

Mapped Today; Zapped Tomorrow? Preserving Government Digital Geospatial Data

Butch Lazorchak, Library of Congress

Alec Bethune, North Carolina Center for Geographic Information and Analysis

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ESRI Federal Users Conference | 19 February 2010 | Washington, DC



Why is the Library of Congress Interested in Preserving Digital Geospatial Data?

Butch Lazorchak
Library of Congress

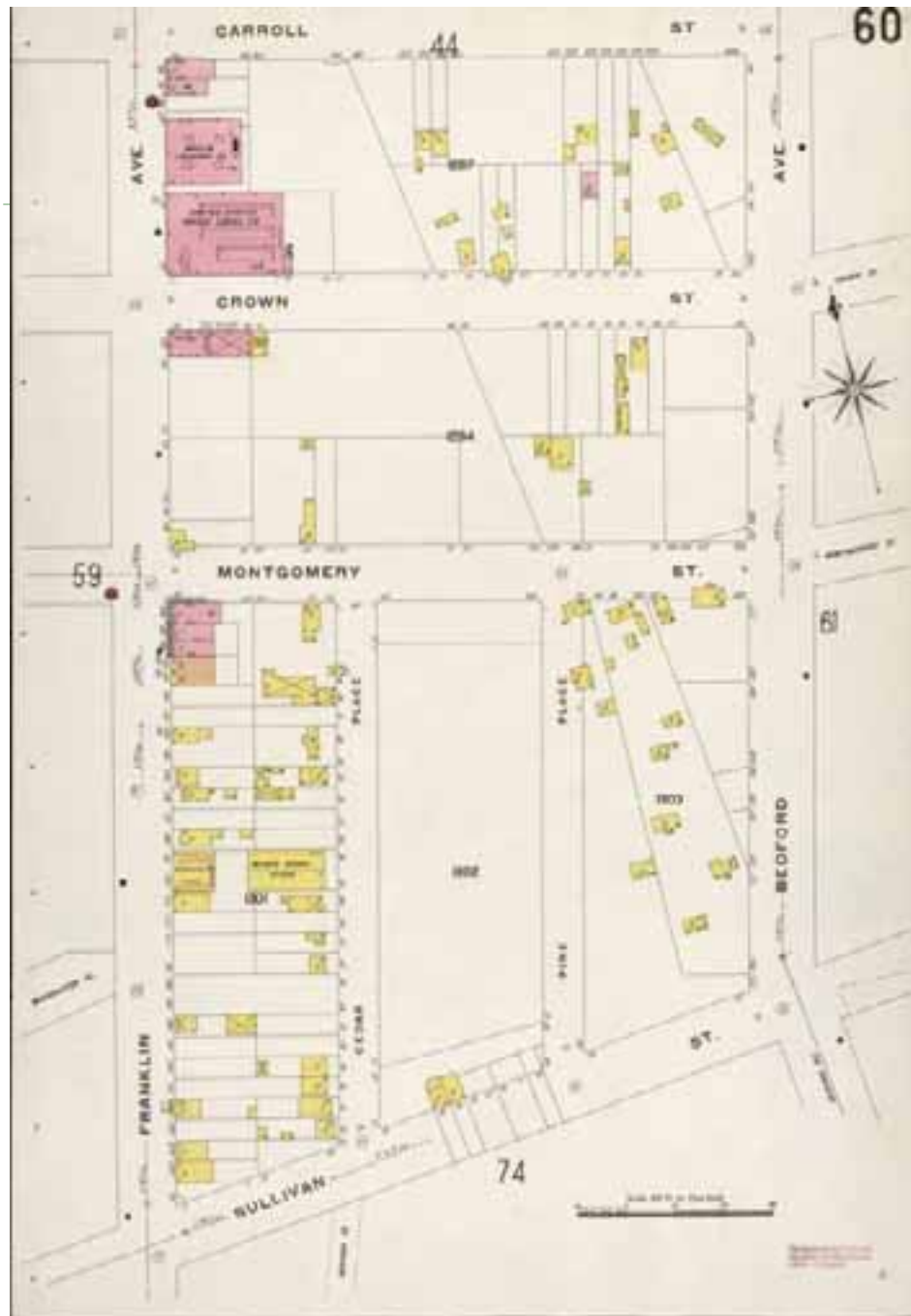
- ▶ The Library's mission is to make its resources available and useful to the Congress and the American people and to sustain and preserve a universal collection of knowledge and creativity for future generations.
- ▶ Geography and Maps Division features the largest and most comprehensive cartographic collection in the world



- ▶ Of course, the mission to collect doesn't prescribe exactly those future generations will do with all that knowledge and creativity. In fact, we can't know.



- ▶ We do know that serendipity's record is pretty good





<http://sidesalad.net/archives/ApartmentsAtTheSiteOfTheFormerEbbetsField.JPG>



The National Map
Viewer
Powered by Palantir™ s3

Find a Place

e.g., Phoenix, AZ or -115.14 36.17

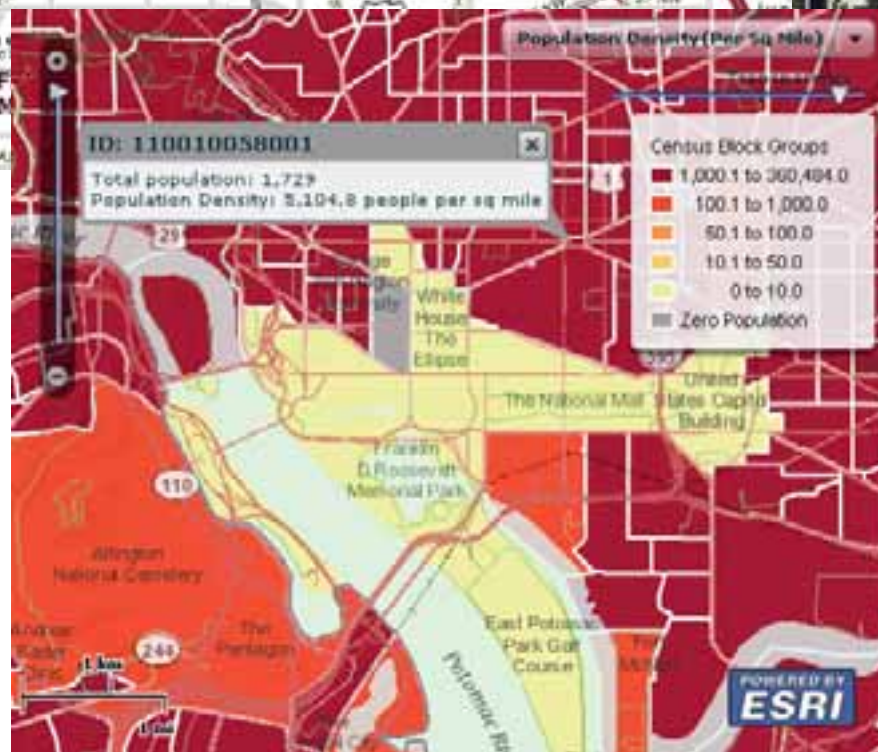
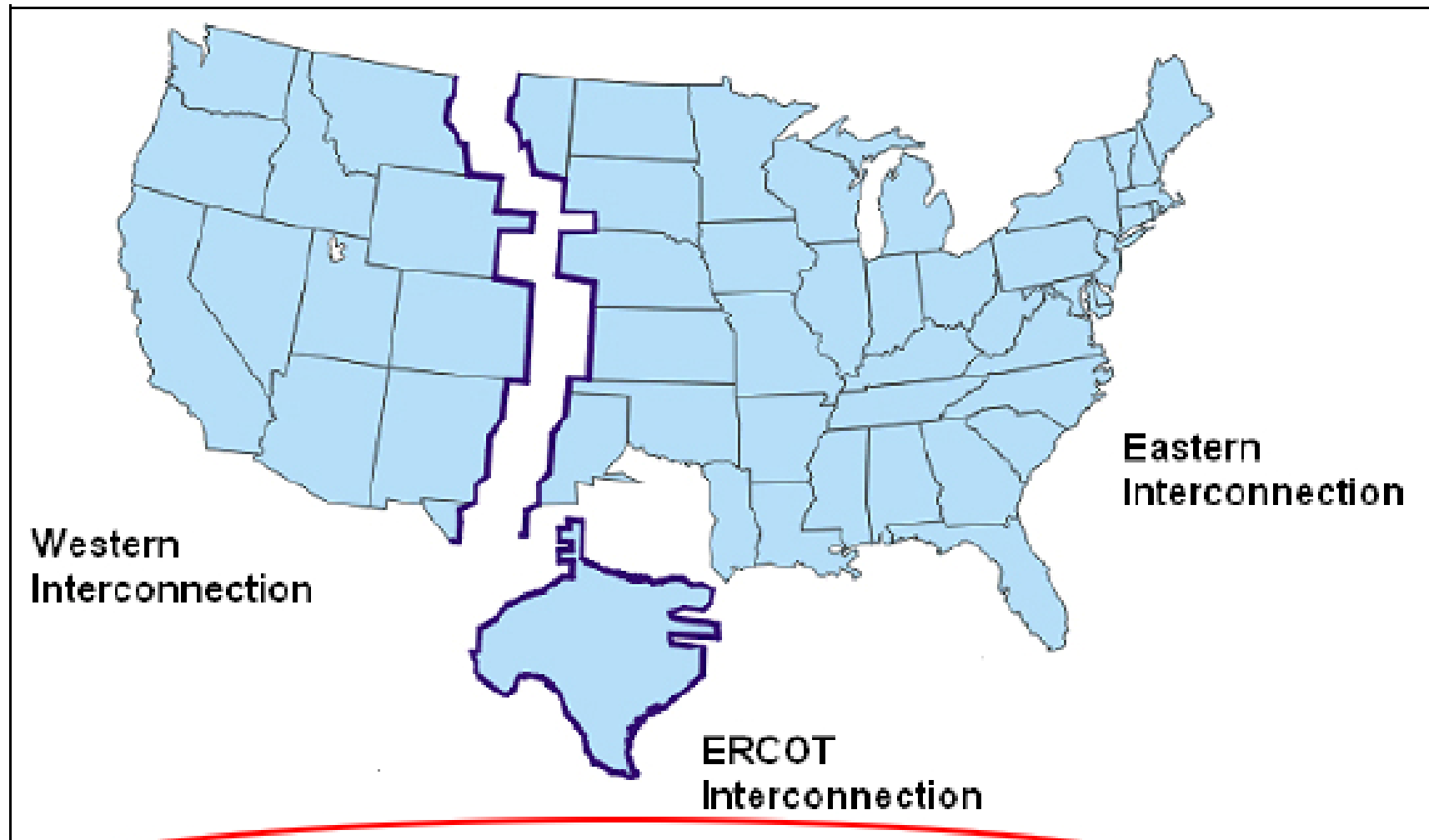


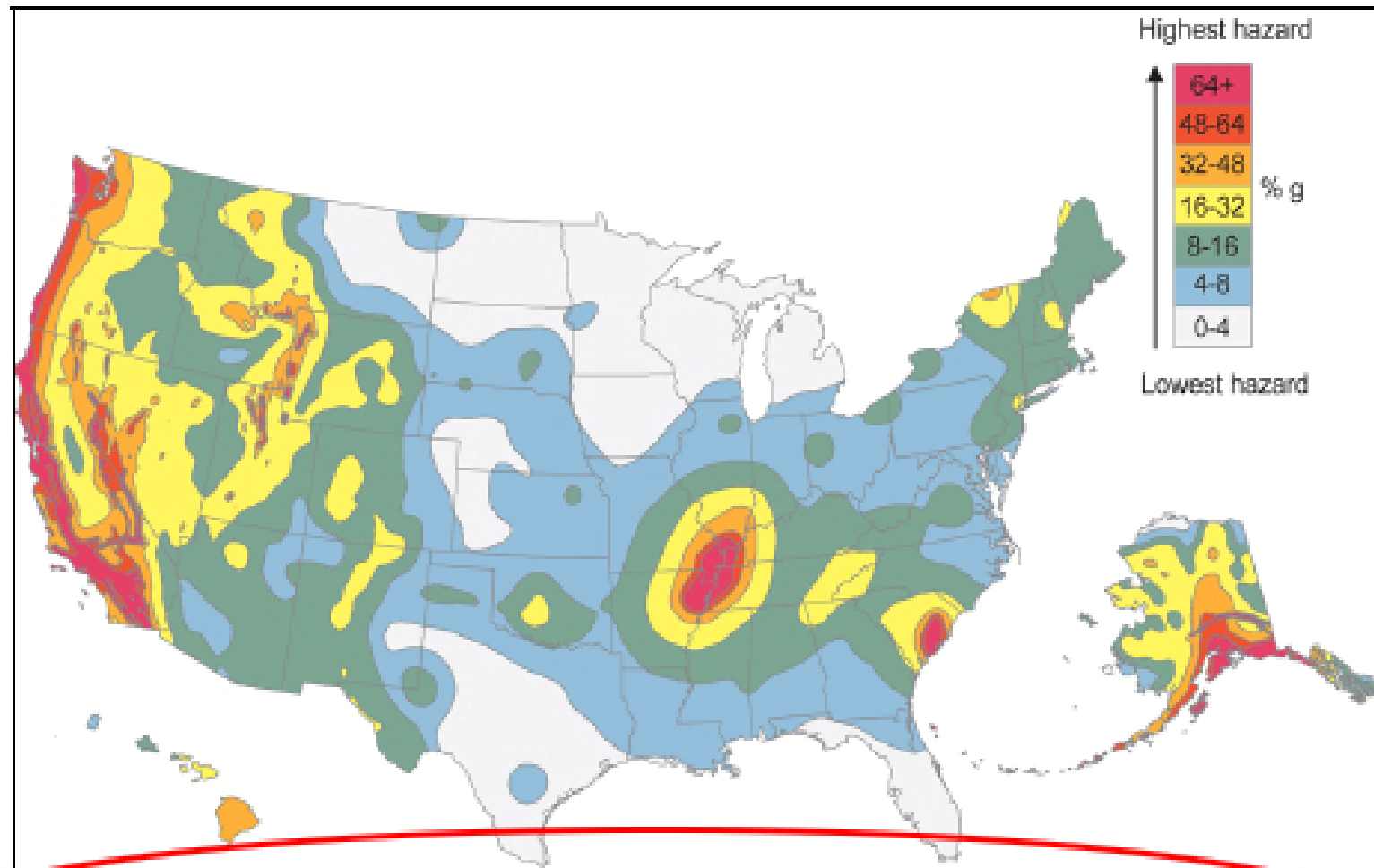
Figure 6. United States Power System Interconnections



Source: adapted from a map located on the Energy Information Administration website at http://www.eia.doe.gov/cneaf/electricity/page/fact_sheets/transmission.html.

Notes: ERCOT = Electric Reliability Council of Texas.

Figure 2. Earthquake Hazard in the United States



Source: USGS Fact Sheet 2008-3018 (April 2008), at http://earthquake.usgs.gov/research/hazmaps/products_data/images/nshm_us02.gif. Modified by CRS.

Note: The bar in the upper right shows the potential ground motion—expressed as a percentage of the acceleration due to gravity (g)—with up to a 1 in 10 chance of being exceeded over a 50-year period.



[Sign In](#) [Create Account](#)

Map Warper beta

[< Back to Browse / Search Results](#)



Coffee in New Haven

Uploaded by Jeffrey Yoo Warren. Last modified 2 months ago. 13 control points.

[View](#)

[Edit](#)

[Rectify](#)

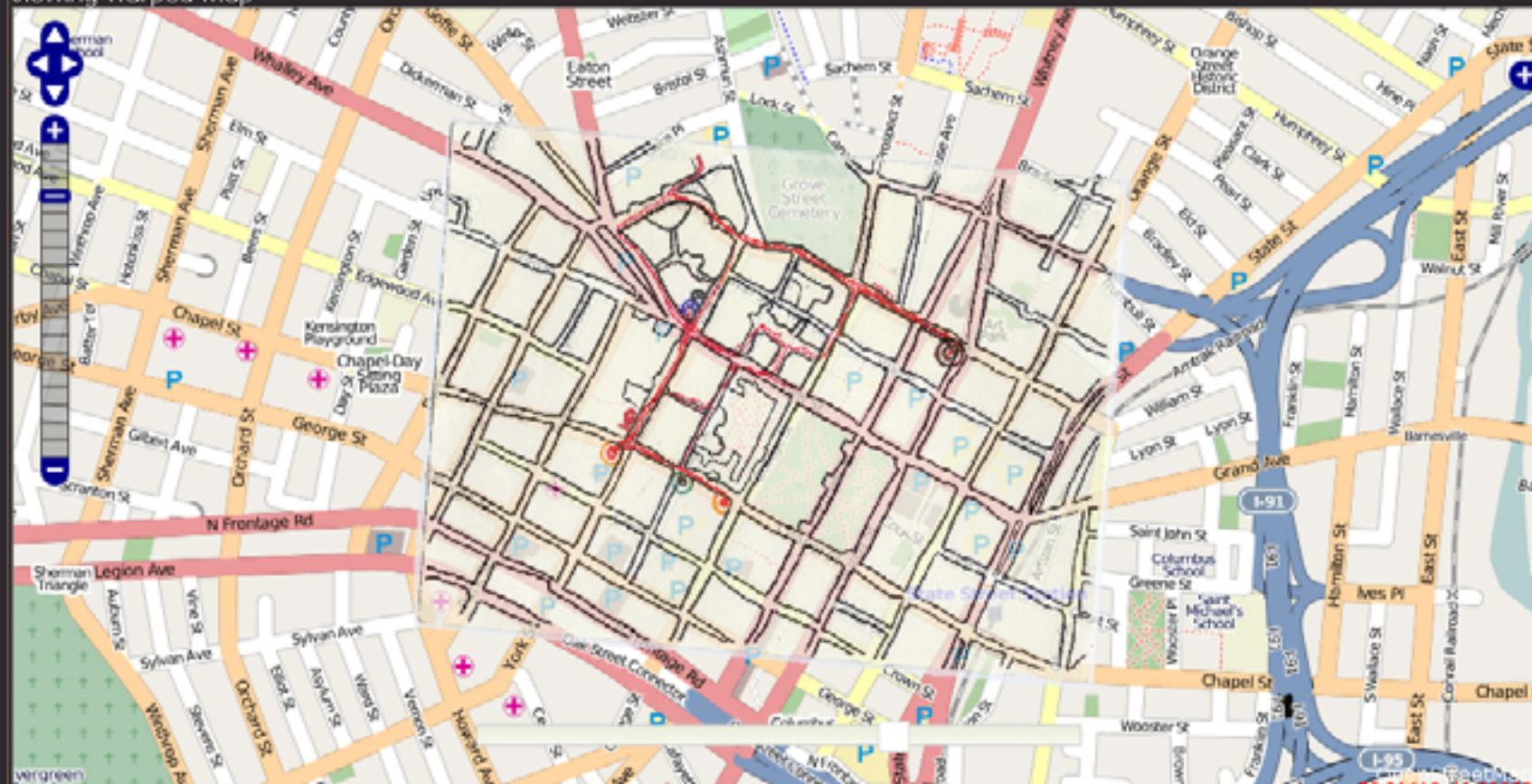
[Crop](#)

[Preview Rectified](#)

[Export](#)

[Activity](#)

Viewing warped map

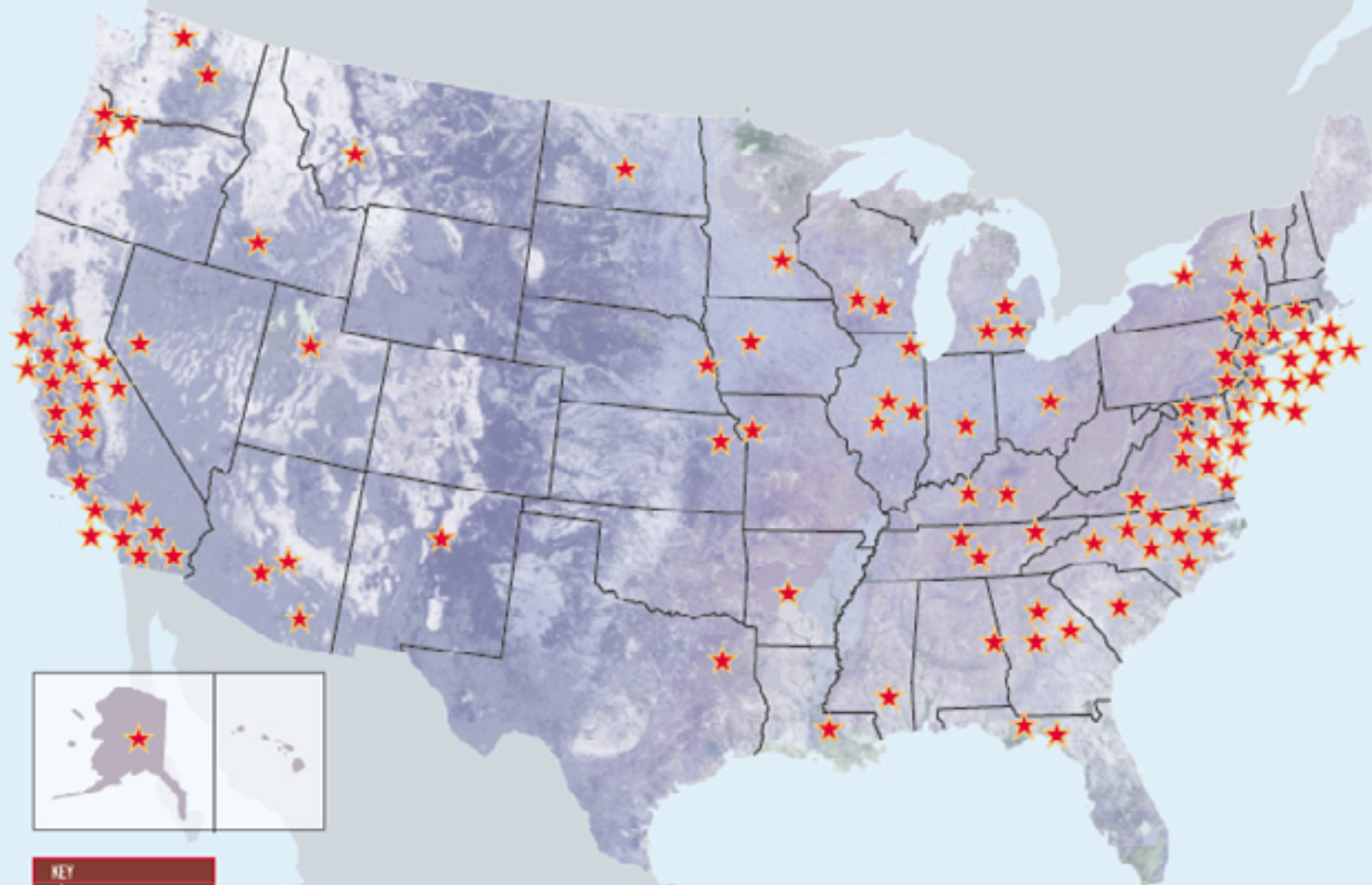


Library of Congress National Digital Information Infrastructure and Preservation Program (NDIIPP)



Mission: To ensure access over time to a rich body of digital content through the establishment of a national network of partners committed to selecting, collecting and preserving at-risk digital information

- Learn By Doing
- Catalyze Activity
- Support Collaboration
- Break Down Boundaries

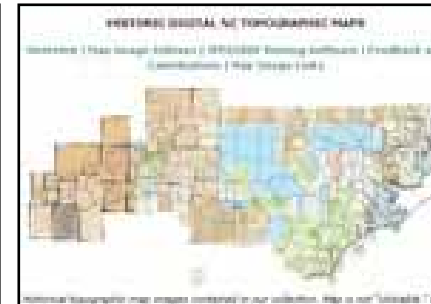
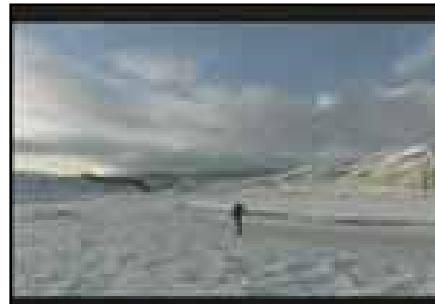
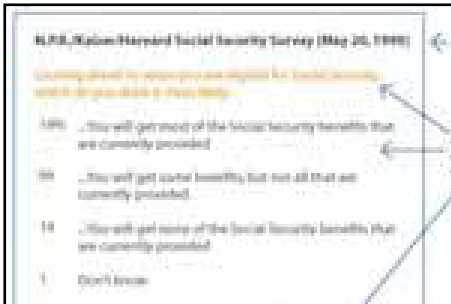
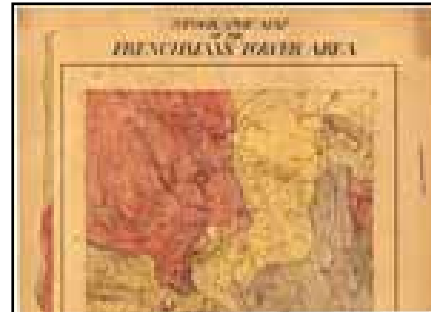


NDIIPP Focus Areas



- National Digital Collection
- Partnerships:
Government, Industry,
Academia
- Technical Infrastructure
- Sustainability
- Public Policy

NDIIPP Content: Collections



Text & Images

Audiovisual

Geospatial

Web sites

Leverage! Leverage! Leverage!

- ▶ Cultural Heritage
- ▶ Generate Revenue
- ▶ Save Money
- ▶ Legal Mandate
- ▶ Maximize Investment
- ▶ Disaster Recovery and Coop
- ▶ Document Business Processes for Improved Decision-making
- ▶ Ensure Enhanced Access to Support a Variety of Unforeseen Uses

Framing a National Preservation and Access Strategy for Geospatial Data

- ▶ November 2009 Summit
 - ▶ (Info at http://www.digitalpreservation.gov:8081/news/2009/20091120news_article_geospatial_meeting.html)
- ▶ The Library is working with a variety of participants to develop a national strategy for preserving and supporting enhanced access to geospatial data.
- ▶ Participants included: NARA, NOAA, USGS, NSGIC, OGC, Census, EPA, FGDC, academia, others
- ▶ Effort is aligned with existing mandates and programs in connection with geospatial information.
- ▶ Issues of interest include:
 - ▶ Identifying geospatial data types or categories that are most important to preserve
 - ▶ Scoping preservation and access models
 - ▶ Exploring options for expanding educational and community building activities

Possible Next Steps?

- ▶ Working Groups
- ▶ Explore Licensing Issues
- ▶ Information Clearinghouse/Outreach
- ▶ Format Registry Information
- ▶ Study of Appraisal and Selection Issues
- ▶ Convene Commercial Data Providers
- ▶ Data Management Policies
- ▶ Template for Cooperative Agreements
- ▶ Build on existing NDIIPP Projects



Taking it to the States: Geoarchiving with GeoMAPP

Alec Bethune

North Carolina Center for Geographic Information and
Analysis

Preserving State and Local Government Data

- ▶ Initial challenges faced
 - ▶ Determining what content to preserve
 - ▶ Creating relationships
 - ▶ Identifying technical challenges
- ▶ What data is important
 - ▶ Dynamic “At Risk” datasets
 - ▶ Framework data with temporal value

Who is GeoMAPP?



- ▶ NC Center for Geographic Information and Analysis (CGIA)
- ▶ North Carolina State Archives
- ▶ NC State University Libraries
- ▶ Kentucky Department of Libraries and Archives (KDLA)
- ▶ Kentucky Division of Geographic Information (DGI)
- ▶ Kentucky State University
- ▶ Utah Automated Geographic Reference Center (AGRC)
- ▶ Utah State Archives
- ▶ 10 Informational Partners: DC, GA, ME, MD, MN, MT, NY, TX, WI, WY



Digital Preservation Points of Failure

- ▶ Data is not saved, or ...
- ▶ can't be found, or ...
- ▶ media is obsolete, or ...
- ▶ media is corrupt, or ...
- ▶ format is obsolete, or ...
- ▶ file is corrupt, or ...
- ▶ meaning is lost

Special Collections
Steve Morris
NCSU Libraries



How would you describe your geospatial archive?

Bob's hard drive

Last week's set of nightly tape backups

Several boxes of CD's and DVD's

The data back-end for our internet mapping application

A collection of files in our "GIS Folder"

A stand-alone spatial database

An enterprise GIS

Graphic Courtesy:
Steve Morris
NCSU Libraries



We're doing backups, isn't that archiving?

- ▶ Backups – a means to save and recover current records
- ▶ Personal Archives- “Keeping stuff” on external media or on hard drives or SAN
- ▶ True Archiving – formally preserving important data **permanently** in a trusted digital repository



Archiving 101

Kelly Eubank
North Carolina State Archives

Archivists Make it Last Longer

- ▶ Transfer of responsibility for records maintenance and access
- ▶ Trusted source for legal matters
- ▶ Policies to address the long term access and utility of the data



NC Government Records Center

North Carolina Public Records Law

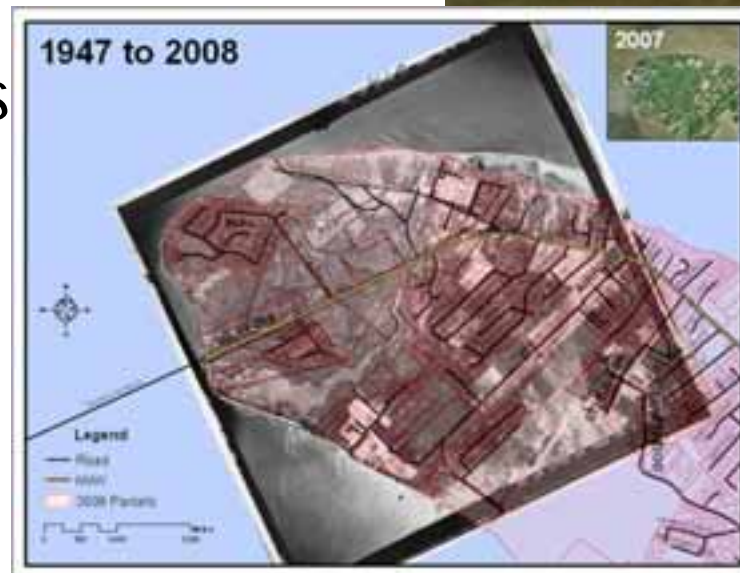
General Statute § 132-1 Public Records Act

- a) **"Public record"** or "public records" shall mean all documents, papers, letters, maps, books, photographs, films, sound recordings, magnetic or other tapes, electronic data-processing records, artifacts, or other documentary material, **regardless of physical form or characteristics**, made or received pursuant to law or ordinance **in connection with the transaction of public business** by any agency of North Carolina government or its subdivisions.

***Geospatial Data are
Public Records***

Thinking of GIS Data as Records

- ▶ Vector data
 - ▶ Shapefiles (3-6 separate files)
 - ▶ Geodatabases
- ▶ Raster data
 - ▶ TIFF images
- ▶ Metadata
 - ▶ XML files
- ▶ GIS Project files
- ▶ GIS Output
 - ▶ GeoPDF files
 - ▶ Printed maps



Records Maintenance Principles

- ▶ How do records end up at the State Archives?
- ▶ Under what authority does DCR advise agencies?
- ▶ How do employees determine how long to maintain a record prior to disposal?
- ▶ Who makes the decision?
- ▶ How should electronic records be maintained?
(Hint: Back-up is not an archive.)

Records Retention Schedules 101

- ▶ Agreement between government (local/state) and State Archives
- ▶ Lists common types of records (record series) found in government offices
- ▶ Provides instructions for the retention and disposition of the records series – Uniform descriptions.
- ▶ List minimum records retention periods
- ▶ Identifies permanent, confidential or restricted records
- ▶ <http://www.records.ncdcr.gov/local/default.htm>

Sample Schedule Page

ITEM #	STANDARD- PROGRAM RECORDS- GIS RECORDS		
	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
	GEOGRAPHIC INFORMATION SYSTEM (GIS): OPERATIONAL RECORDS Includes user guides, system flowcharts, job or workflow records, system specifications, and similar documentation.	Destroy in office system operational records when the system is discontinued or when system data has been transferred to a new operating environment (platform).	
	GEOGRAPHIC INFORMATION SYSTEM (GIS): BACKUP FILE	Destroy in office system backup files when superseded, obsolete, or administrative value ends, whichever occurs first.	
	GEOGRAPHIC INFORMATION SYSTEM (GIS): PROJECT RECORDS	Retain GIS datasets and accompanying documentation (metadata) with historical and/or legal value permanently. Destroy other items when administrative value ends.	
	GEOGRAPHIC INFORMATION SYSTEM (GIS): INTERNAL STANDARDS AND PROCEDURES Include requirements that are intended to make hardware, software and data compatible and that cover data capture, accuracy, sources, base categories, output, and data element dictionaries.	Permanent.	
	<i>Individual Data Sets:</i>		
	ADDRESS POINTS MAPS	Paper: Retain in office permanently. GIS dataset: Permanent. Create a snapshot of dataset annually. Either: Transfer snapshot to NCC building according to established procedures, complying with standards and procedures adopted by the <i>North Carolina Geographic Information Coordinating Council</i> . (See <i>Geospatial Records</i> , page ____) Or, If retained in office permanently, your agency must comply with standards (for metadata, file naming, data sharing, and long term preservation) and procedures adopted by the <i>North Carolina Geographic Information Coordinating Council</i> . (See <i>Geospatial Records</i> , page ____).	

Current State of Geo-Centric Records Schedules in NC

- ▶ Maps, photo imagery, paper, and administrative records well represented
- ▶ Digital geospatial data...not so much.....
 - ▶ CGIA's Records Schedule: last updated in 1997
 - ▶ Similar challenges in other state agencies and local governments
- ▶ Where to go from here?

Draft – Local Government Schedule Item

 descriptive
title
ORTHOIMAGERY

DISPOSITION INSTRUCTION:


GIS dataset: Permanent. Create a snapshot of dataset annually.

Either:

Transfer snapshot to NCOneMap according to established procedures, complying with standards and procedures adopted by the *North Carolina Geographic Information Coordinating Council*. (See *Geospatial Records*, page __)

Or,

If retained in office permanently, your agency must comply with standards (for metadata, file naming, data sharing, and long term preservation) and procedures adopted by the *North Carolina Geographic Information Coordinating Council*. (See *Geospatial Records*, page __)

 disposition instructions

Draft - Schedule Items (Vector)

Standard--GIS Department Records

Descriptive Title

PARK MAPS

May include park boundaries, facilities, landscaping, topography, and other pertinent information.

Includes maps and drawings stored and generated by Geographic Information System (GIS) and computer-aided design (CAD) systems

Disposition Instructions

Paper: Retain in office permanently.

GIS dataset: Permanent. Create a snapshot of dataset when superseded.



Either:

Transfer snapshot to NOneMap according to established procedures, complying with standards and procedures adopted by the North Carolina Geographic Information Coordinating Council. (See Geospatial Records, page __)

Or,

If retained in office permanently, your agency must comply with standards (for metadata, file naming, data sharing, and long term preservation) and procedures adopted by the North Carolina Geographic Information Coordinating Council. (See Geospatial Records, page __)

Sample Schedule Item from NC OneMap

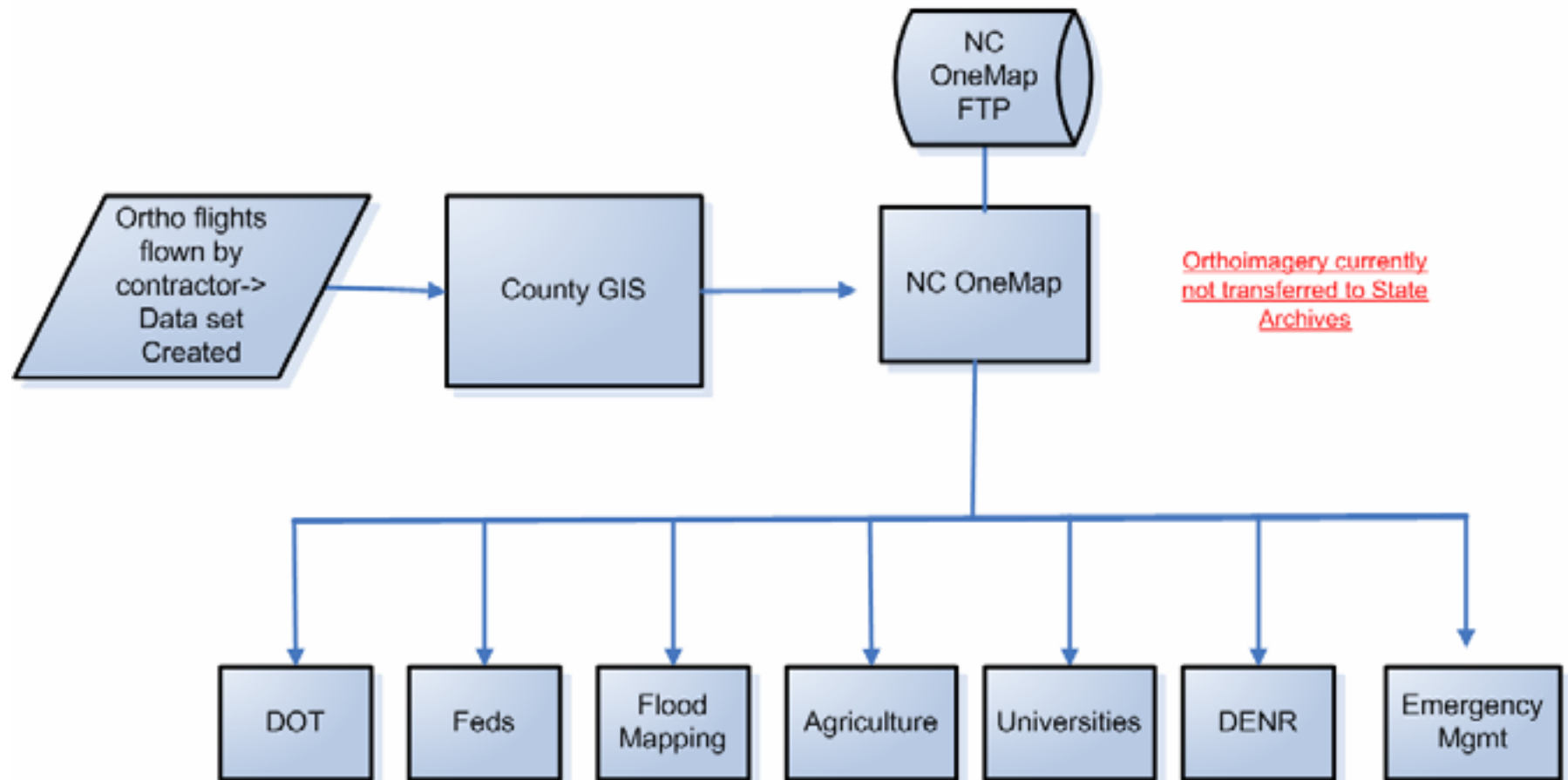
ITEM  **xxxxx**,  **STATE OWNED LANDS DATASET FILE.** **descriptive title**

File includes datasets created to identify state-owned complexes, to define the exterior boundaries of state-owned complexes in North Carolina such as N.C. Department of Transportation (NC DOT) maintenance yards, state parks, state universities, etc. Datasets were created by the N.C. Department of Administration, State Property Office, in cooperation with the N.C. CGIA, and are responsible for providing new datasets. Datasets may represent different scale sizes and file formats.

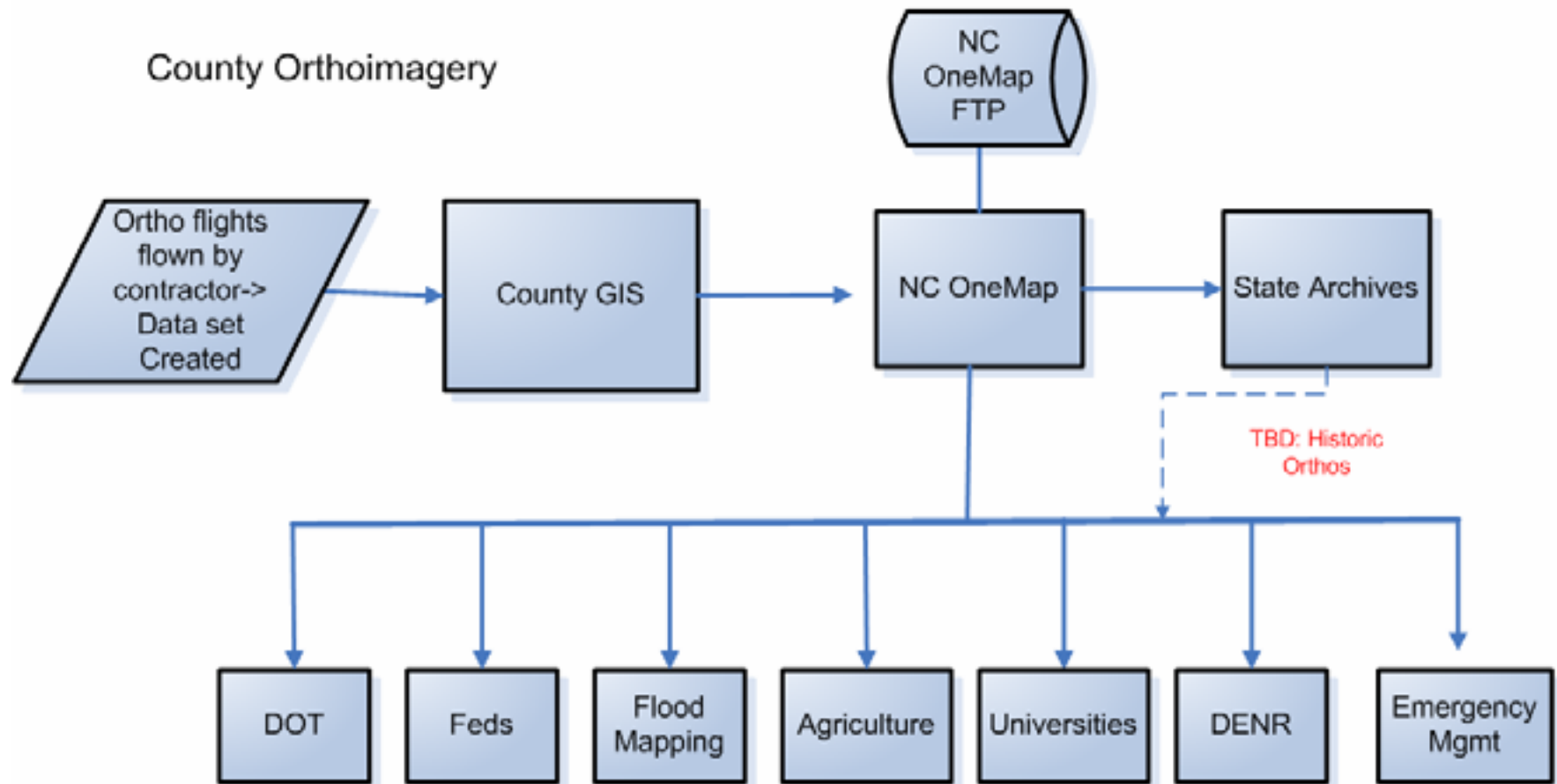
DISPOSITION INSTRUCTIONS: Retain superseded dataset in office upon the receipt of new dataset. Transfer electronic records upon update to the State Records Center for immediate transfer to the custody of the Archives. Contact the Government Records Branch, Electronic Records Unit prior to transfer of electronic records.

Archives Challenge...

County Orthoimagery



Potential Solution





GeoMAPP Key Findings and how they can be applied to archiving within your agency

Alec Bethune
North Carolina Center for Geographic Information and
Analysis

GeoMAPP's Approach to Geoarchiving

- Surveys to capture the archival “lay of the land”
- Inventory and comparison of each state’s data
- Appraisal of GIS data and creating records schedules
- Business planning for sustainable archives
- Data Transfer
 - Intrastate: GIS → Archives (NC 15 TB SAN)
 - Interstate: State Archives → State Archives
- Outreach, Outreach, Outreach
 - Within each state
 - Nationally

Surveying the Geoarchiving Landscape: National, State and Local Surveys

- Targeted Communities:
 - Statewide GIS and Archives Leaders
 - State Agency and Local Government GIS data creators
- Findings:
 - Who is archiving?
 - What are the archiving catalysts?
 - What data are being preserved?

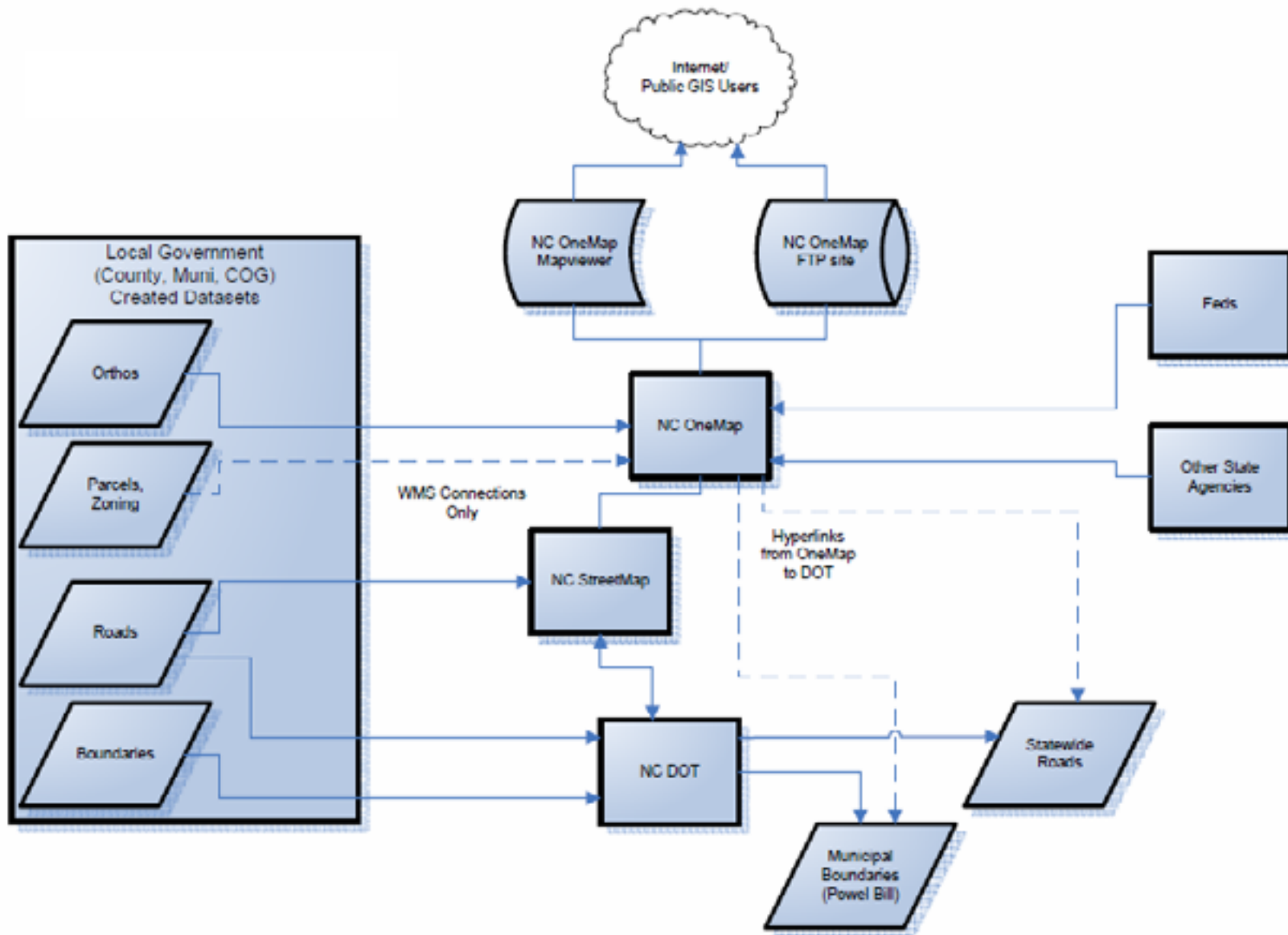


Justifying the Investment: Developing Business Case Documentation

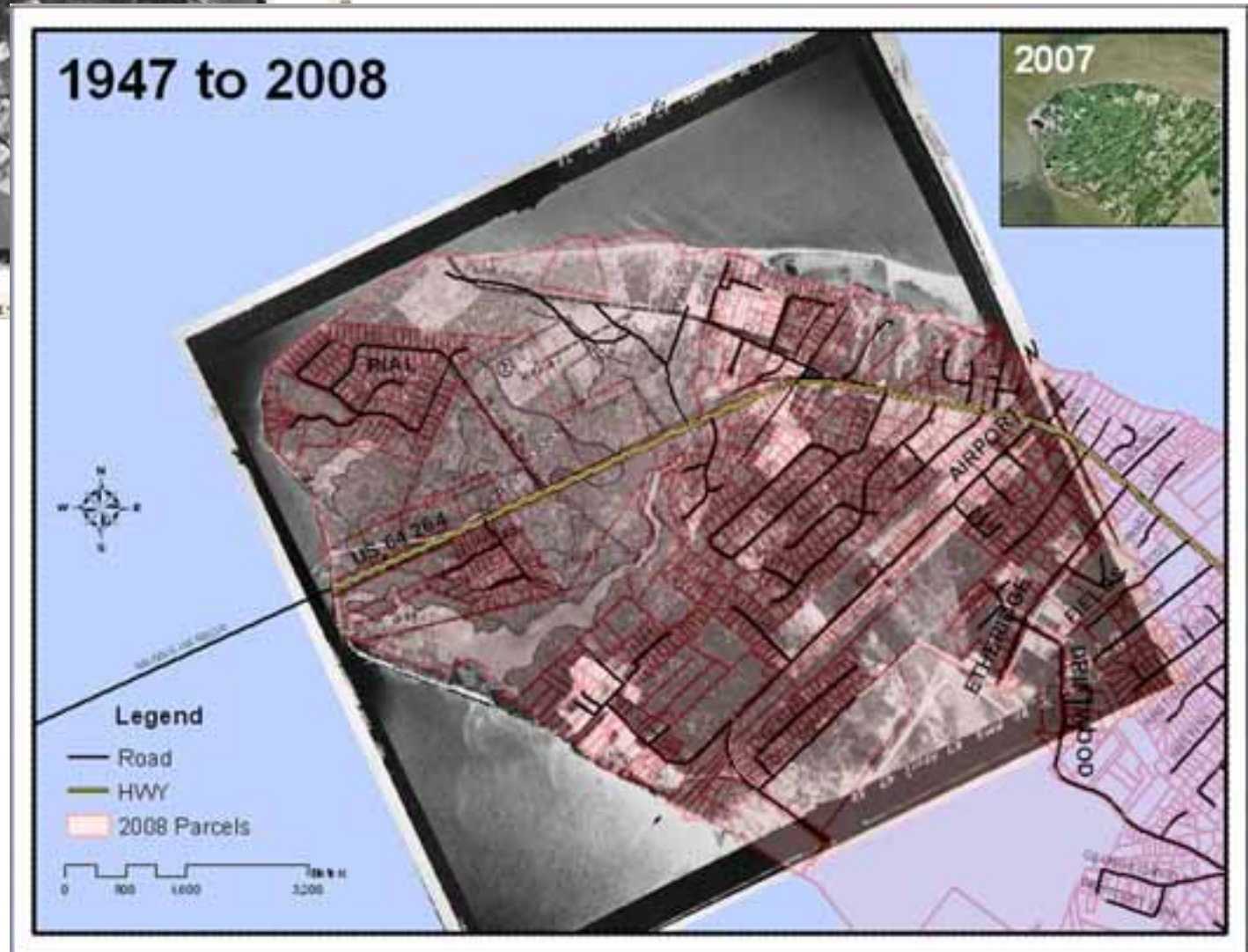
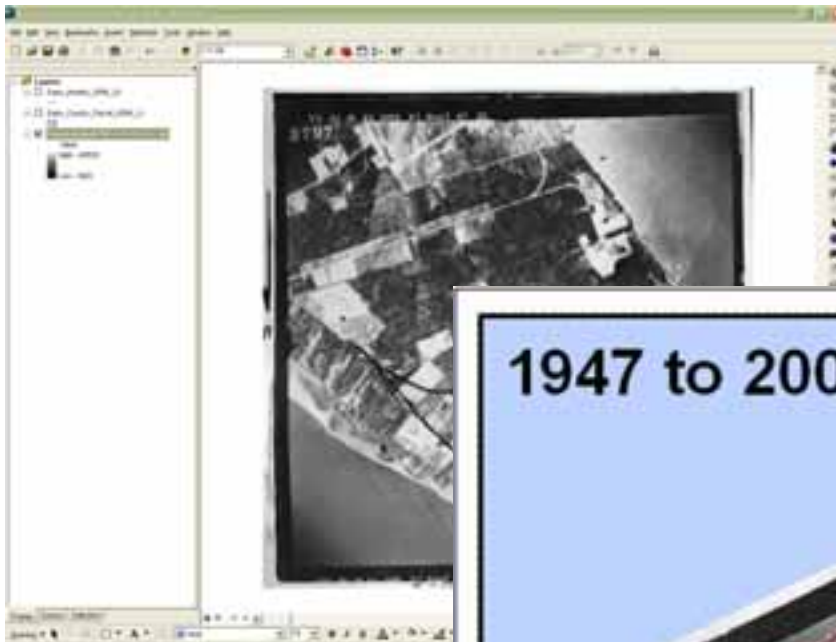
- Develop business case materials to justify the human and technology resources to archive data
- Important themes
 - Value of the data
 - Projecting near and long term costs
 - Cost benefit analysis



Data Transfer is Complicated



Using Historic Data to Model Changing Natural Environments



What's Next

➤ GeoMAPP 2010

➤ A Growing Partnership

➤ New and Informational Partners

➤ Focus Areas:

➤ Business planning for sustainable archives

➤ Improving access to archived data

➤ Technical exploration

➤ Outreach, Outreach, Outreach



Big Picture GeoMAPP Takeaways

- ▶ Collaboration is a key
 - ▶ GIS & Archives staff
 - ▶ Get to know your data producers
- ▶ Know what you have (data inventory)
- ▶ Make it official (data appraisal/ records scheduling)
- ▶ Leverage existing workflows and investigate new sustainable processes to make the data last
 - ▶ Don't re-invent the wheel
 - ▶ Keep data discoverable/ accessible/ usable for future use
 - ▶ Justify the investment (business case)



What can I do to make my data more
useful for others now

...and more “preservation ready” for the
future?

Preparing your data for future use

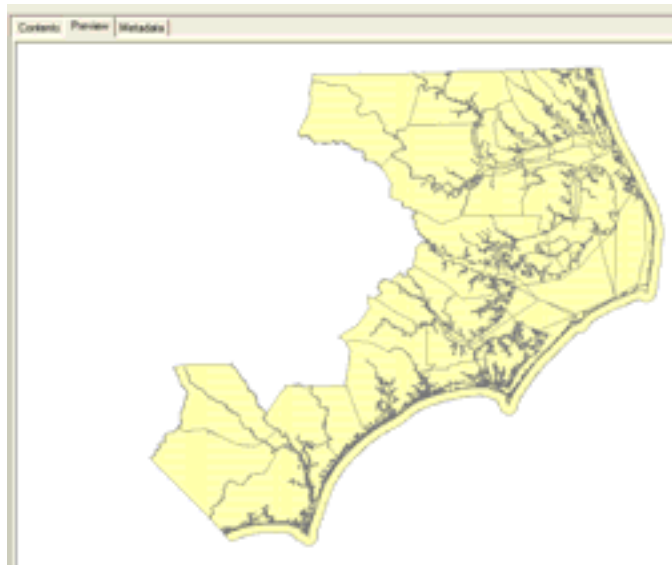
- Naming Convention
 - Both Filename and Attribute names
- Metadata, metadata, metadata!
- Format awareness
 - Versioning and file type



What's in a name?

What is
SGA?

Name	Size	Type
sga.dbf	2,502 KB	DBF File
sga.prj	1 KB	PRJ File
sga.sbn	86 KB	SBN File
sga.sbx	4 KB	SBX File
sga.shp	49,668 KB	SHP File
sga.shx	68 KB	SHX File
sga.txt	23 KB	Text Document



How about
PES?

Name	Size	Type
pes.dbf	620 KB	DBF File
pes.prj	1 KB	PRJ File
pes.shp	68 KB	SHP File
pes.shx	20 KB	SHX File
pes.txt	26 KB	Text Document



Can the attributes tell us what we're looking at?

SGA

ID	Shape	BSHA_NAME	BSHA_NAME1	HA_CLASS	HA_CLASSID	HA_NAME	HA_STATUS	COUNTY	SURFACE	DATE_CREAT	DATE_UPDATE	ACRES	SQ_MILES
0	Polygon	Atlantic Ocean						no	water	02-01-04		726895.340790	1135.93022
1	Polygon							Cumtuck	land	02-01-04		11888.185721	18.54404
2	Polygon	Cumtuck Sound Area	I-16	CSHA - Prohibited	CSHA-P	Cumtuck Sound	Closed	Cumtuck	water	02-01-04		80405.80146	125.634065
3	Polygon							Cumtuck	land	02-01-04		0.715843	0.001119
4	Polygon							Cumtuck	land	02-01-04		58.945307	0.088877
5	Polygon							Cumtuck	land	02-01-04		9288.470022	14.513234
6	Polygon	Cumtuck Sound Area	I-16	CSHA - Prohibited	CSHA-P	Cumtuck Sound	Closed	Cumtuck	water	02-01-04		153.970266	0.240578
7	Polygon							Cumtuck	land	02-01-04		0.110463	0.000173
8	Polygon							Cumtuck	land	02-01-04		1706.572660	2.66652
9	Polygon							Cumtuck	land	02-01-04		20.35279	0.031801
10	Polygon							Cumtuck	land	02-01-04		0.38958	0.000604
11	Polygon							Cumtuck	land			420.888478	0.657638
12	Polygon	Cumtuck Sound Area	I-16	CSHA - Prohibited	CSHA-P	Cumtuck Sound	Closed	Cumtuck	water	02-01-04		16.863203	0.026349
13	Polygon							Cumtuck	land			7126.132999	11.134583
14	Polygon							Cumtuck	land	02-01-04		40.51575	0.063000
15	Polygon	Cumtuck Sound Area	I-16	CSHA - Prohibited	CSHA-P	Cumtuck Sound	Closed	Cumtuck	water	02-01-04		497.105567	0.776727
16	Polygon							Cumtuck	land			0.07873	0.000123
17	Polygon							Cumtuck	land	02-01-04		90003.122131	140.620678
18	Polygon							Cumtuck	land	02-01-04		10.352898	0.016176
19	Polygon							Cumtuck	land	02-01-04		5.437779	0.008375

GIS Metadata

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  Sanitation and Recreational Water Quality Section</origin>
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</citeinfo>
</citation>
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  Resources (DENR) Division of Environmental Health (DEH) - Shellfish
  Sanitation and Recreational Water Quality Section (SS+RWQS) identifies
  Shellfish Growing Areas (SGA). The SS+RWQS performs water sampling
  throughout the year to determine the extent of contamination or
  cleanliness of SGA, and submits recommendations to the Division of Marine
  Fisheries (DMF) to close or open waters for shellfish harvesting. The DMF
  disseminates a proclamation with the SS+RWQS's recommendation. The
  SGA contain waters that are permanently closed (prohibited), open
  (approved), or subject to being opened or closed (conditionally approved -
  open or conditionally approved - closed).</abstract>
  <purpose>The SGA contain waters that are permanently closed (prohibited),
  open (approved), or subject to being opened or closed (conditionally
  approved - open or conditionally approved - closed).</purpose>
  <supplinf>For online maps of growing areas and more information, see
  http://www.deh.enr.state.nc.us/shellfish/maps.htm</supplinf>
  <langdata Sync="TRUE">en</langdata>
</descript>
<timeperd>
  <timeinfo>
    <startdate>
      <caldate>20090415</caldate>

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Section 8

Citation Information

Citation Information	
Originator (can be repeated unlimited times)	
Publication Date	
Publication Time	
Title	
Edition	
Geospatial Data Presentation Form	
Series Information	
Series Name	
Issue Identification	
Publication Information	
Publication Place	
Publisher	
Other Citation Details	
Online Linkage (can be repeated unlimited times)	
Larger Work Citation	
Citation Information (see Section 8)	

Section 9

Time Period Information

Time Period Information	
Single Date / Time	
Calendar Date	
Time of Day	
OR	
Multiple Dates / Times	
Single Date / Time (2 or more repetitions)	
Calendar Date	
Time of Day	
OR	
Range of Dates / Times	
Beginning Date	
Beginning Time	
Ending Date	
Ending Time	

Section 10

Contact Information

Contact Information	
Contact Person Primary	
Contact Person	
Contact Organization	
OR	
Contact Organization Primary	
Contact Organization	
Contact Person	
Contact Position	
Contact Address (can be repeated unlimited times)	
Address Type	
Address (can be repeated unlimited times)	
City	
State or Province	
Postal Code	
Country	
Contact Voice Telephone (can be repeated unlimited times)	
Contact TDD/TTY Telephone (can be repeated unlimited times)	
Contact Facsimile Telephone (can be repeated unlimited times)	
Contact Electronic Mail Address (can be repeated unlimited times)	
Hours of Service	
Contact Instructions	

LEGEND

mandatory	mandatory if applicable	optional	3-D Box Indicates Data Entry Field
-----------	-------------------------	----------	------------------------------------

Why Do Metadata?

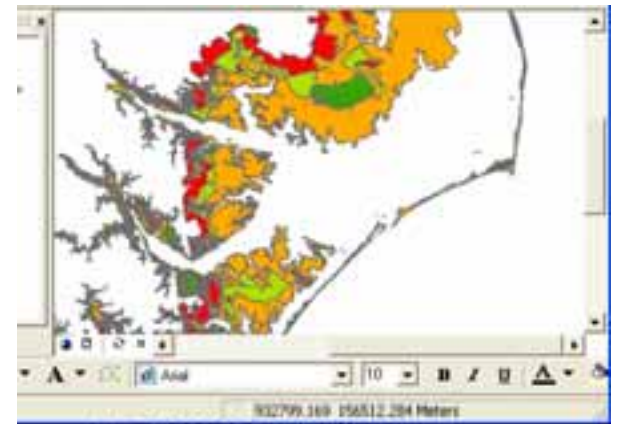
- Document the specifics about your data:

- The purpose of the dataset
- When it was created
- How it was created
- Information about the attributes
- Technical specs
- ..and much, much more

- Increases data utility

- Improves data management/ discovery

- Makes data more transferrable



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<pubinfo>
  <pubplace>Coral Gables, Florida</pubplace>
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</pubinfo>
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<crlink />
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</citinfo>
</citation>
<descript>
  <abstract>The National Hurricane Center, in cooperation
  with the North Carolina Center for Geographic
  Information and Analysis, developed the GIS data set,
  Hurricane Storm Surge Inundation Areas (1993), to
```


ESRI Format Awareness

- ▶ Software Versioning
- ▶ Data Formats
 - ▶ Shapefile
 - ▶ Open format/ complex object
 - ▶ Older standard

Name	Size	Type
Hurricane_Storm_Surge_Slow_1999_09.dbf	632 KB	DBF File
Hurricane_Storm_Surge_Slow_1999_09.prj	1 KB	PRJ File
Hurricane_Storm_Surge_Slow_1999_09.sbn	62 KB	SBN File
Hurricane_Storm_Surge_Slow_1999_09.sbx	3 KB	SBX File
Hurricane_Storm_Surge_Slow_1999_09.shp	67,209 KB	SHP File
Hurricane_Storm_Surge_Slow_1999_09.shp.xml	32 KB	XML Document
Hurricane_Storm_Surge_Slow_1999_09.shx	51 KB	SHX File

- ▶ Geodatabases

- ▶ Personal
 -  States.mdb 1,628 KB Microsoft Office Access Database
 - ☐ Proprietary/ consolidated object/
 - ☐ Replaced by FGdB
- ▶ File
 - ☐ Proprietary/ complex object
 - ☐ Current ESRI “standard”

D:\Data\StatesFGdB.gdb			Size	Type
X		Name		
		_gdb.ABETHUNE.4764.1740....	0 KB	LOCK File
		a00000001.gdbindexes	1 KB	GDBINDEXES File
		a00000001.gdbtable	2 KB	GDBTABLE File
		a00000001.gdbtblx	6 KB	GDBTABLX File
		a0000001c.freelist	5 KB	FREELIST File

Best Practices Recap

► File naming

► Descriptive title

- Wake_Parcel_2006
- Shellfish_Growing_Areas_2009

► Attributes

- Logical Name
- Explanation in metadata record

► Metadata

- Do IT!
- Ideally FGDC compliant
- Important fields: Title, Abstract, Publication Date, Contact Info, Process steps, Attributes description



Name	Size	Type
Hurricane_Storm_Surge_Slow_1999_09.dbf	632 KB	DBF File
Hurricane_Storm_Surge_Slow_1999_09.prj	1 KB	PRJ File
Hurricane_Storm_Surge_Slow_1999_09.sbn	62 KB	SBN File
Hurricane_Storm_Surge_Slow_1999_09.sbx	3 KB	SBX File
Hurricane_Storm_Surge_Slow_1999_09.shp	67,289 KB	SHP File
Hurricane_Storm_Surge_Slow_1999_09.shp.xml	32 KB	XML Document
Hurricane_Storm_Surge_Slow_1999_09.shx	51 KB	SHX File

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