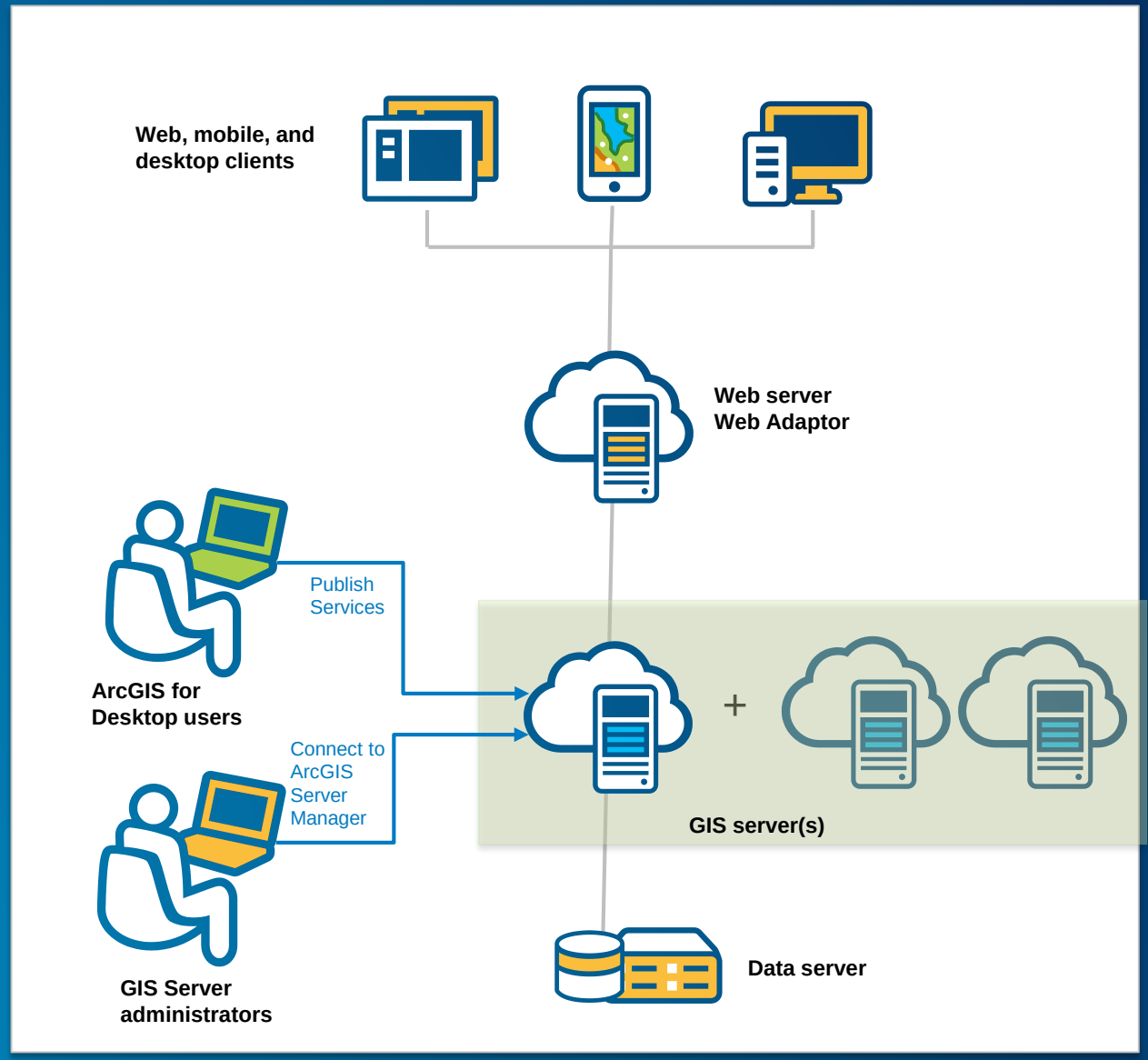
Logging/Auditing

# Mechanisms

## Authentication

- GIS Tier (Default)
  - Built-in User store
  - Enterprise (AD / LDAP)
  - ArcGIS Tokens

- Web Tier (Add web adaptor)
  - Enterprise (AD / LDAP)
  - Any authentication supported by web server
    - HTTP Basic / Digest
    - PKI
    - Windows Integrated



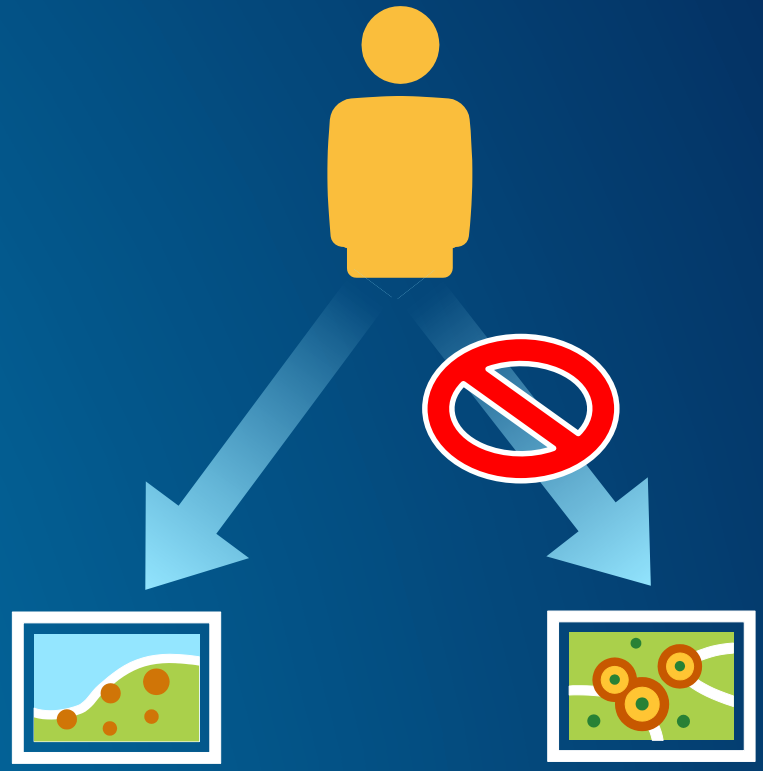
# Mechanisms

## Authorization – Role-Based Access Control

- **Esri COTS**
  - Assign access with ArcGIS Manager
  - Service Level Authorization across web interfaces
  - Services grouped in folders utilizing inheritance

- **3rd Party**
  - Web Services – Conterra's Security Manager (more granular)
  - RDBMS – Row Level or Feature Class Level
    - Versioning with Row Level degrades RDBM performance
    - Alternative - SDE Views

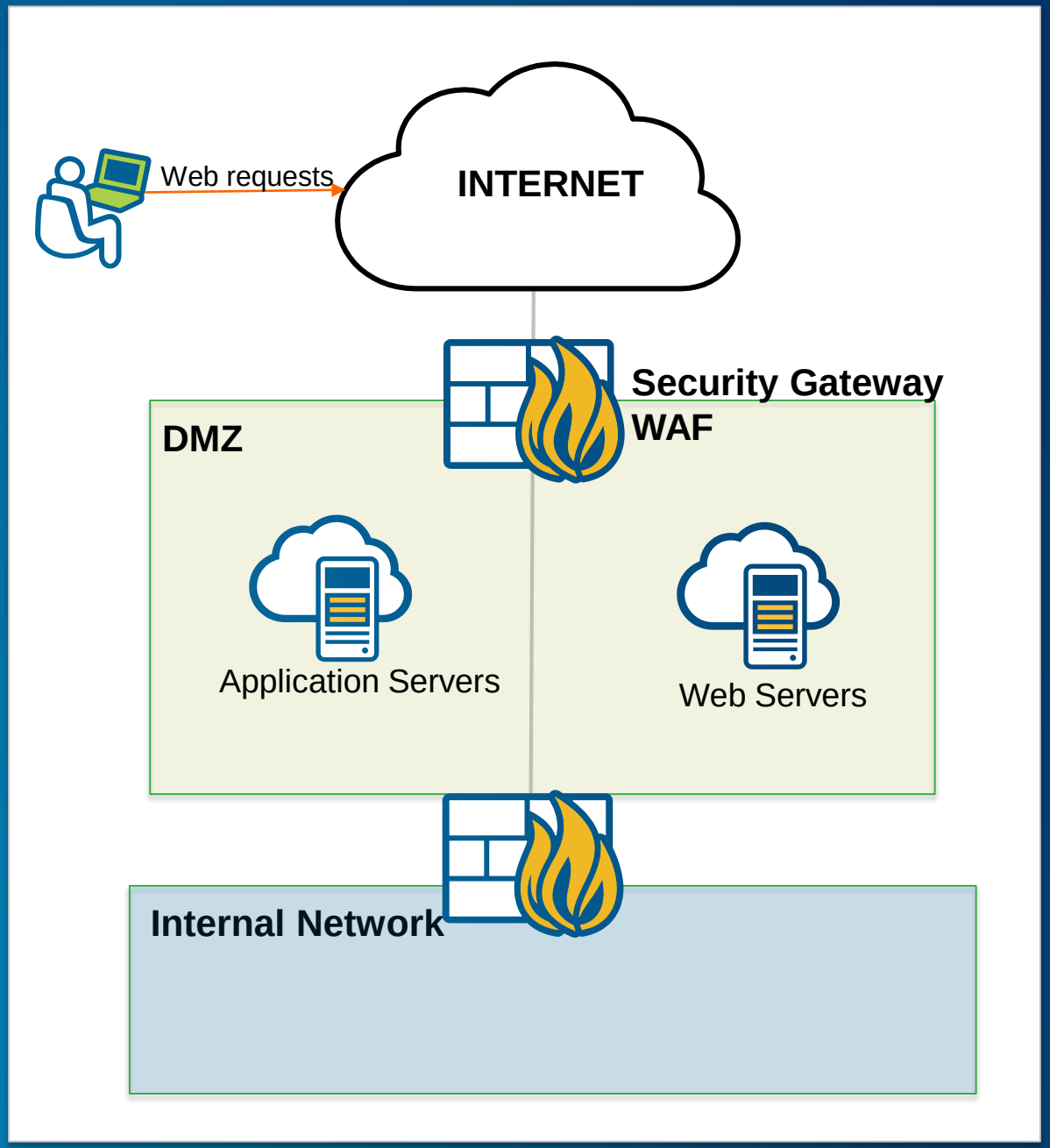
- **URL Based authorization**
  - IIS 7.0 and above
  - Authorization based on the URL itself



# Mechanisms

## Filters – 3rd Party Options

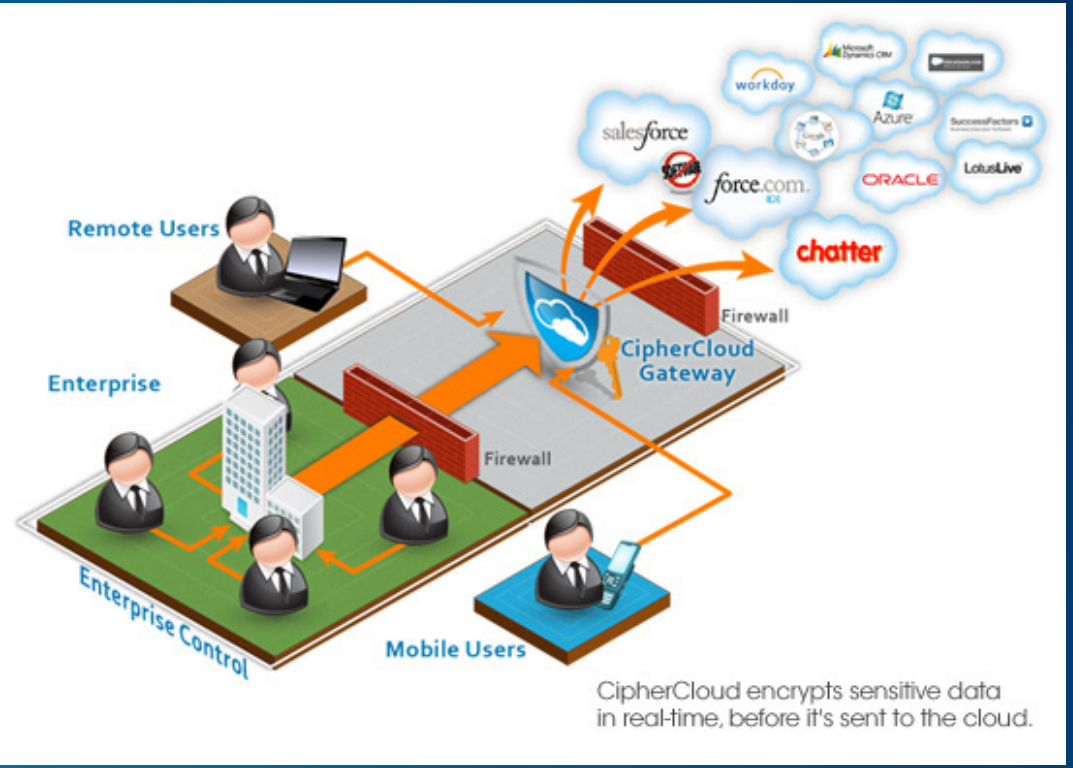
- Firewalls
- Reverse Proxy
- Web Application Firewall (WAF)
- Anti-Virus Software
- Intrusion Detection / Prevention Systems



# Mechanisms

## Encryption – 3rd Party Options

- Network
  - IPSec (VPN, Internal Systems)
  - SSL (Internal and External System)
  - Cloud Encryption Gateways
    - Only encrypted datasets sent to cloud




- File Based
  - Operating System – BitLocker
  - GeoSpatially enabled PDF's combined with Digital Rights Management
  - Hardware (Disk)

- RDBMS
  - Transparent Data Encryption (TDE)
  - Low Cost Portable Solution - SQL Express 2012 w/TDE

# Mechanisms

## Logging/Auditing

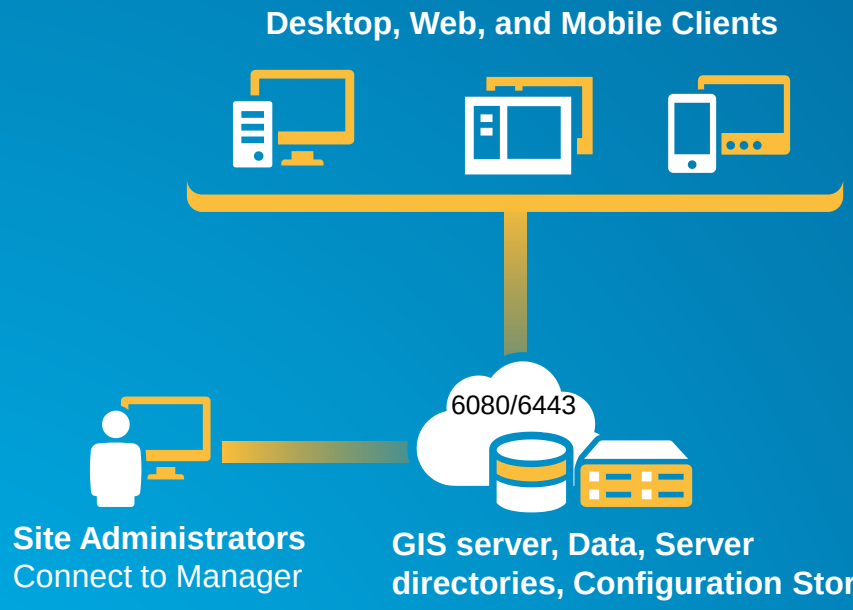
- Esri COTS
  - Geodatabase history
    - May be utilized for tracking changes
  - ArcGIS Workflow Manager
    - Track Feature based activities
  - ArcGIS Server 10+ Logging
    - “User” tag tracks user requests
- 3rd Party
  - Web Server, RDBMS, OS, Firewall
  - Consolidate with a SIEM
- 3rd party geospatial service monitors
  - Upcoming – GIS Management pack for MS System Center
  - Esri – System Monitor
  - Vestra – GeoSystems Monitor
  - Geocortex Optimizer

```
<Msg time='2009-10-31T14:36:05'
        type='INFO3'
        code='4004'
        target='Yellowstone.MapServer'
        machine='padisha'
 user='Fred'
        thread='2936'
        elapsed='2.443'>
        Server Object instance is succes
</MSG>
```

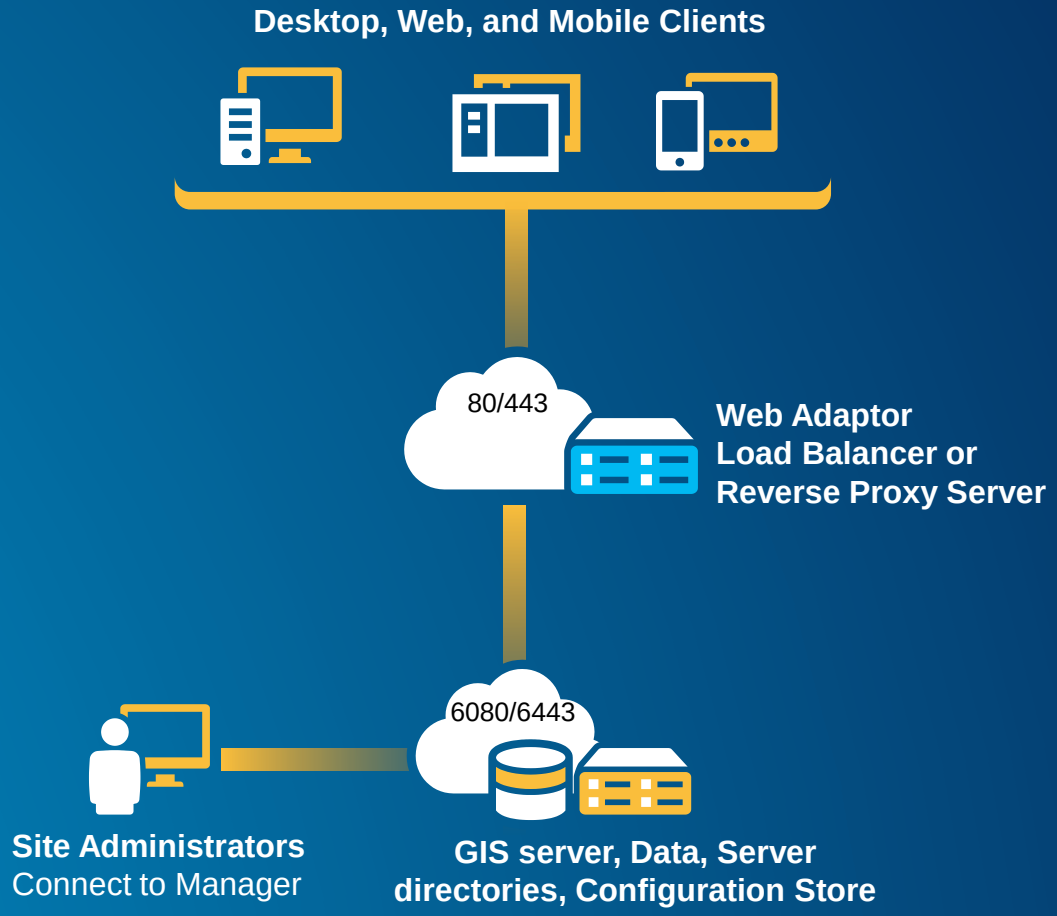
# ArcGIS Server

# ArcGIS Server

## Single ArcGIS Server machine




**Simplified Development/Test Environment (ArcGIS Token Security)**

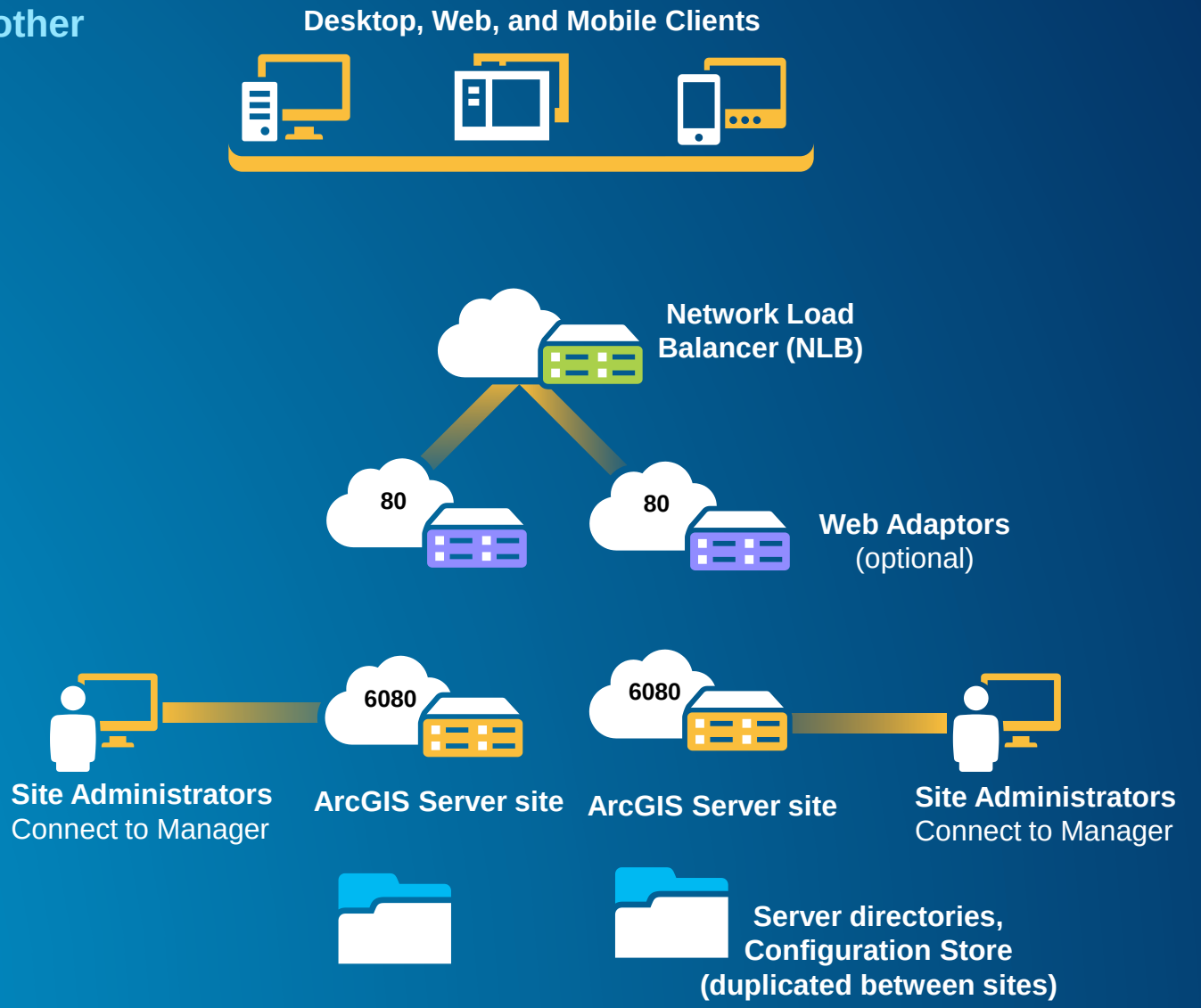



**Front-end GIS Server with Web Adaptor & take advantage of Web tier authentication (Integrated, Digest, Basic)**

# ArcGIS Server

## ArcGIS Server HA - Sites independent of each other

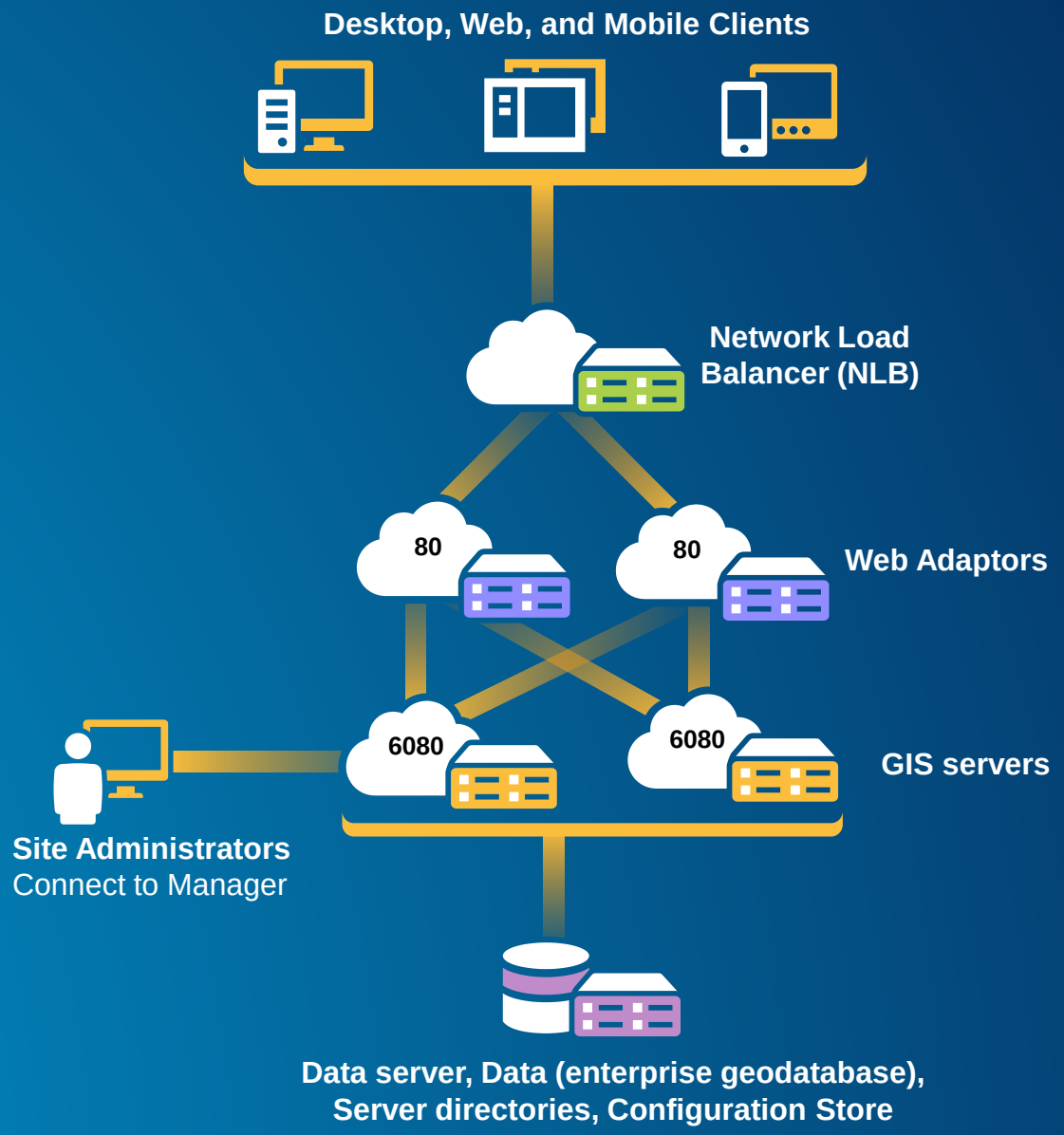
- **Active-active configuration is shown**
  - **Active-passive is also an option**
- **Separate configuration stores and management**
  - **Scripts can be used to synchronize**
- **Cached map service for better performance**
- **Load balancer to distribute load**



# ArcGIS Server

## ArcGIS Server HA – Shared configuration store

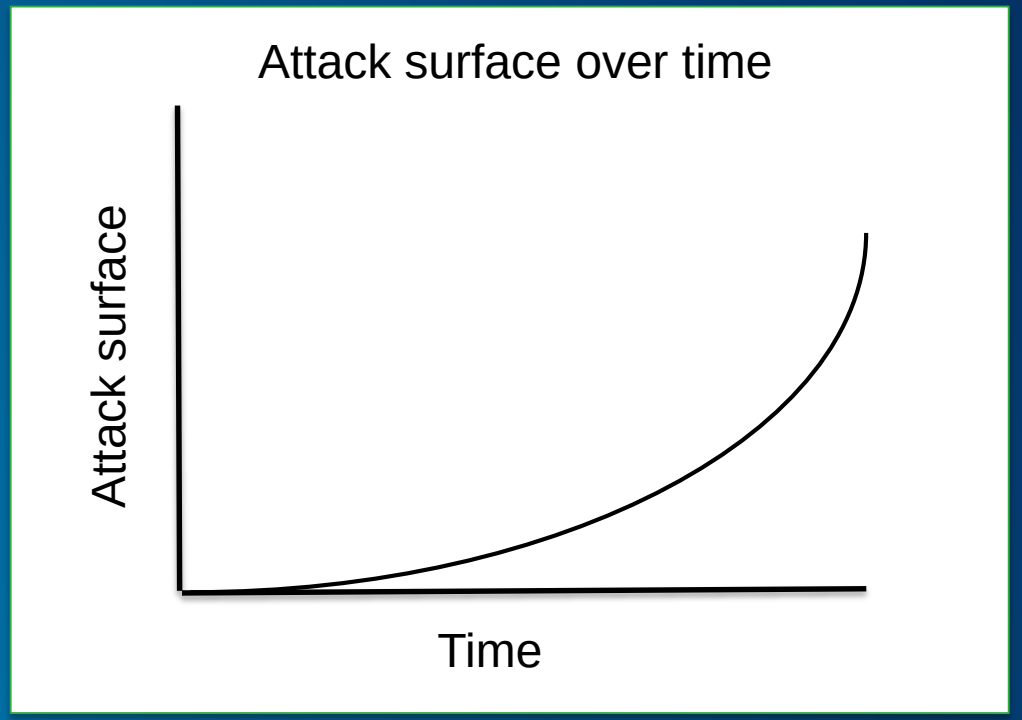
- Shared configuration store
- Web Adaptor will redirect if server fails
- Config change could affect whole site
  - Example: publishing a service
- Test configuration changes



# ArcGIS Server

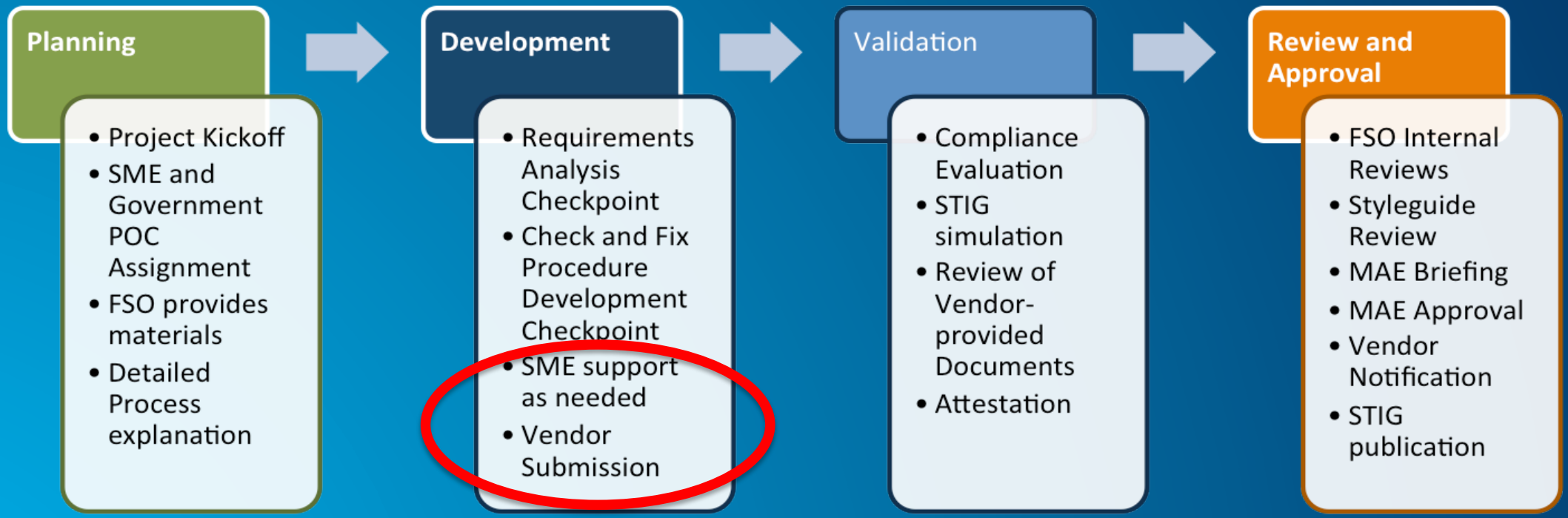
## Minimize Attack Surface

- Don’t expose Server Manager to public
- Disable Services Directory
- Disable Service Query Operation (as feasible)
- Enable Web Service Request Filtering
  - Windows 2008 R2+ Request Filtering
  - XML Security Gateway
  - Does not intercept POST requests
  - REST API only requires GET and HEAD verbs
    - Exception – Utilize POST for token requests
- Limit utilization of commercial databases under website
  - File GeoDatabase can be a useful intermediary (SQL injection does not work)
- Require authentication to services



# ArcGIS Server

## DISA STIG for 10.3




*Draft STIG Settings Provided to DISA – Undergoing SME Review*

# ArcGIS Server

## Enhancements

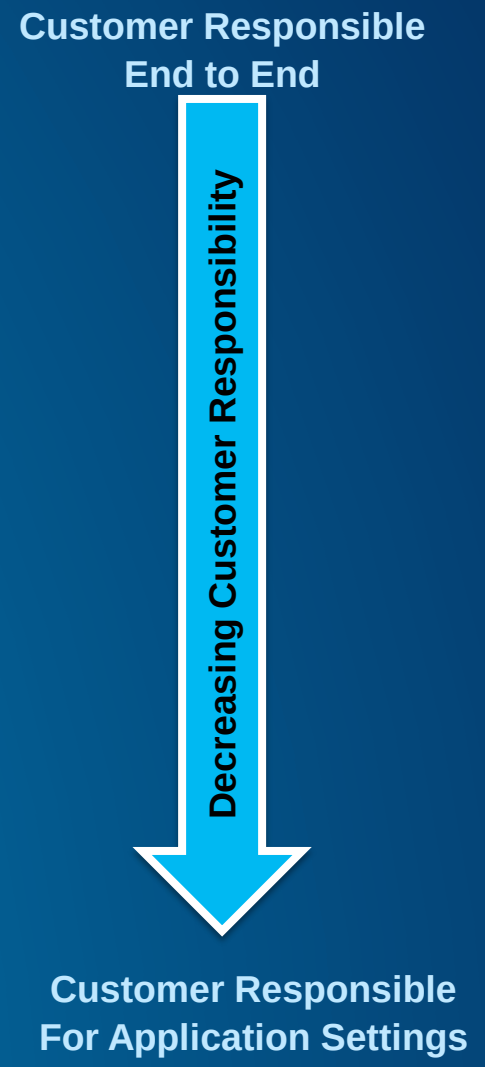
- Single-Sign-On (SSO) for Windows Integrated Authentication
  - Works across ArcGIS for Server, Portal, and Desktop
- Stronger PKI validation
  - Leverage multi-factor authentication when accessing applications, computers, and devices
  - Web adaptor deployed to web server forwards to AGS the request and username
- Integrated account management and publishing capabilities
  - Across ArcGIS for Server and Portal in a federated configuration
- Key SQL Injection vulnerabilities addressed
  - Changes made in 10.2 may affect some advanced users that were using database-specific SQL statements in their custom applications
- Add support for
  - Active Directory nested groups & domain forests
  - Configuring Private and Public services within the same ArcGIS Server site

# Cloud

# Cloud

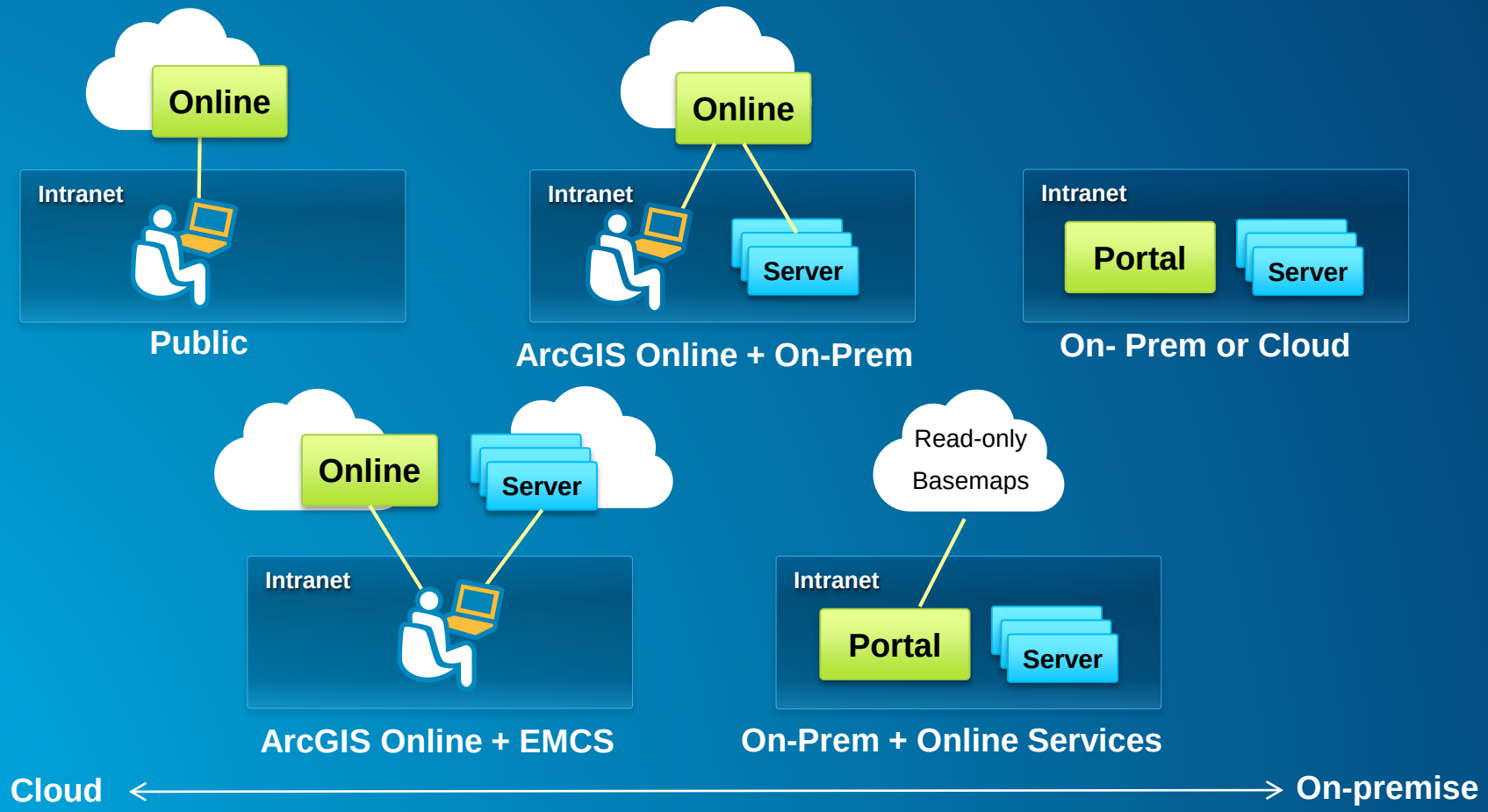
## Service Models

- **On-Premises**
  - Traditional systems infrastructure deployment
  - Portal for ArcGIS & ArcGIS Server
- **IaaS**
  - Portal for ArcGIS & ArcGIS Server
  - Some Citrix / Desktop
- **SaaS**
  - ArcGIS Online
  - Esri Managed Cloud Services



# Cloud

## Deployment Models



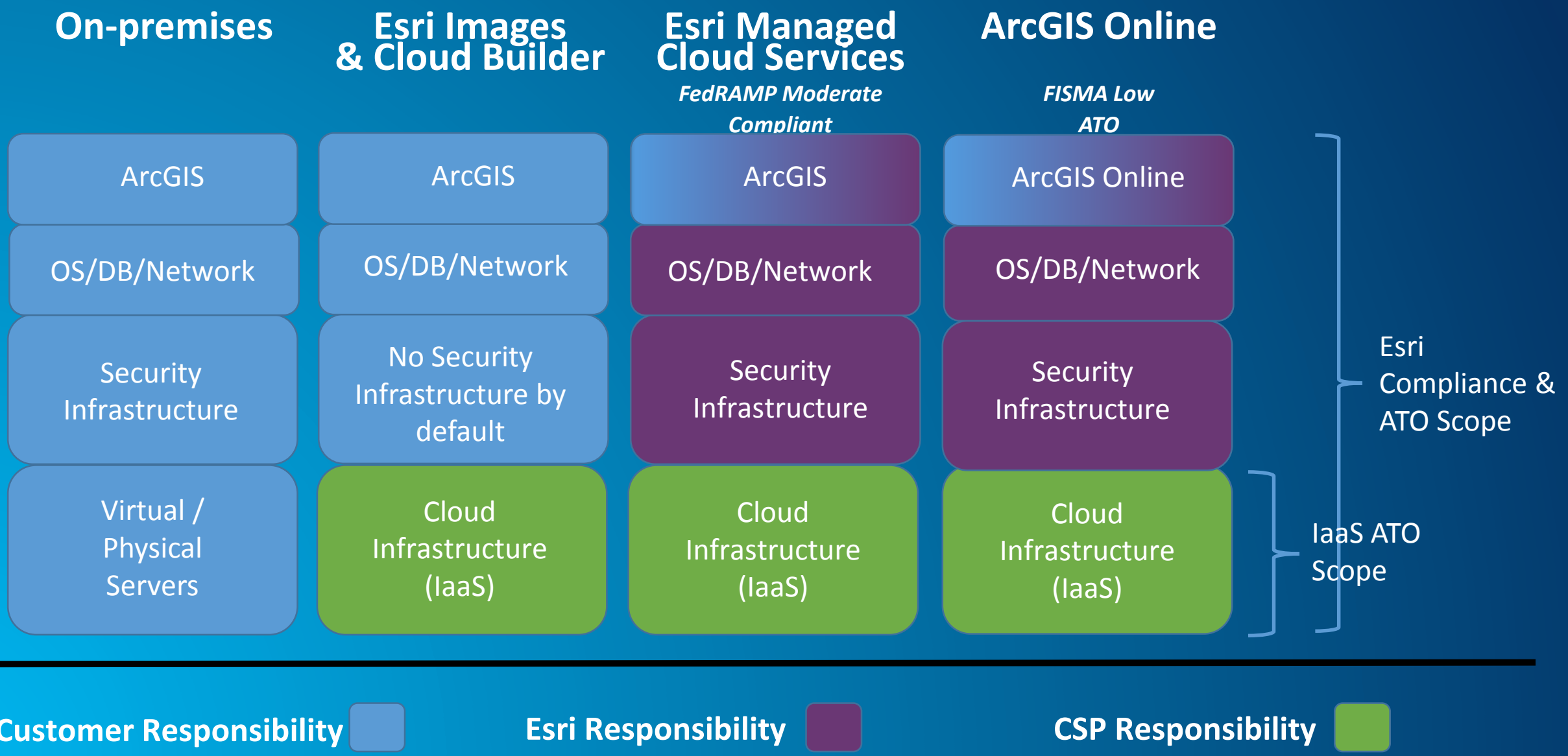
# Cloud

## Management Models

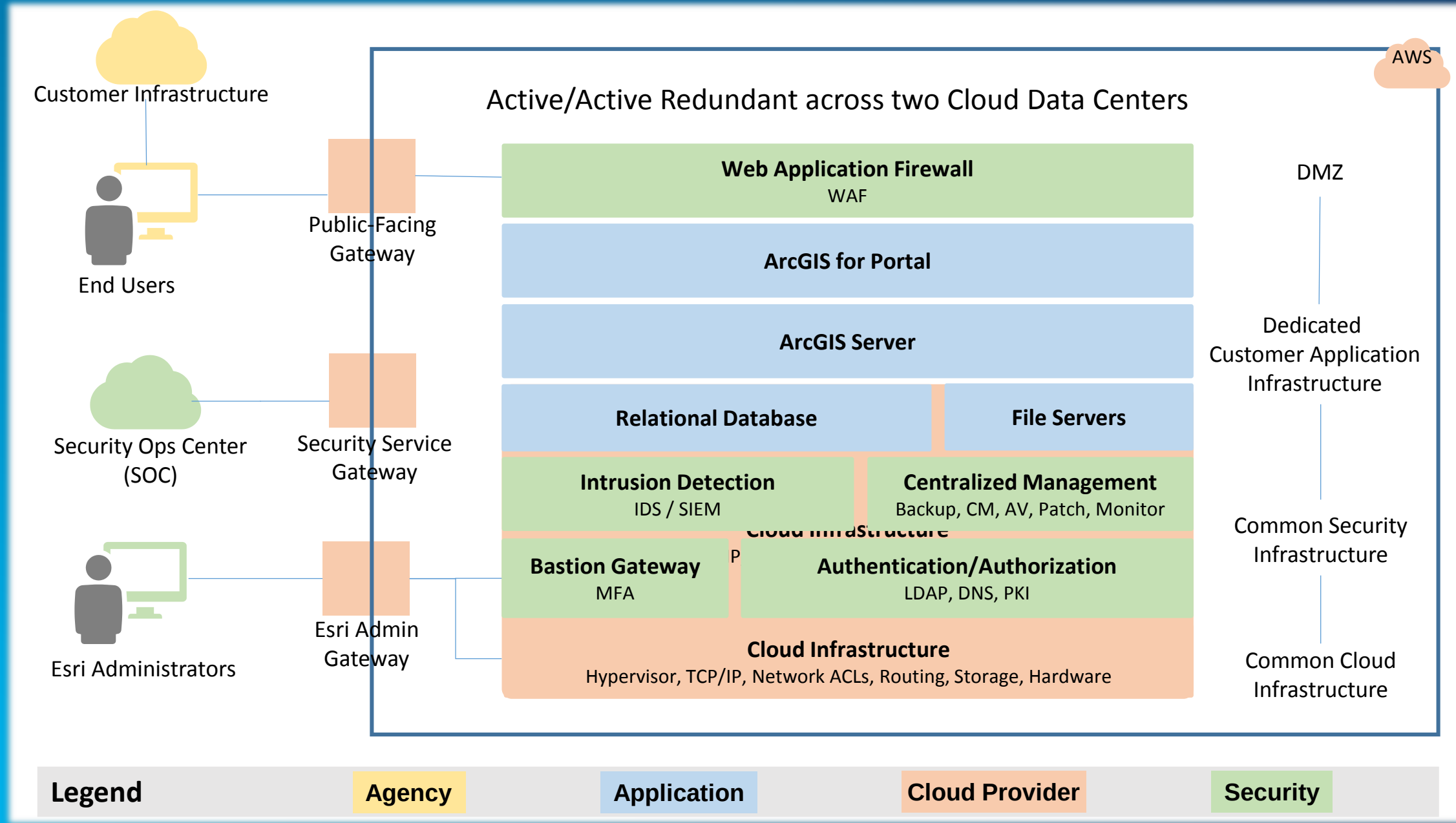
- **Self-Managed**
  - **You are responsible for managing IaaS deployment and it’s security**
- **Provider Managed**
  - **Esri Managed Cloud Services**
    - **Basic / Advanced / Advanced Plus options**
    - **New FedRAMP Compliant option part of Advanced Plus**

# Cloud

## Responsibility Across Deployment Options



# EMCS Security Infrastructure



# Cloud

## Hybrid deployment combinations


### On-Premises

- Ready in months/years
- Behind your firewall
- You manage & certify

### Esri Managed Cloud Services

- Ready in days
- All ArcGIS capabilities at your disposal in the cloud
- Dedicated services
- FedRAMP Moderate

### ArcGIS Online

- Ready in minutes
- Centralized geo discovery
- Segment anonymous access from your systems
- FISMA Low

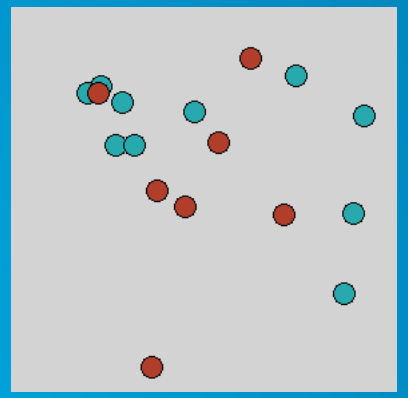
*. . . All models can be combined or separate*

# Cloud

Hybrid – Data sources

- **Where are internal and cloud datasets combined?**
  - **At the browser**
  - **The browser makes separate requests for information to multiple sources and does a “mash-up”**
  - **Token security with SSL or even a VPN connection could be used between the device browser and on-premises system**

**On-Premises Operational Layer Service**

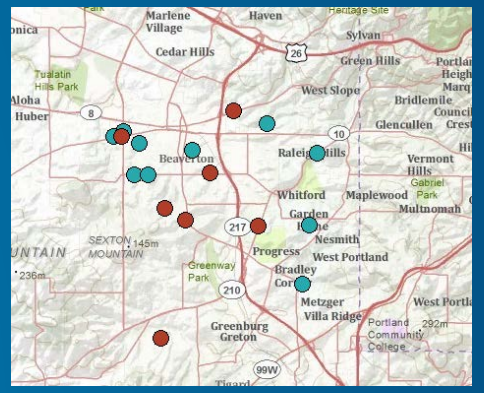


**https://YourServer.com/arcgis/rest...**

**Cloud Basemap Service ArcGIS Online**


**http://services.arcgisonline.com...**

**Browser Combines Layers**



# Cloud

## Standards

- **Enterprise Logins**
  - **SAML 2.0**
  - **Provides federated identity management**
  - **Integrate with your enterprise LDAP / AD**
  - **Added to Portal for ArcGIS 10.3**

- **API’s to Manage users & app logins**
  - **Developers can utilize OAuth 2-based API’s**
  - **https://developers.arcgis.com/en/authentication/**


# Cloud

## Data Locations


Utilized by organizations requiring dedicated infrastructure and/or disconnected from Internet


Shift from cap-ex to op-ex while allowing flexibility of choosing level of multi-tenancy


Provides a centralized geospatial discovery portal and instantly scalable public information dissemination

# Esri Managed Cloud Services

Erin Ross


# What is Esri Managed Cloud Services?

Esri cloud GIS experts supporting customer apps & data in the cloud

# ArcGIS Online and Esri Managed Cloud Services

**Users**

- ✓ Desktop
- ✓ Web
- ✓ Mobile

**ArcGIS Online**

- ✓ Online Basemaps
- ✓ Geocoding, Routing
- ✓ Hosted Feature & Tile Map Services
- ✓ App Templates

**Esri Managed Cloud Services**

- ✓ Custom Web Apps
- ✓ GP, Reporting Services
- ✓ Imagery, Large Datasets
- ✓ Dynamic Map Services
- ✓ RDBMS (Oracle, SQL Server)

*ArcGIS Online front-end, Managed Cloud Services back-end*

# What is included?

- **Provide Cloud-based GIS infrastructure support, including:**
  - **Enterprise system design**
  - **Infrastructure management**
  - **Software (Esri & 3rd Party) Installation, updates and patching**
  - **Application deployment**
  - **Database management**
  - **24/7 support and monitoring**

# Benefits of Esri Managed Cloud Services

– Increase efficiency and business focus –

– High availability, quality and performance –

– Reduce internal costs –

– Preserves data integrity, privacy and availability–

– Increase usage and productivity –

*Cloud GIS experts managing your critical apps and content*

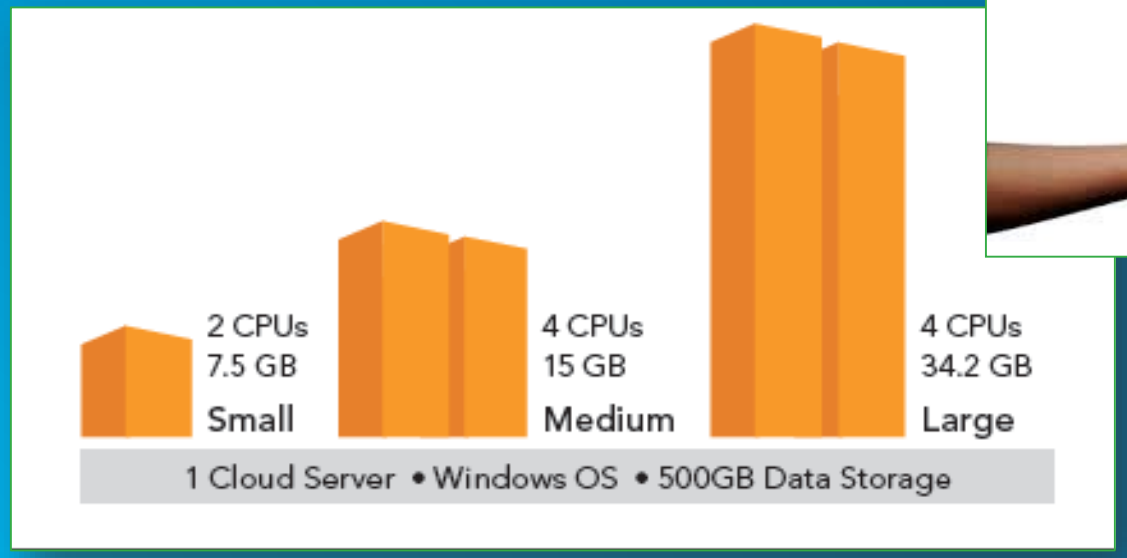
# How is it delivered?

**Available on GSA**

| | Packages | | | |
|---|---|---|---|---|
| | Basic | Standard | Advanced | Advanced Plus |
| Provisioning | ✓ | ✓ | ✓ | ✓ |
| Monitoring | ✓ | ✓ | ✓ | ✓ |
| Image Backups | ✓ | ✓ | ✓ | ✓ |
| System Design Support | | ✓ | ✓ | ✓ |
| Application/DB Deployment | | ✓ | ✓ | ✓ |
| Application/DB Management | | ✓ | ✓ | ✓ |
| Application/Data Updates | | ✓ | ✓ | ✓ |
| Auto Scale-up/down | | ✓ | ✓ | ✓ |
| Redundancy | | | ✓ | ✓ |
| Geographic Redundancy | | | | ✓ |
| FedRAMP Moderate Compliant | N/A | | | ✓ |
| System Availability | N/A | 95% | 99% | 99.9% |
| Fastest Guaranteed Response | N/A | 1 hour | 1 hour | 1 hour |

# Basic Packages “Sandbox”

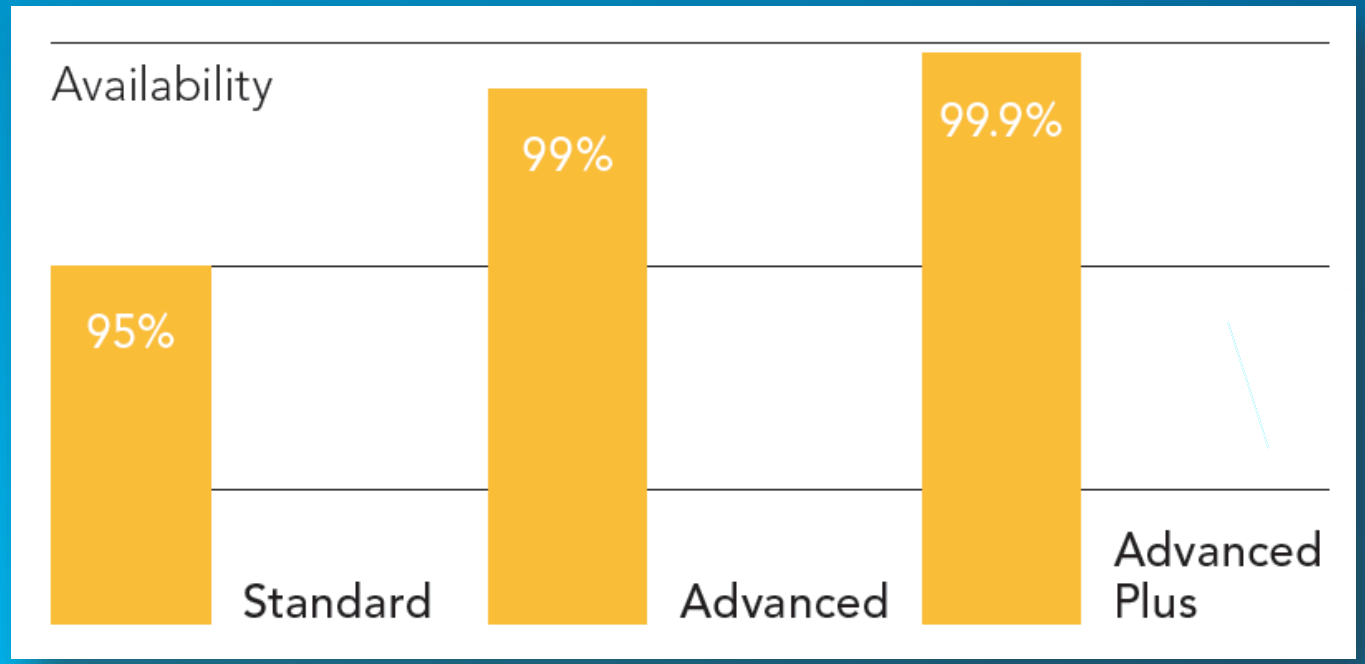
- Ready to use cloud instance of ArcGIS for Server
- Remote access provided to user

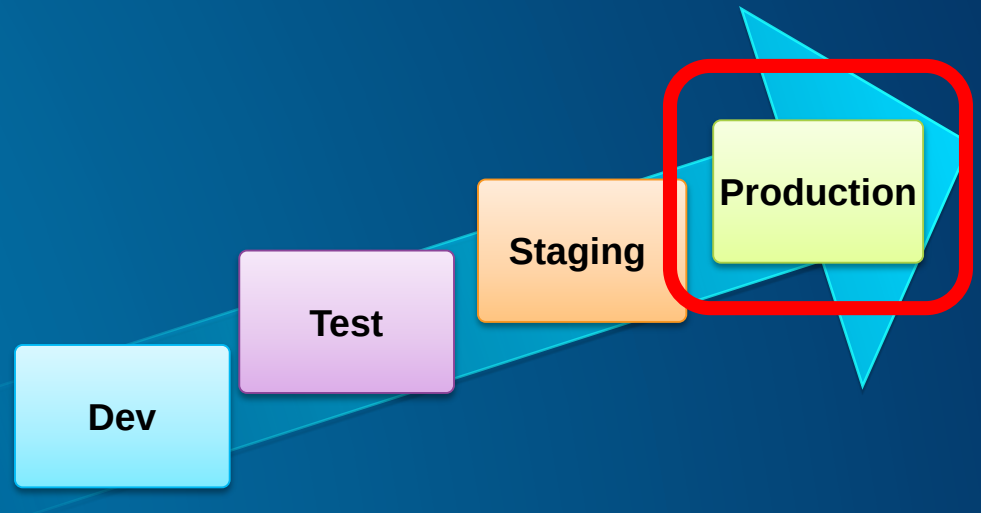



**Ideal for development, prototyping...**

# Standard, Advanced, Advanced *Plus* Packages

- Esri loads, publishes and deploys on behalf of customer
- 24/7 system monitoring and support
- Ideal for production systems (internal or public facing)

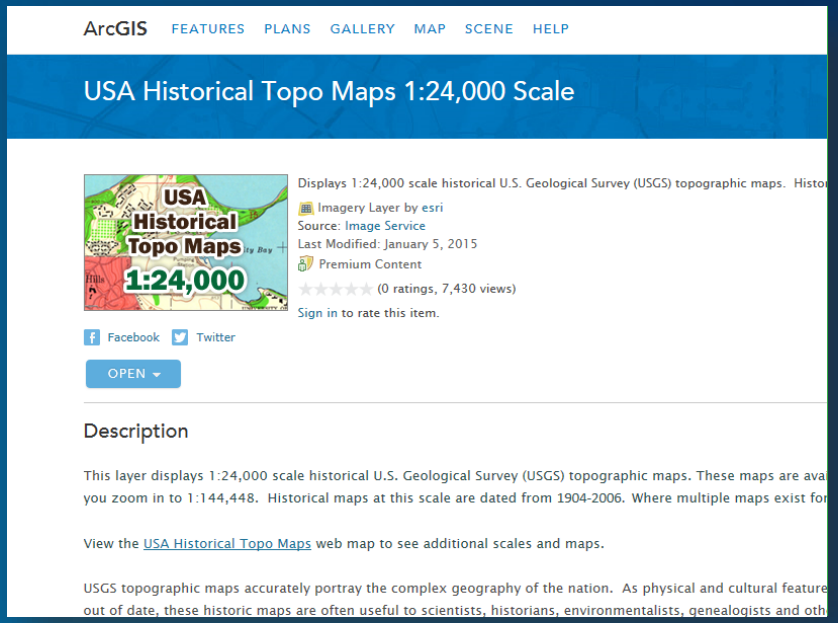


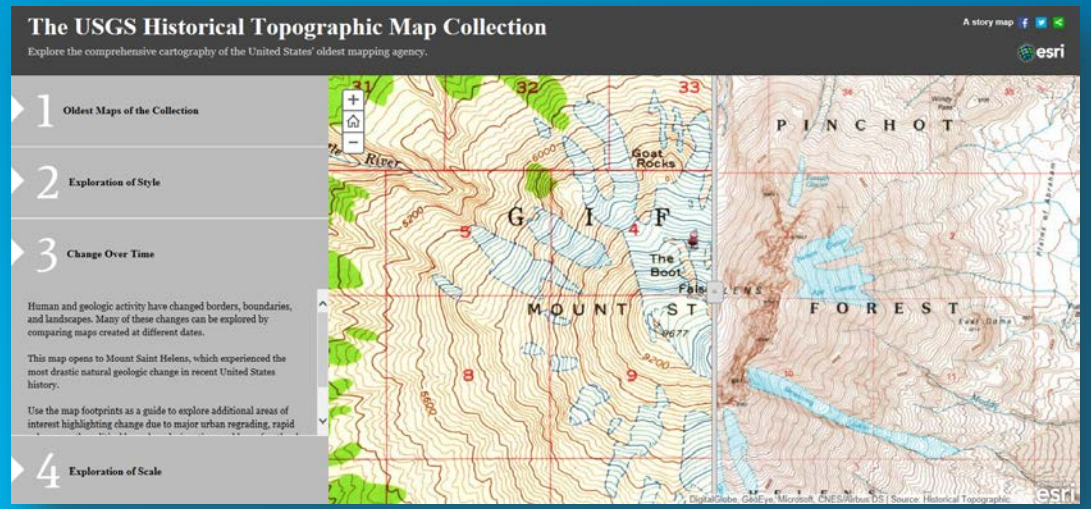



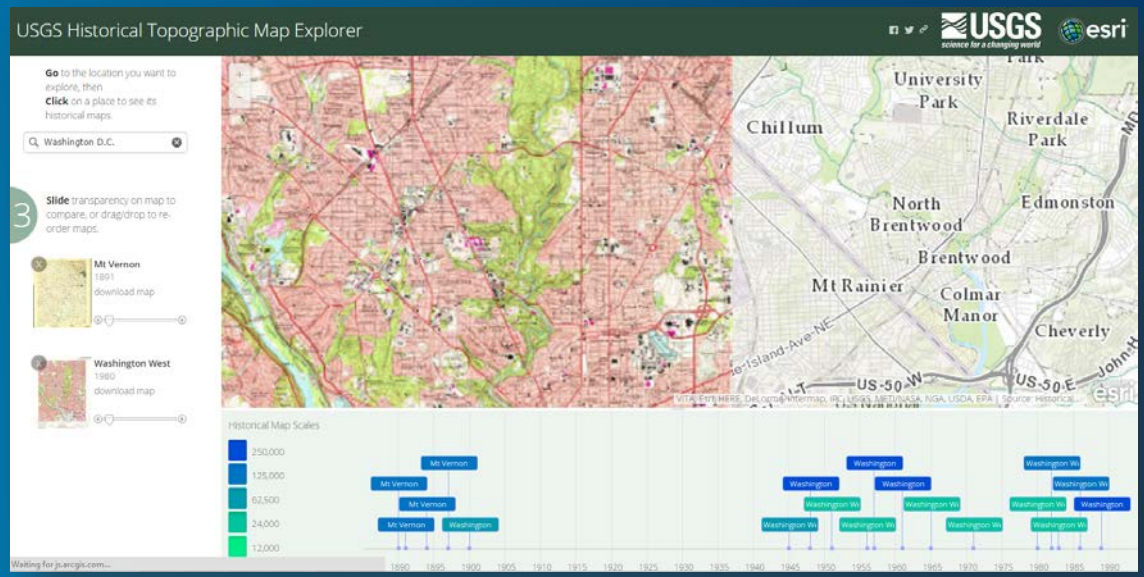
# Esri Managed Cloud Services Use Cases

# USGS Historical Topographic Maps

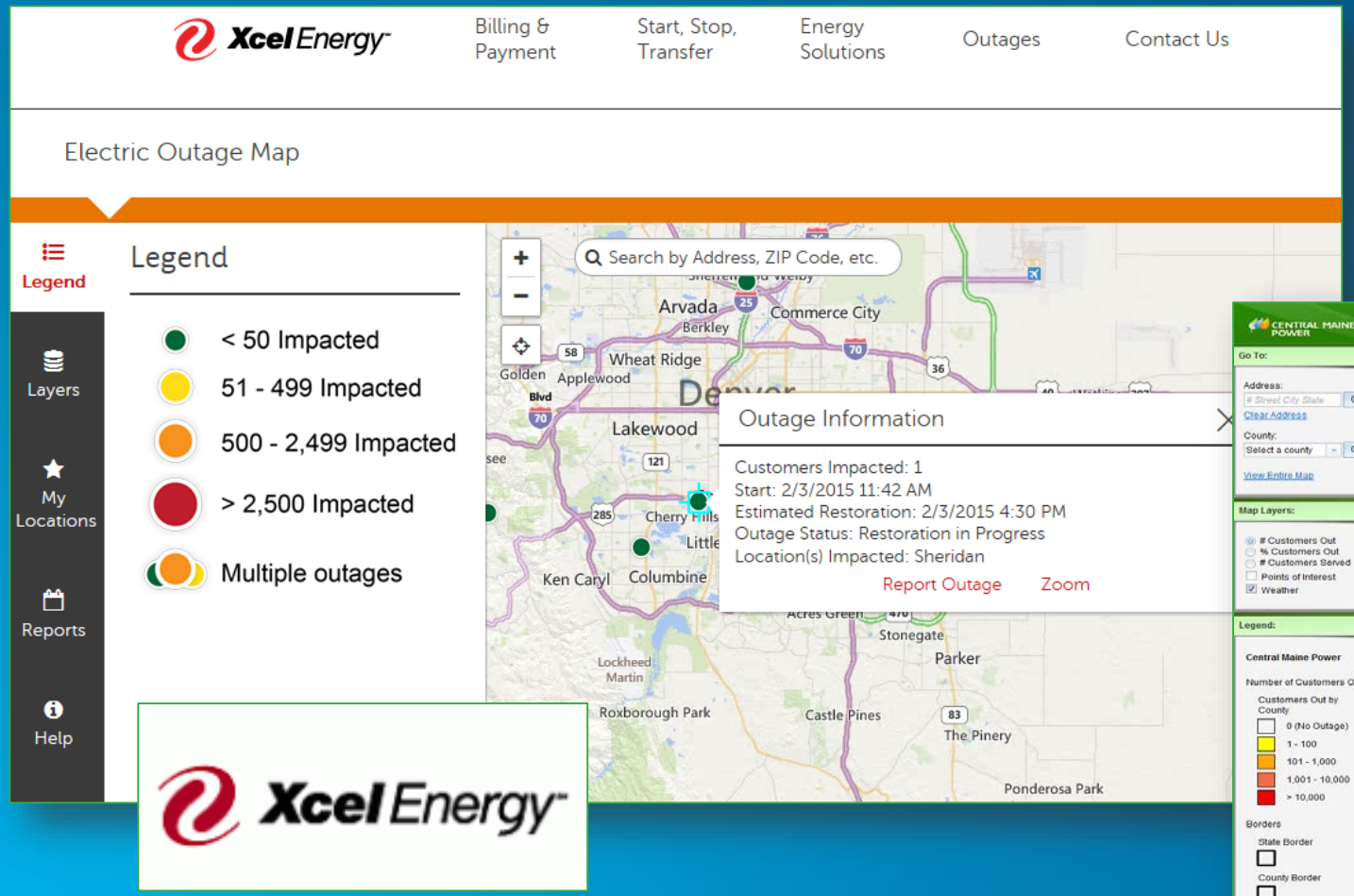
- More than 175,000 topographic maps published by the USGS since 1884
- 22 TB data x 2 for redundancy
- 1.6 million hits during Esri User Conference
- Consumed by several apps; premium service available in ArcGIS Online



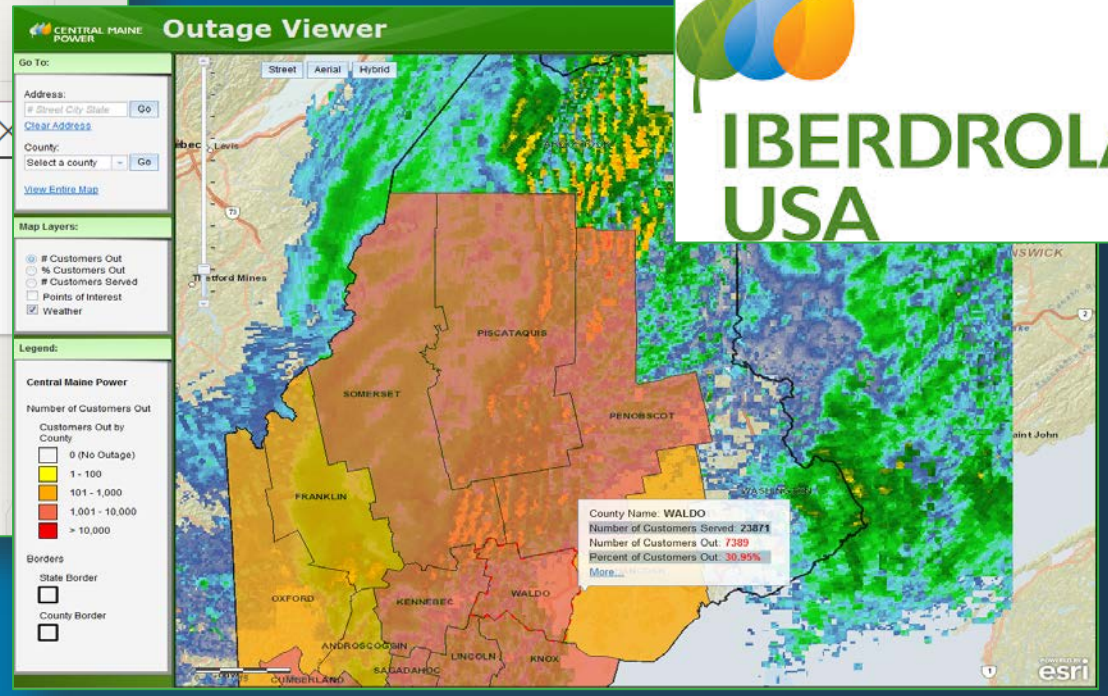



# Power Outage Viewers











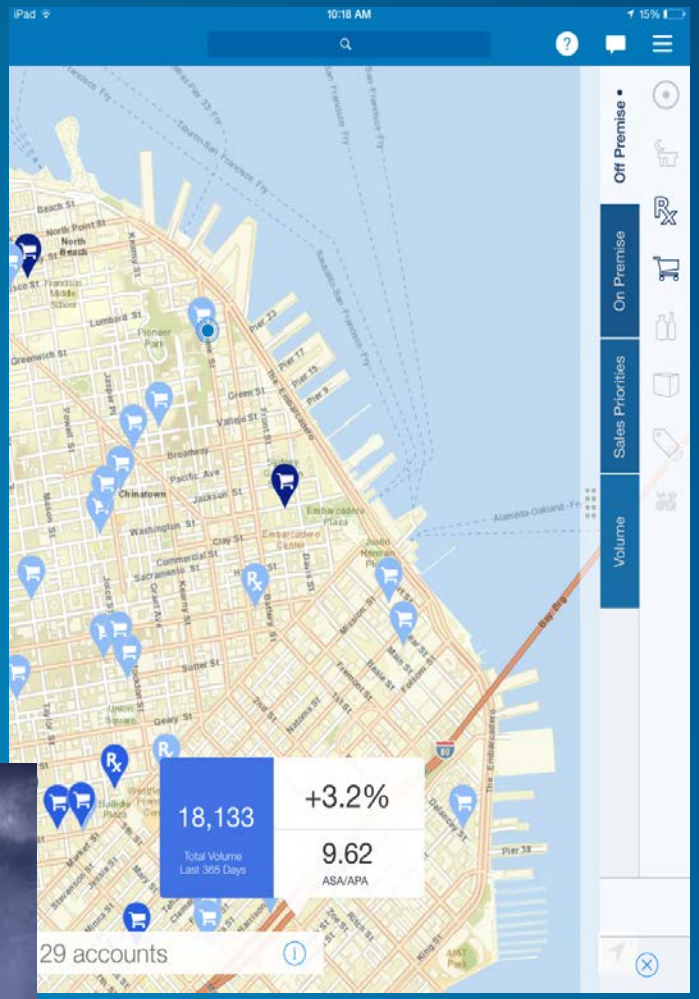


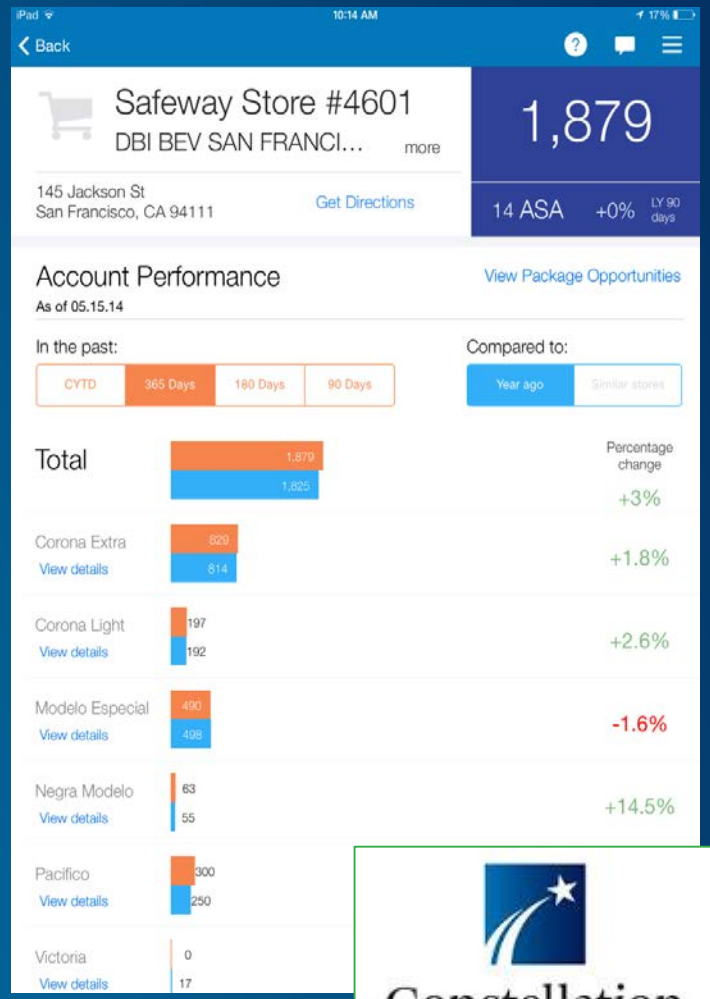
- **Highly available, scalable systems ready to perform during major events**
- **Frequent, automated data updates**

*Bringing critical outage information to the general public*

# Constellation Brands

- **Improve sales by leveraging tools to drive volume and revenue**
- **4th of July deadline**
- **2.7M records updated 2x / week via scripted tools**

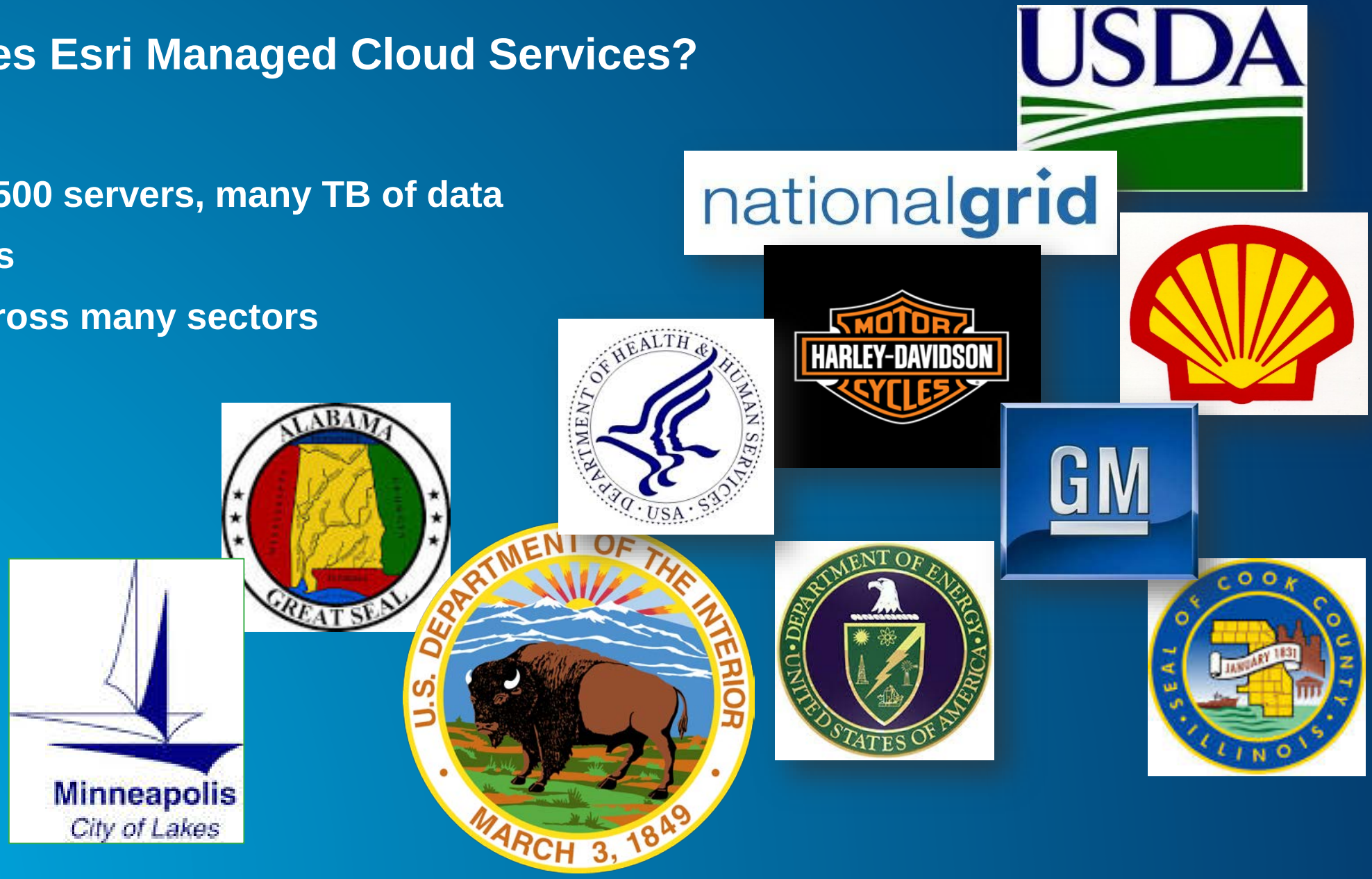




Constellation Brands

*Equipping staff with valuable information to increase sales*

# Who else uses Esri Managed Cloud Services?

- Manage over 500 servers, many TB of data
- 80+ customers
- Leveraged across many sectors



# Summary

# Summary

- **Security is NOT about just a technology**
  - **Understand your organizations GIS risk level**
  - **Prioritize efforts according to your industry and needs**
  - **Don’t just add components, simplified Defense In Depth approach**

- **Secure Best Practice Guidance is Available**
  - **Check out the ArcGIS Trust Site!**
  - **ArcGIS Security Architecture Workshop**
    - **SecureSoftwareServices@esri.com**

Federal GIS Conference

February 9–10, 2015 | Washington, DC

esri

# Don’t forget to complete a session evaluation form!

# Questions?


Understanding our world.