

1 **Spatial Analyses of Bowhead Whale Calls by Type of Call**

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19 **Introduction**

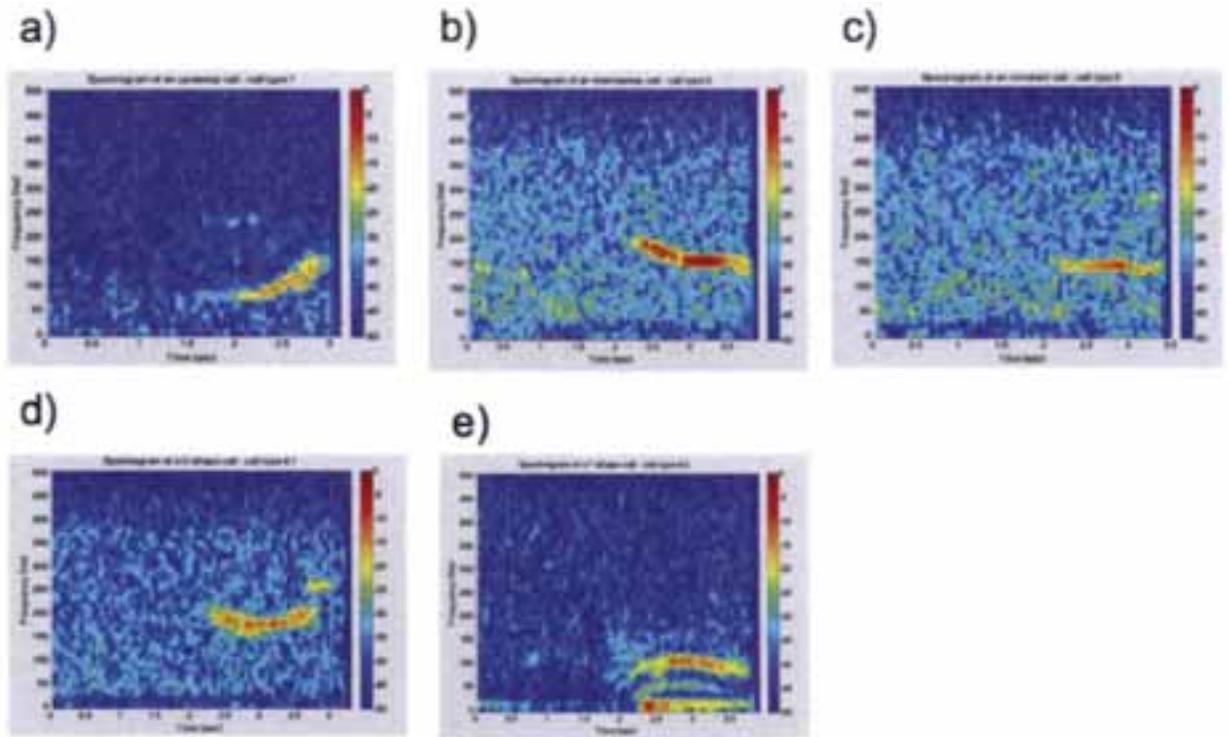
20 Bowhead whales, *Balaena mysticetus*, migrate westward through the Beaufort Sea
21 offshore of Alaska’s Prudhoe Bay oil fields in the August to October timeframe each year
22 (Moore and Reeves, 1993, Blackwell, et al., 2007). Bowhead whales are known to swim at
23 speeds up to 5 km/h and to stop and feed or socialize. In recent years, scientists have collected
24 long-term recordings of these calls and classify them into several distinctive types. Whale calls
25 have been qualitatively described as clustered in time and space; however this clustering has not
26 been described statistically for different call types.

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29 Seven main call type classifications are used in this study (personal communication with
30 Katherine Kim, 2012).

- 31 - Call type 1 is an upsweep, starting from a lower frequency and evolving to a higher
32 frequency.
- 33 - Call type 2 is a downsweep, starting at a high frequency and evolving to a lower
34 frequency.
- 35 - Call type 3 is a tone, constant in frequency.
- 36 - Call type 4 is a “U” shaped call (high frequency evolving to low then back to high).
- 37 - Call type 5 is an “n” shaped call.
- 38 - Call type 6 is a combination of “u” and “n” in frequency undulations.
- 39 - Finally, type 7 is a complex call, consisting of a combination of the above call types.



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41

42 Figure 1. Spectrograms of calls of (a) type 1 "upsweep", (b) type 2 "downsweep" , (c) type 3
 43 "constant", (d) type 4 "U-shaped", (e) type 5 "n-shaped"

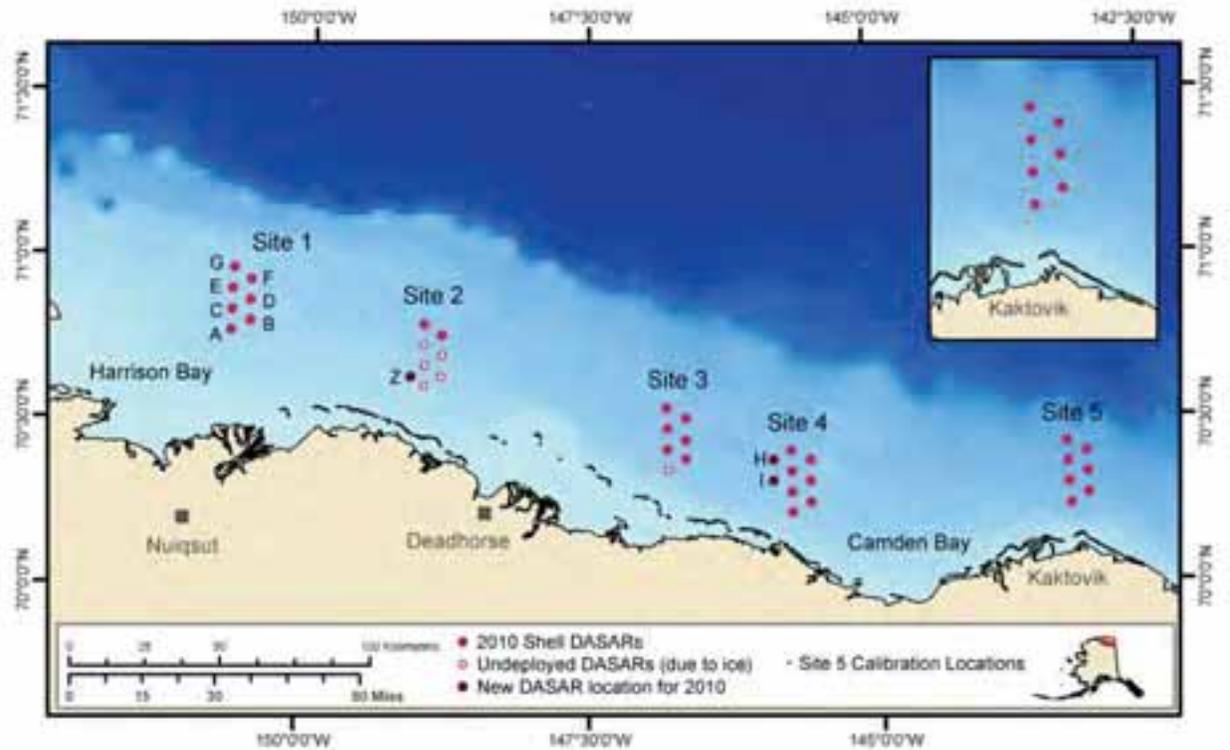
44 From Mathias, et al., 2008

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46 Data collection and processing

47 Acoustic data were collected from early August through the beginning of October, 2010
 48 using a field of Directional Autonomous Seafloor Acoustic Recorders (DASARs) deployed in
 49 the Beaufort Sea (Blackwell et al. 2008, Blackwell et al. 2009a) A total of 32 DASAR
 50 packages, arranged in five clusters as shown in Figure 2, were deployed in 2010.

51



52

53 Figure 2. The DASAR deployment and study area.

54 From Shell 2010, Chapter 8

55

56 Each of the three channels of DASAR acoustic data were recorded at a 1 kHz sampling
 57 rate throughout the deployment. After the DASAR packages were retrieved and the data
 58 offloaded, trained Greeneridge Science staff manually analyzed spectrograms created from the
 59 acoustic data, visually identifying and classifying the bowhead whale calls. Most calls were
 60 detected on more than one DASAR; however, each call was only used once for this study.

61 Each call was classified by a human analyst as call type 1 through 7, followed by
 62 localization using a Huber robust triangulation location estimator (Lenth 1981; Greene et al.

63 2004) based on the intersection(s) of bearings from multiple DASARs. The localization results
64 include an estimated x and y position for each call as well as an error ellipse.

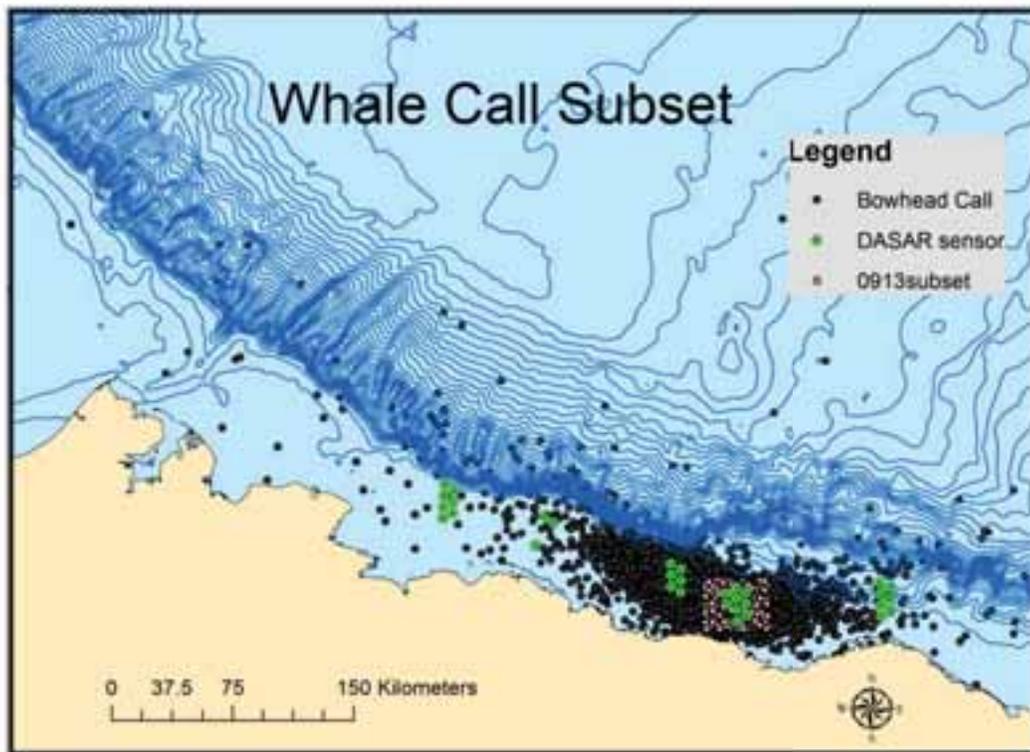
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66 **Methods and Results**

67 In this study, a 24-hour period of data recording is used for the spatial analysis of
68 Bowhead whale call types in the Beaufort Sea. The time period 00:00:00 – 23:59:59 AKDT,
69 September 13, 2010 was chosen from a sample of six days of processed whale call detections.

70 A total of 12313 whale calls were detected, classified, and localized from the data for September
71 13, 2010. The resulting 2D positions are plotted as gray points in Fig. 3 along with the DASAR
72 package locations shown as green circles.

73



74

75 Figure 3

76 A subset of points near a cluster of DASARs is shown as pink points.

77

78 To minimize statistical errors due to edge effects (Kirivoruchko, 2011) and to minimize
79 localization algorithm errors due to distances from the DASARs, a subset of points was selected
80 surrounding DASAR cluster 4. The subset contains 7417 whale calls with hundreds of examples
81 of each call type – re Table 1.

82

Number of Occurrences	Whale Call type
1963	1
1009	2
1201	3
510	4
412	5
370	6
1952	7

83

84 Table 1

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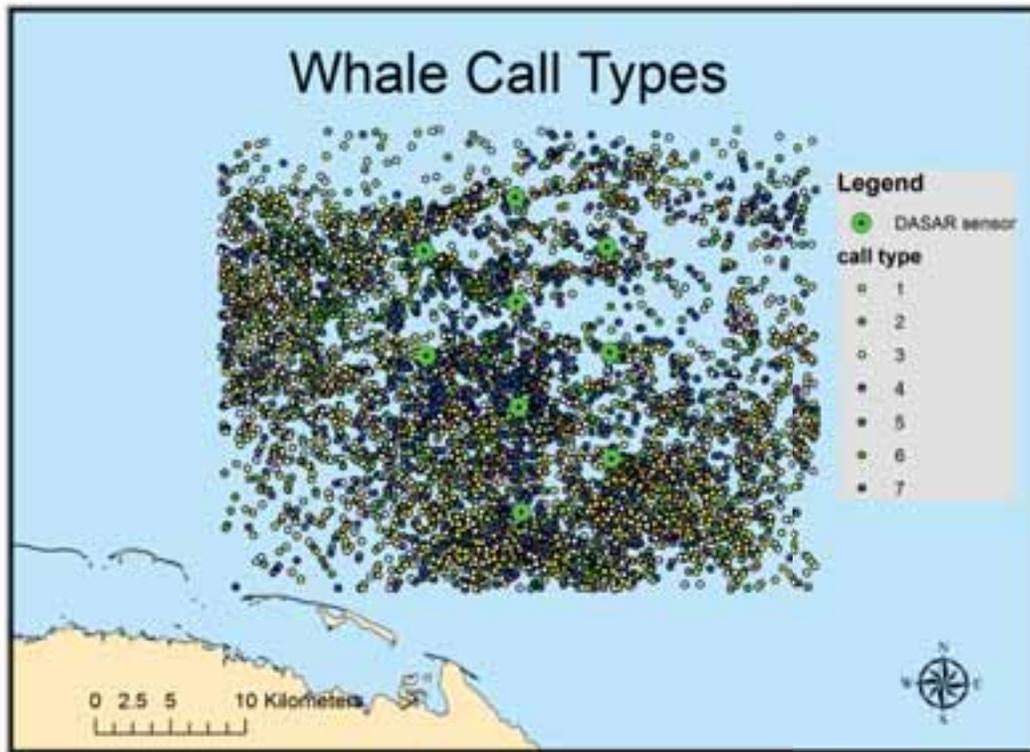
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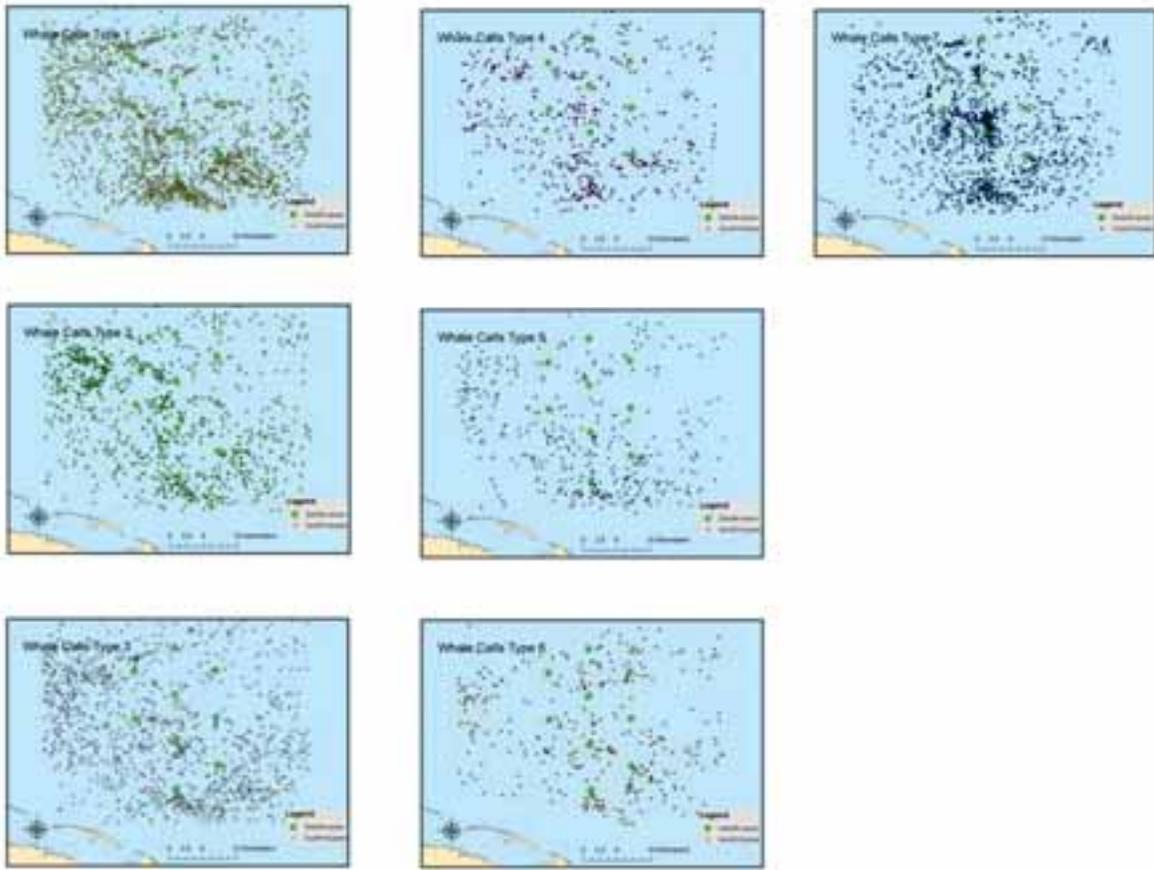
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97 Figure 4

98 The subset of whale calls around DASAR cluster 4 is displayed by call type.

99

100 When displayed by call type as in Fig. 4, the points appear to be spatially diffuse
101 throughout the subset region. The northeast portion of the study area appears to have a lower
102 density of points than the center portion. To explore the distribution of whale call types in space
103 further, each call type is displayed individually.



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105 Figure 5

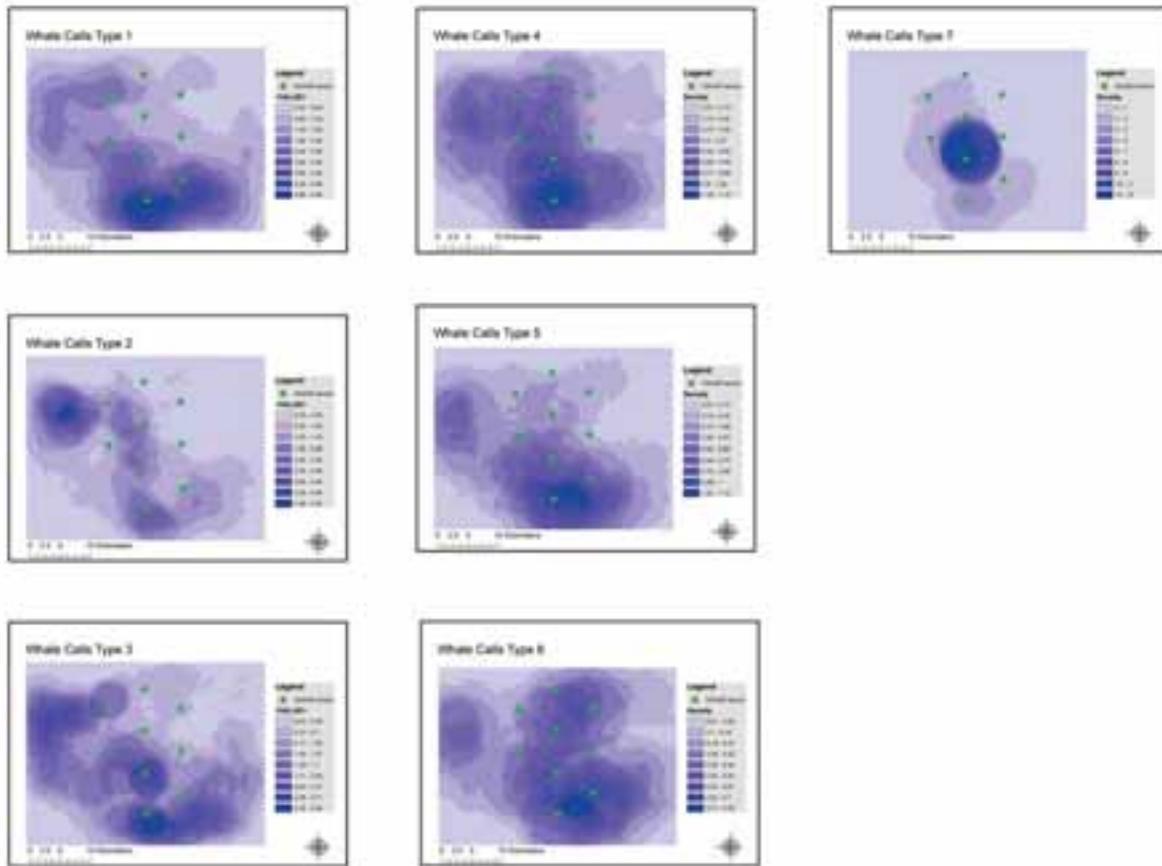
106 Each call type is displayed individually.

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108 Point density by call type

109 Point density is calculated in the study area using a cell size of 100 m for the output
 110 raster, and using a circle-shaped neighborhood to find the number of input points in the area of
 111 the cell. Since the main attribute of interest, the whale call type, is not expected to vary with

112 space, only the spatial location of the points and not their attributes is of interest in the output
113 raster.



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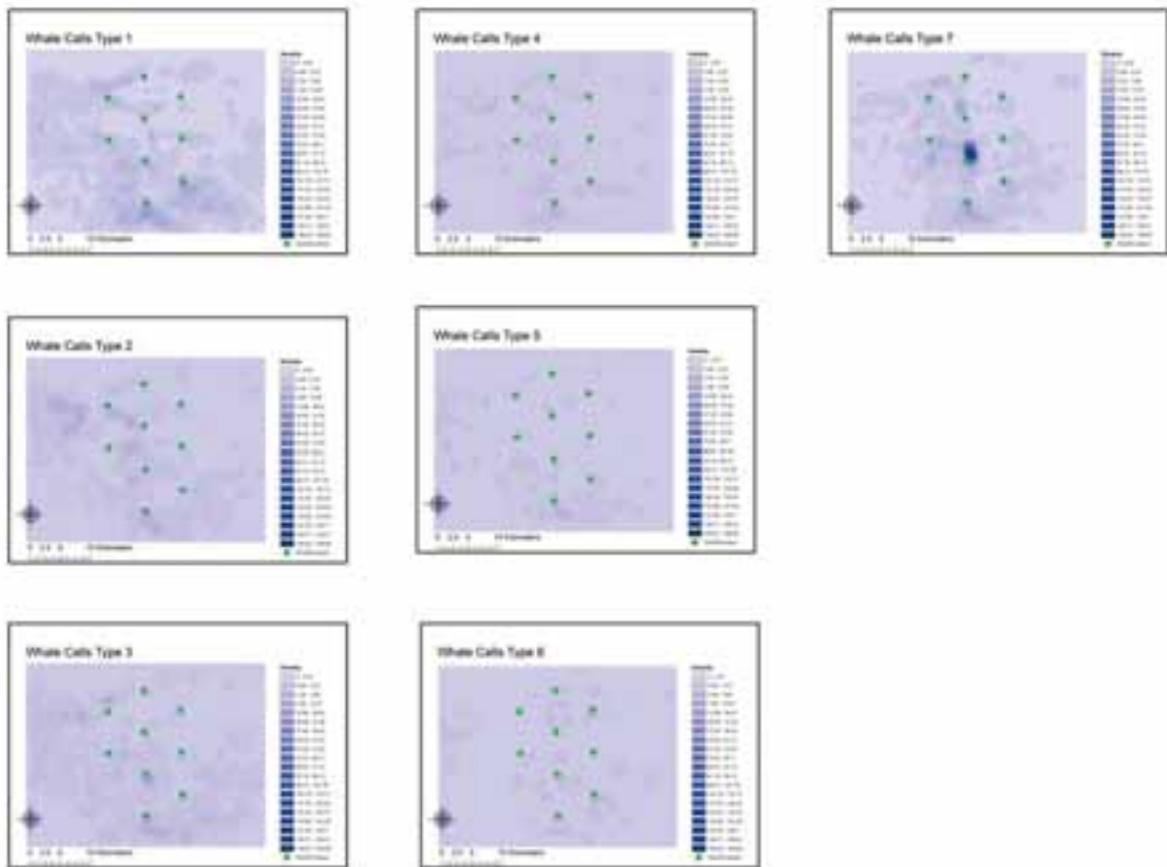
115 Figure 6

116 Point density results for each whale call type. These 7 plots each have different density classes
117 in order to show the distribution and variability of the density between the call types.

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122 Figure 7

123 Point density results for each whale call type, where now all 7 plots have the same density
 124 classes in order to compare the actual density in the same units between the classes.

125

126 Density of the points for the different whale call types can be easily visually discerned.

127 Type 7 shows a very dense area of calls in one main region of the study area, while the other call
 128 types have much lower densities and are more dispersed in space.

129

130 Spatial distribution by call type

131 Spatial distributions of point datasets can be categorized into three main types; points can
132 be randomly distributed in space, dispersed equally in space, or clustered together in space.
133 When analyzing point data, the first step is to determine if the distribution in space is
134 homogeneously randomly distributed or not. If the distribution is not homogeneous random,
135 then the point pattern should be described (Krivouruchko 2011, p.142).

136 To test for a random spatial distribution, the nearest neighbor index is used for each of
137 the whale call types. The nearest neighbor index calculates the distance from each point in the
138 data set to all of the other points in the file; and the shortest distance, c_i is that to the nearest
139 neighbor point. The nearest neighbor distances for all points are summed, and divided by the
140 total number of points, n , to obtain the mean of the shortest distances, the mean observed nearest
141 neighbor distance (Mitchell, 2005 Ch. 3).

$$\bar{d}_o = \frac{\sum_i^n c_i}{n}$$

142 where: \bar{d}_o is the mean shortest distance.

143 A completely clustered data set (all points are in the same location) would have a mean distance
144 of 0 (Mitchell, 2005 Ch. 3).

145 A completely dispersed data set would have an expected mean nearest-neighbor distance of:

$$\bar{d}_e = \frac{1}{\sqrt{n/A}}$$

146 where again n is the number of points and A is the area of the study area.

147 A homogenous random distribution in space has a mean nearest neighbor distance halfway
148 between the mean distance of a fully dispersed distribution and the mean distance of a clustered
149 distribution, i.e.

$$\bar{d}_e = \frac{0.5}{\sqrt{n/A}}$$

150 The nearest neighbor index d is calculated by subtracting the expected mean distance from the
151 observed mean distance.

$$d = \bar{d}_o - \bar{d}_e$$

152 To test if a spatial distribution of points is homogenous random, the observed and expected
153 means should be equal, with $d = 0$. If d is negative, the distribution is clustered, whereas if d is
154 positive, the distribution is dispersed.

155 Alternatively, the ratio of the observed and expected means can be used to test for homogenous
156 random spatial distributions.

$$r = \frac{\bar{d}_o}{\bar{d}_e}$$

157 To test if a spatial distribution of points is homogenous random, the observed and
158 expected means should be equal, with r approximating 1. If r is significantly less than 1, the
159 distribution is clustered, whereas if r is significantly greater than 1, the distribution is dispersed.

160

161 To allow for testing of the null hypothesis (the null hypothesis is that all whale call types are
162 homogeneously randomly distributed in the study area), a Z-score is used (Mitchell, 2005 Ch. 3).

$$Z = \frac{\bar{d}_o - \bar{d}_e}{SE}$$

163 where the standard error is calculated by $SE = \frac{0.26136}{\sqrt{n^2/A}}$

164 To be significant at the 99% confidence interval, our Z-score needs to be less than -2.58 for a
 165 clustered distribution, and greater than 2.58 for a dispersed distribution.

166

Call Type	Observed Mean Distance	Expected Mean Distance	Nearest Neighbor Ratio	Z-score
1	331.606 m	391.474 m	0.847072	-12.962201
2	465.201 m	546.260 m	0.851611	-9.01742
3	462.92 m	501.800 m	0.922525	-5.136436
4	604.922 m	748.628 m	0.808041	-8.293243
5	720.735 m	842.809 m	0.855158	-5.621367
6	732.724 m	884.485 m	0.828419	-6.31384
7	269.823 m	389.013 m	0.693609	-25.896815

167

168 Table 2

169 Nearest neighbor results for all seven call types.

170

171 All of the call types have nearest neighbor ratios significantly less than 1, which indicates that
 172 they are in a clumped pattern in space. As the ratio approaches 0, the data distribution exhibits a
 173 higher degree of clumping. The ratios for the call types 1-6 range from 0.92 to 0.83, indicating

174 clumping. Whale call type 7 points have a much different ratio of 0.69 which indicates a
175 significantly higher degree of clustering. All of the call type Z-scores are above the threshold for
176 the 99% confidence interval for clumped distributions.

177

178 Discussion

179 Bowhead whale calls can be detected, localized in space, and classified into one of 7 call
180 types. Each of these call types exhibit different characteristics in their spatial distributions,
181 especially the distribution of call type 7. Call type 7 is clumped to a much greater degree than
182 the other types, in a dense cloud of points in one main region of the study area. The degree of
183 clumping, with a Z-score of -25.896815 is quite different that the other types with Z- scores
184 ranging from -5.136436 to -12.962201. The similarities between the Z-scores and density
185 patterns for call types 1-6 indicate that the distributions have similar nearest-neighbor statistics
186 in space.

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194 Acknowledgments

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197 call counts, geographic locations, and call type classification.

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